United States Department of Education

FREEDOM OF INFORMATION ACT
ANNUAL REPORT
FISCAL YEAR 2001

Revised August 2002

Office of the Chief Information Officer
Information Management Group
7th and D Streets, S.W.
Room 4050, FB6
Washington, D.C. 20202-4651

I. Person to Contact Regarding Report

A. The person to contact regarding this report is: Maria-Teresa Cueva, United States Department of Education, 7th and D Streets, S.W., ROB-3, Room 4050, Washington, DC 20202-4651; telephone (202) 708-4753.

B. The Internet address for this report on the World Wide Web (www) is:
http://www.ed.gov/offices/OCIO/infocoll/info3.html

C. To obtain a paper copy of this report, please send a request to the address or call the number identified in paragraph I.A. above.

II. How to Make a FOIA Request

A. FOIA requests should be made in writing and addressed to the U. S. Department of Education, FOIA Officer, 7th and D Streets, S.W., ROB-3, Room 4050, Washington, DC 20202-4651. Written requests also can be faxed to 202-708-9346 or e-mailed to the following Internet address: **OCIO_FOIA@ed.gov**.

The following elements should be included in your FOIA letter of request: (1) identify and describe the records you are seeking as specifically as possible, and include at least an approximate time frame; (2) name the office or program having responsibility for the records being sought, if known (e.g., the Office of the General Counsel; School-to-Work Program); and (3) include your name, address and a telephone number where you can be contacted. Although the telephone number is optional, it is helpful in instances where we need to discuss your request with you in order to quickly identify the scope of your request.

B. ED generally responds to all requests with a determination within 20 working days.

C. Requested records/information or portions thereof may be withheld on the basis of nine statutory exemptions specified in the FOIA. The following four exemptions are the ones most frequently used by the Department:

Exemption (b)(4) - proprietary, confidential, financial matters;
Exemption (b)(5) - internal, pre-decisional material related to the decision-making process, attorney client privilege, and attorney work products;
Exemption (b)(6) - personal privacy; and
Exemption (b)(7) - matters related to law enforcement.

On rare occasions, ED uses Exemption (b)(2) – material circumventing agency rules. In an even smaller number of instances, Exemption (b)(3) – information that is withheld in accordance with other laws – is relied upon.

III. Definitions of Terms and Acronyms Used in the Report

A. Agency-specific acronyms or other terms.

1. ED -- U. S. Department of Education, whose mission is to ensure equal access to education and promote educational excellence throughout the nation.

2. Principal Offices (POs) -- ED has the following 18 Headquarters POs:
   a. OS -- Office of the Secretary
   b. ODS -- Office of the Deputy Secretary
   c. OCR -- Office for Civil Rights
   d. OUS -- Office of the Under Secretary
   e. OIG -- Office of Inspector General
   f. OGC -- Office of the General Counsel
   g. OSERS -- Office of Special Education and Rehabilitative Services
   h. OLCA -- Office of Legislation and Congressional Affairs
   i. OIIA -- Office of Intergovernmental and Interagency Affairs
   j. OCFO -- Office of the Chief Financial Officer
   k. OCIO -- Office of the Chief Information Officer
   l. OM -- Office of Management
   m. FSA -- Federal Student Aid
   n. OPE -- Office of Postsecondary Education
   o. OERI -- Office of Educational Research and Improvement
   p. OESE -- Office of Elementary and Secondary Education
   q. OELA -- Office of English Language Acquisition
   r. OVAE -- Office of Vocational and Adult Education

3. Regional Offices -- ED has the following 11 Regional Offices:
   a. Region I -- Boston
   b. Region II -- New York
   c. Region III -- Philadelphia
   d. Region IV -- Atlanta
   e. Region V -- Chicago
   f. Region VI -- Dallas
   g. Region VII -- Kansas City
   h. Region VIII -- Denver
   i. Region IX -- San Francisco
   j. Region X -- Seattle
   k. Metro Region, Washington, D.C. (OCR activities for Washington, D.C., Virginia and North Carolina)

B. Basic terms expressed in common terminology.

1. Freedom of Information Act (FOIA) request -- generally a request for access to records concerning a third party, an organization, or a particular topic of interest.

2. Initial request -- a request to a federal agency for access to records under the FOIA.

3. Appeal -- a request to a federal agency asking that it review at a higher administrative level a full denial or partial denial of access to records under the FOIA, or any other FOIA determination such as a matter pertaining to fees.

4. Processed request or appeal -- a request or appeal for which an agency has taken a final action on the request or the appeal in all respects.

5. Multi-track processing -- a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests in each track are processed on a first-in/first out basis. A requester who has an urgent need for records may request expedited processing (see below).

6. Expedited processing -- an agency will process a FOIA request on an expedited basis when a requester has shown an exceptional need or urgency for the records which warrants prioritization of his or her request over other requests that were made earlier.

7. Simple request -- a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the volume and/or simplicity of records requested.

8. Complex request -- a FOIA request that an agency using multi-track processing places in a slower track based on the volume and/or complexity of records requested.

9. Grant -- an agency decision to disclose all records in full in response to a FOIA request.

10. Partial grant -- an agency decision to disclose a record in part in response to a FOIA request, deleting information determined to be exempt under one or more of the FOIA's exemptions; or a decision to disclose some records in their entirety, but to withhold others in whole or in part.

11. Denial -- an agency decision not to release any part of a record or records in response to a FOIA request because all the information in the requested records is determined by the agency to be exempt under one or more of the FOIA's exemptions, or for some procedural reason (such as because no record is located in response to a FOIA request).

12. Time limits -- the time period in the FOIA for an agency to respond to a FOIA request (ordinarily 20 working days from proper receipt of a "perfected" FOIA request).

13. "Perfected" request -- a FOIA request for records which adequately describes the records sought, which has been received by the FOIA office of the agency or agency component in possession of the records, and for which there is no remaining question about the payment of applicable fees.

14. Exemption 3 statute -- a separate federal statute prohibiting the disclosure of a certain type of information and authorizing its with holding under FOIA subsection (b)(3).

15. Median number -- the middle, not average, number. For example, of 3, 7, and 14, the median number is 7. Another example, of 3, 7, 9, and 14, the median number is 8, the average of the two middle numbers (i.e., 7+9=16, divided by 2, equals 8).

16. Average number -- the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

IV. Exemption 3 Statutes

Statute/Rule	Type(s) of Information Withheld	Case Citation
18 USC § 2721	Driver's License Photograph	None
20 USC § 1232	Student Records	None

V. Initial FOIA Access Requests

(For Details Reference Exhibits I, II and III)

A. Numbers of initial requests:

1. Number of requests pending as of the end of FY 2000: 297

2. Number of requests received during FY 2001: 1,547

3. Number of requests processed during FY 2001: 1,555

4. Number of requests pending as of the end of FY 2001: 289

B. Disposition of initial requests

1. Number of requests granted – full disclosure: 949

2. Number of requests partially granted – partial disclosure: 342

3. Number of requests denied: 52

   a. Number of times each FOIA exemption used (counting each exemption once per request) for each partially granted and denied request:

      Exemption 1: 0

      Exemption 2: 16

      Exemption 3: 2

      Exemption 4: 68

      Exemption 5: 61

      Exemption 6: 234

      Exemption 7(A): 55
      Exemption 7(B): 1
      Exemption 7(C): 117
      Exemption 7(D): 3
      Exemption 7(E): 8
      Exemption 7(F): 0

      Exemption 8: 0

      Exemption 9: 0

4. Other reasons for nondisclosure (total): 212

   a. No records: 117

   b. Referrals: 24

   c. Request withdrawn: 35

   d. Fee-related reason: 1

   e. Records not reasonably described: 4

   f. Not a proper FOIA request for some other reason: 2

   g. Not a Departmental record: 11

   h. Duplicate request: 10

   i. Other (specify): 8 (Other Program Office's request, Privacy Act issue only, Web Page issue, Failure to Clarify Request)

VI. Appeals of Initial Denials of FOIA Requests

A. Numbers of appeals.

1. Appeals received during FY 2001: 32

2. Appeals processed during FY 2001: 15

B. Disposition of appeals.

1. Number completely upheld: 6

2. Number partially reversed: 2

3. Number completely reversed: 3

a. Number of times each FOIA exemption used (counting each exemption once per request) for each partially reversed and completely reversed decision:

Exemption 1: 0

Exemption 2: 0

Exemption 3: 0

Exemption 4: 0

Exemption 5: 1

Exemption 6: 1

Exemption 7: 3 { 7(A) }

Exemption 8: 0

Exemption 9: 0

4. Other reasons for nondisclosure (total): 4

a. No records: 0

b. Referrals: 0

c. Request withdrawn: 2

d. Fee-related reason: 0

e. Records not reasonably described: 0

f. Not a proper FOIA request for some other reason: 0

g. Not a Departmental record: 0

h. Duplicate request: 0

i. Other (specify): 2 (Remanded and Records Provided to the Requester)

VII. Compliance with Time Limits/Status of Pending Requests

(For Details Reference Exhibits I.A and IV)

A. Median processing time for FOIA requests processed during the year:

1. Simple Requests

   a. Number processed: 1,264

   b. Median time - Calendar Days to process: 16.84

2. Complex Requests

   a. Number processed: 263

   b. Median time - Calendar Days to process: 44.67

3. Expedited Requests

   a. Number processed: 28

   b. Median time - Calendar Days to process: 13.25

B. Status of pending FOIA requests:

1. Number pending at end of FY 2001: 290

2. Median time - Calendar Days requests were pending: 30.70

VIII. Comparison with Previous Year

This report is the fourth Annual Report to follow the fiscal year cycle for reporting the Department's FOIA activities to the U.S. Department of Justice, and includes activities from October 1, 2000 through September 30, 2001. The Department received approximately five percent fewer requests during FY 2001 as compared to FY 2000. This is the second consecutive drop in receipts, and is attributed to the public's increasing use of the Department's ED.gov Web site as a convenient and speedy alternative to filing a FOIA request. The Department's plans for re-engineering the manner in which FOIA requests are processed, once implemented during FY 2003, are expected to enable us to reduce backlogs and reduce median processing time significantly. The level of staffing for FOIA activities decreased by approximately 0.7 work year during the fiscal year.

IX. Costs/FOIA Staffing

(For Details Reference Exhibit V)

A. Staffing levels:

1. Number of full-time FOIA personnel: 2
2. Number of personnel with part-time or occasional FOIA duties (in total work-years): 6.4 Work-Years

B. Total costs (including staff and all resources):

1. FOIA processing (including appeals): $389,657.78
2. Litigation-related activities (estimated): None
3. Other program and support costs: $2,985.22
4. Total costs: $392,643.00

X. Fees

(For Details Reference Exhibit V)

A. Total amount of fees collected by ED for processing requests: $13,056.75

B. Percentage of total costs: 3.325%

XI. FOIA Regulations (Including Fee Schedule)

ED's FOIA regulation is found under Title 34 Code of Federal Regulations (CFR), Part 5 – Availability of Information to the Public Pursuant to Public Law 90-23. A copy of this regulation is attached and includes ED's fee schedule.

The Department's current FOIA Regulation, by Sub-part:

- Subpart A: Definitions
- Subpart B: What Records Are Available
- Subpart C: Freedom of Information Officer
- Subpart D: Procedures for Requesting Access to Records
- Subpart E: Fees and Charges
- Subpart F: Availability of Specific Records
- Subpart G: Administrative Review

Exhibit I.A
ED's FOIA Annual Report for FY 2001
FOIA Request Activities during the Fiscal Year

HEADQUARTERS ORGANIZATIONS	FY 2000 Requests Pending	FY 2001 Receipts	Requests Completed during FY 2001	Requests Pending, End of FY 2001	
				Number	Median Days Pending
OS	1	30	29	2	87
ODS	0	0	0	0	0
OUS	0	4	4	0	7
OLCA	0	15	15	0	5
OGC	0	15	15	0	40
OIG	10	89	92	7	25
OIIA	0	2	2	0	2
OCFO	15	32	44	3	15
OCIO	0	8	8	0	7
OM	1	53	53	1	25
OELA	0	8	8	0	5
OCR	61	107	94	74	20
OESE	1	73	69	5	41
OERI	0	28	23	5	15
FSA	41	154	145	50	85
OPE	0	40	36	4	30
OSERS	4	69	69	4	25
OVAE	1	12	8	5	43
HEADQUARTERS TOTALS:	**135**	**739**	**714**	**160**	**28.06**

REGIONAL OFFICES	FY 2000 Requests Pending	FY 2001 Receipts	Requests Completed during FY 2001	Requests Pending, End of FY 2001	
				Number	Median Days Pending
Region I – Boston	29	97	91	35	6.4
Region II – NY	0	110	84	26	15
Region III - Phila.	7	55	62	0	15
Region IV - Atlanta	0	106	106	0	20
Region V - Chicago	8	84	84	8	8
Region VI - Dallas	12	83	79	16	40
Region VII – KC	2	53	53	2	17
Region VIII - Denver	0	51	51	0	15
Region IX – SF	103	56	123	36	225
Region X – Seattle	1	93	88	6	5
Metro Region (OCR)	0	20	20	0	16
REGIONAL OFFICE TOTALS:	**162**	**808**	**841**	**129**	**34.78**

DEPARTMENT TOTALS:	**297**	**1,547**	**1,555**	**289**	**30.7**

Exhibit I.B
ED's FOIA Annual Report for FY 2001
Disposition of FOIA Requests Completed during the Fiscal Year

HEADQUARTERS ORGANIZATIONS	DISPOSITION OF INITIAL REQUESTS COMPLETED DURING FY 2001					TOTAL COMPLETED
	Granted	Partially Granted	Denied	"No Records" Denials	Other Reasons	
OS	6	11	0	6	6	**29**
ODS	0	0	0	0	0	**0**
OUS	2	0	0	2	0	**4**
OLCA	1	6	3	5	0	**15**
OGC	6	4	0	5	0	**15**
OIG	15	37	16	23	1	**92**
OIIA	0	0	0	2	0	**2**
OCFO	38	2	0	2	2	**44**
OCIO	4	0	0	4	0	**8**
OM	33	6	0	4	10	**53**
OELA	7	0	0	0	1	**8**
OCR	94	0	0	0	0	**94**
OESE	50	2	0	4	13	**69**
OERI	19	0	0	3	1	**23**
FSA	84	38	0	14	9	**145**
OPE	32	0	0	4	0	**36**
OSERS	5	62	0	0	2	**69**
OVAE	2	1	0	0	5	**8**
HEADQUARTERS TOTALS:	**398**	**169**	**19**	**78**	**50**	**714**

REGIONAL OFFICES	DISPOSITION OF INITIAL REQUESTS COMPLETED DURING FY 2001					TOTAL COMPLETED
	Granted	Partially Granted	Denied	"No Records" Denials	Other Reasons	
Region I - Boston	61	23	0	3	4	**91**
Region II - NY	44	34	1	1	4	**84**
Region III - Phila.	51	7	0	2	2	**62**
Region IV - Atlanta	70	24	1	6	5	**106**
Region V - Chicago	76	7	0	0	1	**84**
Region VI - Dallas	45	27	0	4	3	**79**
Region VII - KC	33	5	11	1	3	**53**
Region VIII - Denver	8	25	10	6	2	**51**
Region IX - SF	79	3	10	11	20	**123**
Region X - Seattle	74	11	0	3	0	**88**
Metro Region (OCR)	10	7	0	2	1	**20**
REGIONAL OFFICE TOTALS:	**551**	**173**	**33**	**39**	**45**	**841**

DEPARTMENT TOTALS:	**949**	**342**	**52**	**117**	**95**	**1,555**

Exhibit II.A
ED's FOIA Annual Report for FY 2001
FOIA Exemptions Invoked for Partially Granted & Denied FOIA Requests during the Fiscal Year

HEADQUARTERS ORGANIZATIONS	FOIA Exemptions Invoked in Initial Requests Processed during FY									TOTAL
	(b)(1)	(b)(2)	(b)(3)	(b)(4)	(b)(5)	(b)(6)	(b)(7)	(b)(8)	(b)(9)	
OS	0	0	0	0	2	9	1	0	0	**12**
ODS	0	0	0	0	0	0	0	0	0	**0**
OUS	0	0	0	0	0	0	0	0	0	**0**
OLCA	0	0	0	0	0	9	0	0	0	**9**
OGC	0	0	0	0	2	2	0	0	0	**4**
OIG	0	16	1	6	28	18	49	0	0	**118**
OIIA	0	0	0	0	0	0	0	0	0	**0**
OCFO	0	0	0	0	0	2	0	0	0	**2**
OCIO	0	0	0	0	0	0	0	0	0	**0**
OM	0	0	0	0	1	9	0	0	0	**10**
OELA	0	0	0	0	0	0	0	0	0	**0**
OCR	0	0	0	0	0	0	0	0	0	**0**
OESE	0	0	0	0	0	2	0	0	0	**2**
OERI	0	0	0	0	0	0	0	0	0	**0**
FSA	0	0	0	0	9	32	0	0	0	**41**
OPE	0	0	0	0	0	0	0	0	0	**0**
OSERS	0	0	0	61	0	52	0	0	0	**113**
OVAE	0	0	0	1	0	0	0	0	0	**1**
Hdqtrs. Sub-Total:	**0**	**16**	**1**	**68**	**42**	**135**	**50**	**0**	**0**	**312**

REGIONAL OFFICES	FOIA Exemptions Invoked in Initial Requests Processed during FY 2001									TOTAL
	(b)(1)	(b)(2)	(b)(3)	(b)(4)	(b)(5)	(b)(6)	(b)(7)	(b)(8)	(b)(9)	
Region I - Boston	0	0	0	0	3	10	21	0	0	**33**
Region II - NY	0	0	0	0	1	33	7	0	0	**41**
Region III - Phila.	0	0	0	0	0	1	7	0	0	**8**
Region IV - Atlanta	0	0	0	0	1	0	24	0	0	**25**
Region V - Chicago	0	0	0	0	1	0	7	0	0	**8**
Region VI - Dallas	0	0	1	0	3	26	2	0	0	**32**
Region VII - KC	0	0	0	0	2	1	10	0	0	**13**
Region VIII - Denver	0	0	0	0	5	23	33	0	0	**61**
Region IX - SF	0	0	0	0	0	3	0	0	0	**3**
Region X - Seattle	0	0	0	0	1	1	15	0	0	**17**
Metro Region (OCR)	0	0	0	0	2	1	8	0	0	**11**
Regional Sub-Total:	**0**	**0**	**1**	**0**	**19**	**99**	**134**	**0**	**0**	**252**

DEPARTMENT TOTALS:	**0**	**16**	**2**	**68**	**61**	**234**	**184**	**0**	**0**	**564**

Exhibit II.B
ED's FOIA Annual Report for FY 2001
FOIA Exemption 7 Analysis, by Sub-Exemption, for Partially Granted & Denied FOIA Requests

HEADQUARTERS ORGANIZATIONS	Breakdown of b(7) Exemptions						B(7) TOTAL
	(7)(A)	(7)(B)	(7)(C)	(7)(D)	(7)(E)	(7)(F)	
OS	0	1	0	0	0	0	**1**
ODS	0	0	0	0	0	0	**0**
OUS	0	0	0	0	0	0	**0**
OLCA	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	**0**
OIG	17	0	22	3	7	0	**49**
OIIA	0	0	0	0	0	0	**0**
OCFO	0	0	0	0	0	0	**0**
OCIO	0	0	0	0	0	0	**0**
OM	0	0	0	0	0	0	**0**
OELA	0	0	0	0	0	0	**0**
OCR	0	0	0	0	0	0	**0**
OESE	0	0	0	0	0	0	**0**
OERI	0	0	0	0	0	0	**0**
FSA	0	0	0	0	0	0	**0**
OPE	0	0	0	0	0	0	**0**
OSERS	0	0	0	0	0	0	**0**
OVAE	0	0	0	0	0	0	**0**
Hdqtrs. Sub-Total:	**17**	**1**	**22**	**3**	**7**	**0**	**50**

REGIONAL OFFICES	Breakdown of b(7) Exemptions						B(7) TOTAL
	(7)(A)	(7)(B)	(7)(C)	(7)(D)	(7)(E)	(7)(F)	
Region I – Boston	5	0	16	0	0	0	**21**
Region II – NY	0	0	7	0	0	0	**7**
Region III - Phila.	0	0	7	0	0	0	**7**
Region IV – Atlanta	7	0	17	0	0	0	**24**
Region V – Chicago	0	0	7	0	0	0	**7**
Region VI – Dallas	1	0	1	0	0	0	**2**
Region VII – KC	10	0	0	0	0	0	**10**
Region VIII - Denver	10	0	23	0	0	0	**33**
Region IX - SF	0	0	0	0	0	0	**0**
Region X - Seattle	4	0	11	0	0	0	**15**
Metro Region (OCR)	1	0	6	0	1	0	**8**
Regional Sub-Total:	**38**	**0**	**88**	**0**	**1**	**0**	**127**

DEPARTMENT TOTALS:	**55**	**1**	**110**	**3**	**8**	**0**	**177**

Exhibit III
ED's FOIA Annual Report for FY 2001
Other Reasons for Non-Disclosure in Response to FOIA Requests Completed during the Fiscal Year

HEADQUARTERS OR REGIONAL OFFICE	No Records	Referrals	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Not a Proper Request	Not an ED Record	Duplicate Request	Other	TOTAL NUMBER COMPLETED
OS	6	2	0	0	0	0	1	0	3	**12**
ODS	0	0	0	0	0	0	0	0	0	**0**
OUS	2	0	0	0	0	0	0	0	0	**2**
OLCA	5	0	0	0	0	0	0	0	0	**5**
OGC	5	0	0	0	0	0	0	0	0	**5**
OIG	23	1	0	0	0	0	0	0	0	**24**
OIIA	2	0	0	0	0	0	0	0	0	**2**
OCFO	2	2	0	0	0	0	0	0	0	**4**
OCIO	4	0	0	0	0	0	0	0	0	**4**
OM	4	4	0	0	0	2	2	2	0	**14**
OELA	0	0	1	0	0	0	0	0	0	**1**
OCR	0	0	0	0	0	0	0	0	0	**0**
OESE	4	5	4	0	0	0	1	3	0	**17**
OERI	3	0	0	0	0	0	0	0	1	**4**
FSA	14	8	0	0	1	0	0	0	0	**23**
OPE	4	0	0	0	0	0	0	0	0	**4**
OSERS	0	0	2	0	0	0	0	0	0	**2**
OVAE	0	0	0	0	0	0	3	0	2	**5**
Hdqtrs. Sub-Total:	**78**	**22**	**7**	**0**	**1**	**2**	**7**	**5**	**6**	**128**
Region I - Boston	3	0	3	0	1	0	0	0	0	**7**
Region II - NY	1	1	1	0	0	0	0	0	2	**5**
Region III - Phila.	2	0	2	0	0	0	0	0	0	**4**
Region IV - Atlanta	6	0	2	0	0	0	3	0	0	**11**
Region V - Chicago	0	0	0	1	0	0	0	0	0	**1**
Region VI - Dallas	4	0	3	0	0	0	0	0	0	**7**
Region VII - KC	1	0	1	0	1	0	1	0	0	**4**
Region VIII - Denver	6	0	1	0	1	0	0	0	0	**8**
Region IX - SF	11	0	15	0	0	0	0	5	0	**31**
Region X - Seattle	3	0	0	0	0	0	0	0	0	**3**
Metro Region (OCR)	2	1	0	0	0	0	0	0	0	**3**
Regional Sub-Total	**39**	**2**	**28**	**1**	**3**	**0**	**4**	**5**	**2**	**84**
DEPARTMENT TOTALS:	**117**	**24**	**35**	**1**	**4**	**2**	**11**	**10**	**8**	**212**

Exhibit IV
ED's FOIA Annual Report for FY 2001
Median Processing Time for FOIA Requests Completed during the Fiscal Year

HEADQUARTERS OR REGIONAL OFFICE	Simple Requests		Complex Requests		Expedited Requests		TOTAL NUMBER COMPLETED
	Number	Median Time*	Number	MedianTime*	Number	Median Time*	
OS	29	10	0	0	0	0	**29**
ODS	0	0	0	0	0	0	**0**
OUS	4	7	0	0	0	0	**4**
OLCA	15	5	0	0	0	0	**15**
OGC	15	40	0	0	0	0	**15**
OIG	89	25	3	45	0	0	**92**
OIIA	2	2	0	0	0	0	**2**
OCFO	32	5	7	30	5	2	**44**
OCIO	8	10	0	0	0	0	**8**
OM	31	20	22	35	0	0	**53**
OELA	7	3	0	0	1	8	**8**
OCR	94	20	0	0	0	0	**94**
OESE	51	30	15	72	3	20	**69**
OERI	23	15	0	0	0	0	**23**
FSA	110	25	30	50	5	10	**145**
OPE	33	20	3	40	0	0	**36**
OSERS	69	25	0	0	0	0	**69**
OVAE	8	43	0	0	0	0	**8**
Headquarters Sub-Total	**620**	**17.94**	**80**	**45.33**	**14**	**10**	**714**
Region I - Boston	91	21	0	0	0	0	**91**
Region II - NY	74	15	5	27	5	30	**84**
Region III - Phila.	50	13	12	43	0	0	**62**
Region IV - Atlanta	106	20	0	0	0	0	**106**
Region V - Chicago	84	8	0	0	0	0	**84**
Region VI - Dallas	23	27	56	35	0	0	**79**
Region VII - KC	46	22	7	36	0	0	**53**
Region VIII - Denver	39	11	12	24	0	0	**51**
Region IX – SF	28	10	86	120	9	3	**123**
Region X - Seattle	88	12	0	0	0	0	**88**
Metro Region (OCR)	15	14	5	23	0	0	**20**
Regional Sub-Total	**644**	**15.73**	**183**	**44**	**14**	**16.5**	**841**
DEPARTMENT TOTALS:	**1,264**	**ED Median Time 16.84**	**263**	**ED Median Time 44.67**	**28**	**ED Median Time 13.25**	**1,555**

*Note: Median Time is based on Calendar Days.

Exhibit V
Estimated Workyears / Costs and Fees during FY 2001

HEADQUARTERS OR REGIONAL OFFICE	ESTIMATED COSTS, BY TYPE (in dollars)						FEES	
	LABOR		APPEALS COSTS	LITIGATION COSTS	OTHER COSTS	TOTAL COSTS	FEES COLLECTED	FEES AS A % OF COSTS
	Hours (Non-FTEs)	Costs						
OS	20.00	646.52	0	0	0	646.52	0	0
ODS	0	0	0	0	0	0	0	0
OUS	16.00	461.92	0	0	0	461.92	0	0
OLCA	135.00	3,156.30	0	0	210.42	3,366.72	0	0
OGC	75.00	2,650.50	0	0	0	2,650.50	0	0
OIG	736.00	28,976.32	0	0	0	28,976.32	1,488.24	5.14
OIIA	4.00	67.00	0	0	0	67.00	0	0
OCFO	220.00	4,987.40	0	0	35.20	5,022.60	150.00	2.99
OCIO*	3,100.00	86,211.00	20,994.00	0	0	107,205.00	350.00	
OM	172.25	4,027.21	0	0	0	4,027.21	941.81	23.39
OELA	24.00	794.64	0	0	80.00	874.64	0	0
OCR	895.82	17,826.82	0	0	0	17,826.82	0	0
OESE	931.50	31,978.40	0	0	0	31,978.40	150.00	.39
OERI	144.90	4,974.42	0	0	0	4,974.42	0	0
FSA	474.15	13,285.68	0	0	435.00	13,720.68	4,539.28	33.08
OPE	43.25	1,040.26	0	0	0	1,040.26	0	0
OSERS	621.00	12,357.90	0	0	1,035.00	13,392.90	2,738.75	20.45
OVAE	13.84	489.10	0	0	0	489.10	32.65	6.68
Headquarters Sub-Total	**7,626.71**	**213,931.39**	**20,994.00**	**0**	**1,795.62**	**236,721.01**	**10,390.73**	**4.39**
Region I - Boston	864.50	18,397.00	0	0	0	18,397.00	28.50	.15
Region II - NY	201.60	5,997.60	0	0	0	5,997.60	335.40	5.59
Region III - Phila.	217.00	6,481.79	0	0	124.00	6,605.79	133.40	20.19
Region IV - Atlanta	848.00	25,550.24	0	0	0	25,550.24	439.70	1.72
Region V - Chicago	840.00	21,932.40	0	0	0	21,932.40	177.60	.81
Region VI - Dallas	624.10	15,820.94	0	0	0	15,820.94	570.22	3.60
Region VII - KC	318.00	9,021.66	0	0	106.00	9,127.66	264.50	2.90
Region VIII - Denver	204.00	5,801.76	0	0	336.60	6,138.36	276.70	4.51
Region IX - SF	1,107.00	33,907.41	0	0	123.00	34,030.41	0	0
Region X – Seattle	264.00	7,619.04	0	0	440.00	8,059.04	440.00	5.46
Metro Region (OCR)	165.00	4,202.55	0	0	60.00	4,262.55	0	0
Regional Sub-Total	**5,653.20**	**154,732.39**	**0**	**0**	**1,189.60**	**155,921.99**	**2,666.02**	**1.71**
DEPARTMENT TOTALS:	**13,279.91****	**368,663.78**	**20,994.00**	**0**	**2,985.22**	**392,643.00**	**13,056.75**	**3.325**

*does not include one FTE for the Department's FOIA Officer; and
**equivalent to approximately 6.4 FTE.