State

Part C State Performance Plan (SPP) and Annual Performance Report (APR)

Part C Indicator Measurement Table[1]

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
Monitoring Priority: Early Intervention Services In Natural Environments		
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (20 USC 1416(a)(3)(A) and 1442)	**Data Source:** Data to be taken from monitoring or State data system and must be based on actual, not an average, number of days. Include the State's criteria for "timely" receipt of early intervention services, i.e., time period from parent consent to IFSP services initiation date. **Measurement:** Percent = # of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100. Account for untimely receipt of services.	*When data is taken from State monitoring, States must describe the method used to select EIS programs for monitoring.* Lead Agencies should describe the results of the calculations and compare the results to their target when services are initiated according to the IFSP.
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.[2] (20 USC 1416(a)(3)(A) and 1442)	**Data Source:** Data collected for reporting under section 618 (Annual Report of Children Served). **Measurement:** Percent = # of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.	*Sampling from State's 618 data is not allowed.* Lead Agencies should describe the results of the calculations and compare the results to their target.
3. Percent of infants and toddlers with IFSPs	**Data Source:**	*Sampling **of infants and toddlers with IFSPs** is allowed.*

[1] Monitoring Priorities, indicators, and measurements included on the *Part C Indicator Measurement Table* are to be used to populate designated sections of the SPP and APR Templates. Populated templates can be found athttp://www.ed.gov/policy/speced/guid/idea/capr/index.html

[2] At the time of the release of this package, revised forms for collection of 618 State reported data had not yet been approved. Indicators will be revised as needed to align with language in the 2005-2006 State reported data collections.

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
who demonstrate improved: A. Positive social-emotional skills (including social relationships); B. Acquisition and use of knowledge and skills (including early language/ communication); and C. Use of appropriate behaviors to meet their needs. (20 USC 1416(a)(3)(A) and 1442)	State selected data source. **Measurement:** A. Positive social-emotional skills (including social relationships): a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100. b. Percent of infants and toddlers who improve functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100. c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100. If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference. B. Acquisition and use of knowledge and skills (including early language/communication): a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100. b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.	*When sampling is used, a description of the sampling methodology outlining how the design will yield valid and reliable estimates must be submitted to OSEP.* Lead Agencies should describe the results of the calculations and compare the results to their target. First year (2/1/07) will be status upon entry. Following years (starting with 2/1/08) will be progress from entry to exit or other naturally occurring point near exit (such as IFSP/IEP review) for children who have received Part C services for 6 months or more. **New indicator.** Baseline and targets to be provided in FFY 2005 APR due February 1, 2007. In the SPP describe how data are to be collected so that the State will be able to report baseline data and targets in the FFY 2005 APR due 02/01/07.

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100. If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference. C. Use of appropriate behaviors to meet their needs: a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100. b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100. c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100. If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.	
4. Percent of families participating in Part C who report that early intervention services have helped the family: A. Know their rights; B. Effectively communicate their children's needs; and C. Help their children develop and learn.	**Data Source:** State selected data source. State must clarify the data source in the State Performance Plan. **Measurement:** A. Percent = # of respondent families participating in Part C who report that early intervention services	*Sampling of **families participating in Part C** is allowed. When sampling is used, a description of the sampling methodology outlining how the design will yield valid and reliable estimates must be submitted to OSEP.* Lead Agencies should describe the results of the calculations and compare the results to their target. States may wish to utilize information/surveys

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
(20 USC 1416(a)(3)(A) and 1442)	have helped the family know their rights divided by the # of respondent families participating in Part C times 100. B. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs divided by the # of respondent families participating in Part C times 100. C. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.	developed by the National Center for Special Education Accountability Monitoring (NCSEAM) or the Early Childhood Outcomes Center (ECO). States must submit a copy of any survey used for this indicator. **New indicator.** Baseline and targets to be provided in FFY 2005 APR due February 1, 2007. In the SPP describe how data are to be collected so that the State will be able to report baseline data and targets in the FFY 2005 APR due 02/01/07.
Monitoring Priority: Effective General Supervision Part C		
Effective General Supervision Part C / Child Find		
5. Percent of infants and toddlers birth to 1 with IFSPs compared to: A. Other States with similar eligibility definitions; and B. National data. (20 USC 1416(a)(3)(B) and 1442)	**Data Source:** Data collected for reporting under section 618 (Annual Report of Children Served). **Measurement:** A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions. B. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to National data.	*Sampling from State's 618 data is not allowed.* Lead Agencies should describe the results of the calculations and compare the results to their target.
6. Percent of infants and toddlers birth to 3	**Data Source:**	*Sampling from State's 618 data is not allowed.*

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
with IFSPs compared to: A. Other States with similar eligibility definitions; and B. National data. (20 USC 1416(a)(3)(B) and 1442)	Data collected for reporting under section 618 (Annual Report of Children Served). **Measurement:** A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions. B. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to National data.	Lead Agencies should describe the results of the calculations and compare the results to their target.
7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline. (20 USC 1416(a)(3)(B) and 1442)	**Data Source:** Data to be taken from monitoring or State data system and must address timeline from point of referral to initial IFSP meeting based on actual, not an average, number of days. **Measurement:** Percent = # of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100. Account for untimely evaluations.	*When data is taken from State monitoring, States must describe the method used to select EIS programs for monitoring.*
Effective General Supervision Part C / Effective Transition		
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and	**Data Source:** Data to be taken from monitoring or State data system. **Measurement:** A. Percent = # of children exiting Part C who have	*When data is taken from State monitoring, States must describe the method used to select EIS programs for monitoring.* Lead Agencies should describe the results of the calculations and compare the results to their target.

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
services B. Notification to LEA, if child potentially eligible for Part B: and C. Transition conference, if child potentially eligible for Part B. (20 USC 1416(a)(3)(B) and 1442)	an IFSP with transition steps and services divided by # of children exiting Part C times 100. B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100. C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.	
Effective General Supervision Part C / General Supervision		
9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification. (20 U.S.C. 1416(a)(3)(B) and 1442)	**Data Source:** Data to be taken from State monitoring, complaints, hearings and other general supervision system components. Indicate the number of EIS programs monitored related to the monitoring priority areas and indicators and the number of EIS programs monitored related to areas not included in the monitoring priority areas and indicators. **Measurement:** A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification: a. # of findings of noncompliance made related to priority areas. b. # of corrections completed as soon as possible but in no case later than one year from identification. Percent = b divided by a times 100. For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or	*Lead Agencies must describe the process for selecting EIS programs for monitoring.* Lead Agencies should describe the results of the calculations and compare the results to their target. In Measurements A and B, States should reflect monitoring data collected through on-site visits, self-assessments, local performance plans and annual performance reports, desk audits and/or data reviews. In Measurements B and C, areas of noncompliance not related to monitoring priority areas and indicators may be grouped by topical areas. The Lead Agencies should describe the topical areas.

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	enforcement that the State has taken. B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification: a. # of findings of noncompliance made related to such areas. b. # of corrections completed as soon as possible but in no case later than one year from identification. Percent = b divided by a times 100. For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken. C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification: a. # of EIS programs in which noncompliance was identified through other mechanisms. b. # of findings of noncompliance made. c. # of corrections completed as soon as possible but in no case later than one year from identification. Percent = c divided by b times 100. For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.	
10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.	**Data Source:** Data collected on Part C Attachment 1. **Measurement:** Percent = (1.1(b) + 1.1(c)) divided by (1.1) times 100.	*Sampling is not allowed.* Lead Agencies should describe the results of the calculations and compare the results to their target. Lead Agencies are not required to report data at the EIS program level.

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
(20 U.S.C. 1416(a)(3)(B) and 1442)		Complete Part C Attachment 1.
11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline. (20 U.S.C. 1416(a)(3)(B) and 1442)	**Data Source:** Data collected on Part C Attachment 1. **Measurement:** Percent = (3.2(a) + 3.2(b)) divided by (3.2) times 100.	*Sampling is not allowed.* Lead Agencies should describe the results of the calculations and compare the results to their target. Lead Agencies are not required to report data at the EIS program level. Complete Part C Attachment 1.
12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted). (20 U.S.C. 1416(a)(3)(B) and 1442)	**Data Source:** Data collected on Part C Attachment 1. **Measurement:** Percent = 3.1(a) divided by (3.1) times 100.	*Sampling is not allowed.* Lead Agencies are not required to report data at the EIS program level. Complete Part C Attachment 1. **New indicator.** Baseline and targets to be provided in FFY 2005 due February 1, 2007. In the SPP describe how data are to be collected so that the State will be able to report baseline data and targets in the FFY 2005 APR due 02/01/07.
13. Percent of mediations held that resulted in mediation agreements. (20 U.S.C. 1416(a)(3)(B) and 1442)	**Data Source:** Data collected on Part C Attachment 1. **Measurement:** Percent = (2.1(a)(i) + 2.1(b)(i)) divided by (2.1) times 100.	*Sampling is not allowed.* Lead Agencies should describe the results of the calculations and compare the results to their target. Lead Agencies are not required to report data at the EIS program level. Complete Part C Attachment 1.
14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. (20 U.S.C. 1416(a)(3)(B) and 1442)	**Data Source:** State selected data sources, including data from the State data system, as well as technical assistance and monitoring systems. **Measurement:** State reported data, including 618 data, State performance plan, and annual performance reports,	Lead Agencies should describe the results of the calculations and compare the results to their target. Lead Agencies are not required to report data at the EIS program level.

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	are: a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and b. Accurate (describe mechanisms for ensuring accuracy).	