# STATE NAME:

# Section III-FFY 2021 IDEA Part C Formula Grant

## A. Description of Use of Federal IDEA Part C Funds for the State Lead Agency (LA) and the Interagency Coordinating Council (ICC)[[1]](#footnote-1)

**Please Note: Completion of Section IIIA is required for all States, regardless of lead agency**.

When completing this section include:

* Totals for the number of lead agency and ICC administrative positions, salaries and fringe benefits funded either 100 percent and/or less than 100 percent with Federal IDEA Part C funds;
* A general description of the duties which the positions entail;
* A distinction between lead agency and ICC roles: insert (LA) or (ICC) in the “Description of Duties;” after each position; and
* A subtotal of the amount.

Identify any administrative positions for which less than 100% of the time is spent on Part C and, for each such position, indicate the percentage of time spent on Part C and the total amount of salary and fringe benefits included in the Part C application budget.

| **Positions Funded** | **Number of Positions** | **% of Time Spent on Part C** | **Salaries & Fringe Benefits** | **Description of Duties** |
| --- | --- | --- | --- | --- |
| 100% funded with Part C Funds |  |  |  |  |
| <100% funded with Part C Funds |  |  |  |  |
| **Subtotal of amount under A:** | no entry | no entry |  | no entry |

# Section III (*Continued*)

## B. Maintenance and Implementation Activities for the Lead Agency and the ICC

When completing this section include:

* A description of the nature and scope of each major activity to be carried out under Part C in maintaining and implementing the statewide system of early intervention services:
  + Lead Agency Activities could include enhancing the Comprehensive System of Personnel Development, implementing child find strategies, or ensuring a timely, comprehensive, multidisciplinary evaluation for each child;
  + ICC Activities could include coordinating child find identification efforts, ensuring the timely provision and payment of early intervention services to eligible children and their families, advising on early childhood transition, support for the ICC (travel), or other implementation and development activities of the ICC
* The approximate amount of Federal IDEA Part C funds to be spent for each activity; and
* A subtotal of the amount.

**Special Note: Prior Approval**

Some direct costs require prior approval. These items include using Federal IDEA Part C funds to pay for: (1) equipment; (2) participant support costs (such as training or travel costs); (3) construction or renovation of facilities; and (4) rent, occupancy or space maintenance costs.[[2]](#footnote-2) The October 2019 *Frequently Asked Questions (2019 FAQs) Prior Approval – OSEP and RSA Formula Grants[[3]](#footnote-3)* which provides prior approval for certain **equipment and participant support costs**. Please review this FAQ at <https://www2.ed.gov/policy/speced/guid/faq-prior-approval-10-29-2019.pdf>) prior to completing Section III. B. For any activity or expense listed under Section III of this application that is not covered by the scope of the 2019 FAQs and requires OSEP prior approval, mark an “X” in the chart below. The State must submit supporting documentation for any direct costs that require OSEP prior approval.

**Approval of the State’s FFY 2021 application does not constitute OSEP’s approval of these expenses unless specifically referenced.**

*(Add rows as needed)*

| Major Activity/ Expense | **Part C Funds to be Spent** | **Description of Activities/Expenses (include whether it is for the Lead Agency or SICC)** | **Prior Approval Needed** |
| --- | --- | --- | --- |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
| **Subtotal of amount under B:** |  | no entry | no entry |

# Section III (*Continued*)

## C. Direct Services (Funded by Federal IDEA Part C Funds)

When completing this section include:

* A description of any direct early intervention service that the State lead agency expects to provide to eligible children and their families with Federal IDEA Part C funds;
* The approximate amount for each direct service (States must disaggregate by service the approximate amount of Federal IDEA Part C funds expected to be expended for each direct service); and
* A subtotal of the amount.

*(Add rows as needed.)*

| **Description of Each Direct Early Intervention Service** | **Approximate Amount of  Federal IDEA Part C Funds to be Spent on Each Direct Service** |
| --- | --- |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| **Subtotal of amount under C:** |  |

# Section III (*Continued*)

## D. Activities by Other State Agencies

If State agencies (other than the State lead agency) are to receive a portion of the Federal IDEA Part C funds and that amount is not already identified in Section III.C above, the State must include in this section:

* The name of each State public agency expected to receive funds;
* The approximate amount of funds each State public agency will receive; and
* A summary of the purposes for which the funds will be used.

Provide subtotal of amount. *(Add rows as needed.)*

| **State Agency  Receiving Funds** | **Amount of Funds** | **Purpose** |
| --- | --- | --- |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
| **Subtotal of amount under D:** |  | No entry |

# Section III (*Continued*)

## E. Description of Optional Use of IDEA Part C Funds[[4]](#footnote-4)

In addition to using Federal IDEA Part C funds to maintain and implement the statewide system of early intervention, States may use funds for:

* expanding and improving on services for infants and toddlers and their families that are otherwise available; and
* initiating, expanding, or improving collaborative efforts related to at-risk infants and toddlers in any State that does not provide services for at-risk infants and toddlers. The application must include:
  + - The name of the major activity;
    - The approximate amount of funds to be spent; and
    - A description of the activities.

Provide subtotal of amount. *(Add rows as needed.)*

| **Major Activity** | **Part C Funds  to be Spent** | **Description of Activities** |
| --- | --- | --- |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
| **Subtotal of amount under E:** |  | No entry |

# Section III (*Continued*)

## F. Totals

Enter the subtotal amounts for Sub Sections A-E found in Section III and any indirect costs charged as specified in Section IV.B. The subtotal amounts (Rows 1-6) should total the estimated grant application amount. (A State may apply for less than the full estimated allotted amount.)

| **Row No.** | **Section** | **Amount** |
| --- | --- | --- |
| **Enter the subtotal amounts for Sub Sections A-E found in Section III of this application**. | | |
| 1. | III.A. | $ |
| 2. | III.B. | $ |
| 3. | III.C. | $ |
| 4. | III.D. | $ |
| 5. | III.E. | $ |
| **Enter any Indirect Costs to be Charged** (See Section IV.B of this application.) | | |
| 6. | IV.B | $ |
| **Total** (Rows 1-6) | No entry | $ |

1. Federal IDEA Part C funds used to support the SICC must meet the requirements of 34 CFR §303.603. [↑](#footnote-ref-1)
2. IDEA and the Uniform Guidance require prior approval for the following expenses: (1) equipment (tangible personal property (including information technology systems) having a useful life of more than one year and a per unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the nonfederal entity for financial statement purposes, or $5,000 (see 2 CFR §200.33)); (2) participant support costs (direct costs for items such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of participants or trainees (but not employees), in connection with conferences or training projects 2CFR §200.75; (3) construction/renovation (see 2 CFR §200.12(b)); and (4) rent (see 2 CFR §200.465)). [↑](#footnote-ref-2)
3. Under the 2019 FAQs, OSERS granted prior approval for participant support costs under IDEA that: (1) are associated with required meetings for the SICC; (2) incurred as part of providing services identified on an IFSP under IDEA; (3) do not exceed $5000 per individual participant per training/conference. In addition, the 2019 FAQs provide prior approval for equipment that is identified on or directly related to the implementation of the IFSP. [↑](#footnote-ref-3)
4. See IDEA section 638. [↑](#footnote-ref-4)