

1996-97
Federal Pell Grant
Program
End-of-Year Report

U.S. Department of Education
Office of Postsecondary Education



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Introduction

Purpose of the End-of-Year Report

The Federal Pell Grant End-of-Year Report will describe, explain, and analyze primary aspects of Federal Pell Grant Program activity for the 1996-97 award period.

This presentation is a compilation of quantitative program data assembled to offer insights into the changes to the Title IV applicant universe and the Federal Pell Grant Program. The Federal Pell Grant End-of-Year Report provides factual information and highlights important programmatic issues.

Since 1973, the Office of Postsecondary Education has compiled summary information on Title IV applicants and Federal Pell Grant Program activity. The information provides a basis for program planning and development. In addition, the End-of-Year Report can assist higher education officials and financial aid administrators to better understand current patterns of Federal Pell Grant disbursements and Title IV applicant activity.

Federal Pell Grant Program

Title IV programs are administered by the Office of Postsecondary Education within the U.S. Department of Education. The programs are authorized by the Higher Education Act of 1965 and as amended by the Higher Education Amendments Act of 1992.

A formula established by Congress is used to calculate a student's Expected Family Contribution (EFC). This is the amount that a family can be expected to contribute towards the student's cost of attendance. For the Federal Pell Grant Program the EFC is used to determine the financial eligibility of the student.

The Federal Pell Grant Program is designed to help the neediest undergraduate students. For many students, Federal Pell Grants provide a foundation of financial aid, to which aid from

other federal and non-federal sources may be added. The program provided grants ranging from \$200 - \$2,470 to over 3.6 million students in 1996-97. Since its inception in 1973, expenditures for the Federal Pell Program have increased more than hundred-fold (not adjusting for inflation) to 5.7 billion in 1996-97.

Databases for the End-of-Year Report Tables

All tables in the 1996-97 End-of-Year Report are derived from a merged file containing Title IV applicant and Federal Pell Grant recipient data through December, 1997. The applicant data are from the student applications processed by the central processing system; recipient information is from the Pell Grant recipient reporting systems. Some unreconciled student payment data may be included in the universe file.

Eligibility

The Federal Pell Grant is distinguished from other financial assistance in that all students meeting certain criteria are guaranteed aid, with the amount of aid determined by financial need, enrollment level and educational cost. However, because of limited funding, the program is not a true entitlement, as benefits may be reduced from those anticipated under a fully funded system. To be eligible for a grant an individual must meet certain residency requirements, be enrolled in an eligible program at a school participating in the Federal Pell Grant Program, and be determined to have sufficient financial need.

As mentioned above, financial need for 1996-97 is calculated using formulae mandated by Congress in the Higher Education Amendments Act of 1992. These formulae, applied consistently to all applicants, take into account such indicators of financial strength as income, assets, and family size. The calculation result, called the Expected Family Contribution

(EFC), is combined with the cost of the student's education and the student's enrollment status (full, three-quarter, half-time or less than half-time) to determine the amount of the Federal Pell Grant (although cost of education only affects the student's award amount if the cost is less than \$2,470).

The lower the EFC, the greater the demonstration of a student's financial need. Consequently, the amount of the grant increases as the EFC decreases, such that an applicant with the minimum EFC of zero may receive the maximum award equal to the applicant's educational cost for the year up to \$2,470. Proportionally smaller awards are made to part-time students.

Section 1: Highlights of the Federal Pell Grant Program



Highlights of the Federal Pell Grant Program

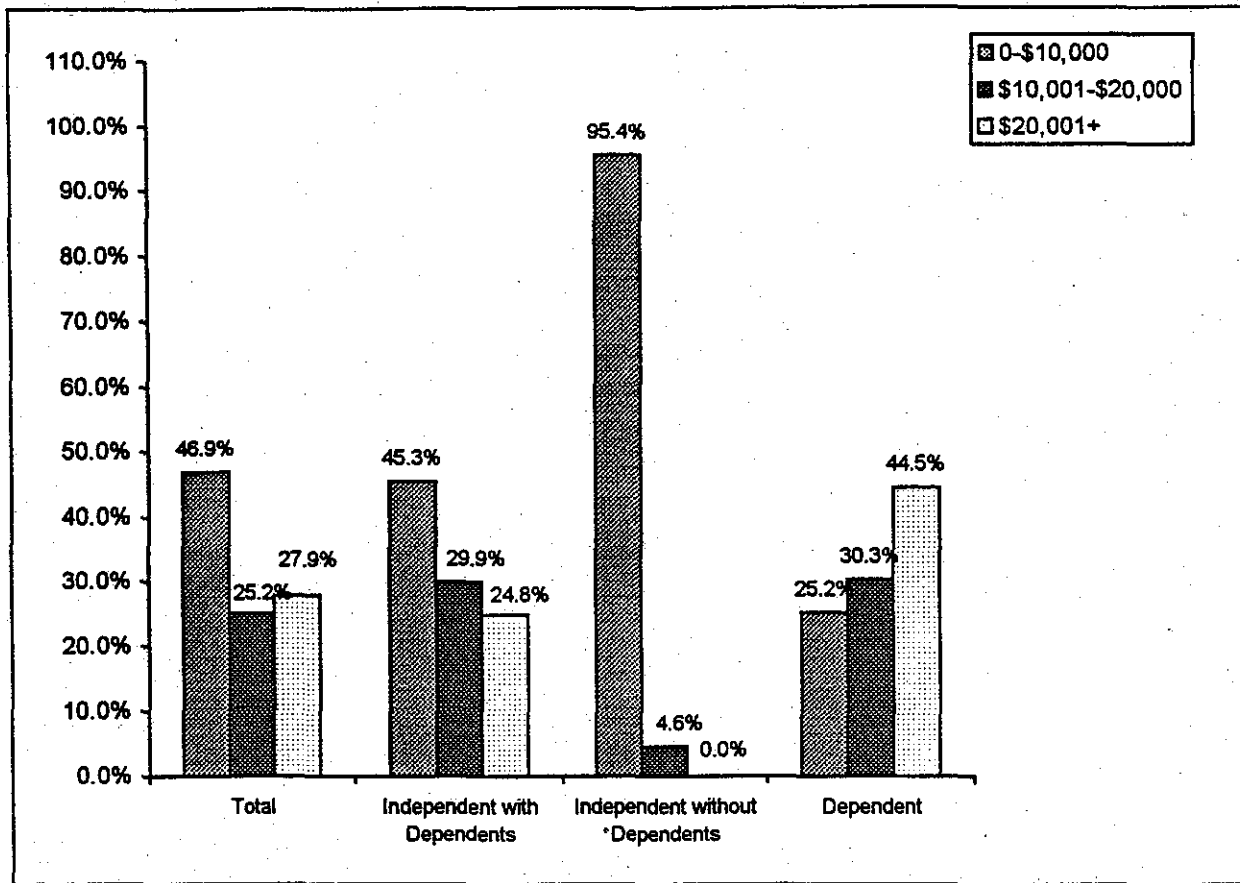


Figure 1: Percentage of Title IV Applicants Who Received a Federal Pell Grant by Family Income

This chapter highlights key 1996-97 Federal Pell Grant Program statistics. Exhibit 1 features some of the most notable changes in 1996-97 illustrated by various tables throughout the End-of-Year Report.

Applicant Summary

In the 1996-97 award year, 9,312,142 students, or more than three-quarters of all undergraduate students, applied for Title IV aid. (According to the National Center for Education Statistics, undergraduate enrollment in the Fall of 1996 was projected to be approximately 12 million.). This represents a 2.1 percent increase over the number of applicants in 1995-96 and constituted a 30.4 percent increase since 1990-91.

Of the students who applied for a Federal Pell Grant in 1996-97, 51.7 percent are eligible to receive a grant. More than one third (34.9 percent) did not qualify to receive a grant and

the status of the remaining 13.4 percent could not be determined because they provided insufficient information on the application and did not complete application processing.

Recipient Summary

Several changes came about as a result of the enactment of the 1992 Amendment to the Higher Education Act and have been in effect since 1993-94. Most notably, changes were implemented regarding the criteria and eligibility of independent students. Married and/or graduate or professional students are automatically considered independent. In addition, a student is no longer considered to be independent solely on the basis of having received \$4,000 in resources for 2 years. Also, the need analysis formulae were divided into two classifications of independents: independent with dependents other than a spouse, and independents without dependents other than a spouse with significant higher

expected contributions from the latter group. This latter group experienced a decrease in eligibility rates under the new rules.

Consistent with the intent of the Federal Pell Grant Program, the data shows that grants are directed towards the lowest income students. As shown in Figure 1, 46.9 percent of applicants eligible to receive a Federal Pell Grant report income of less than \$10,000; in comparison only 27.9 percent of eligible applicants report income greater than \$20,000. The percents differ by dependency status, however. Almost all eligible independents with no dependents have incomes of \$10,000 or less, while 25.2 percent of parents of eligible dependent applicants have incomes of less than \$10,000, and 44.6 percent have incomes of \$20,000 or more.

Most recipients are in the lower income ranges. In 1996-97, only about one quarter (28.9 percent) of all recipients report family income of greater than \$20,000. Figure 2 shows that independents are more numerous in the lower income ranges than dependents: 57.7 percent of all independents report family income of \$9,000 or less compared to only 21.6 percent of dependent recipients. Likewise, about 45 percent of dependents report family income greater than \$20,000 while only 17.2 percent of independents report income in this range.

The average family income for the total recipient population increased 6.2 percent from \$13,465 in 1995-96 to \$14,298 in 1996-97. Average income for independents rose by 6.6 percent, from \$10,001 to \$10,666. Dependents also experienced a slight increase (4.9 percent) in average family income from \$18,358 in 1995-96, to \$19,259 in 1996-97.

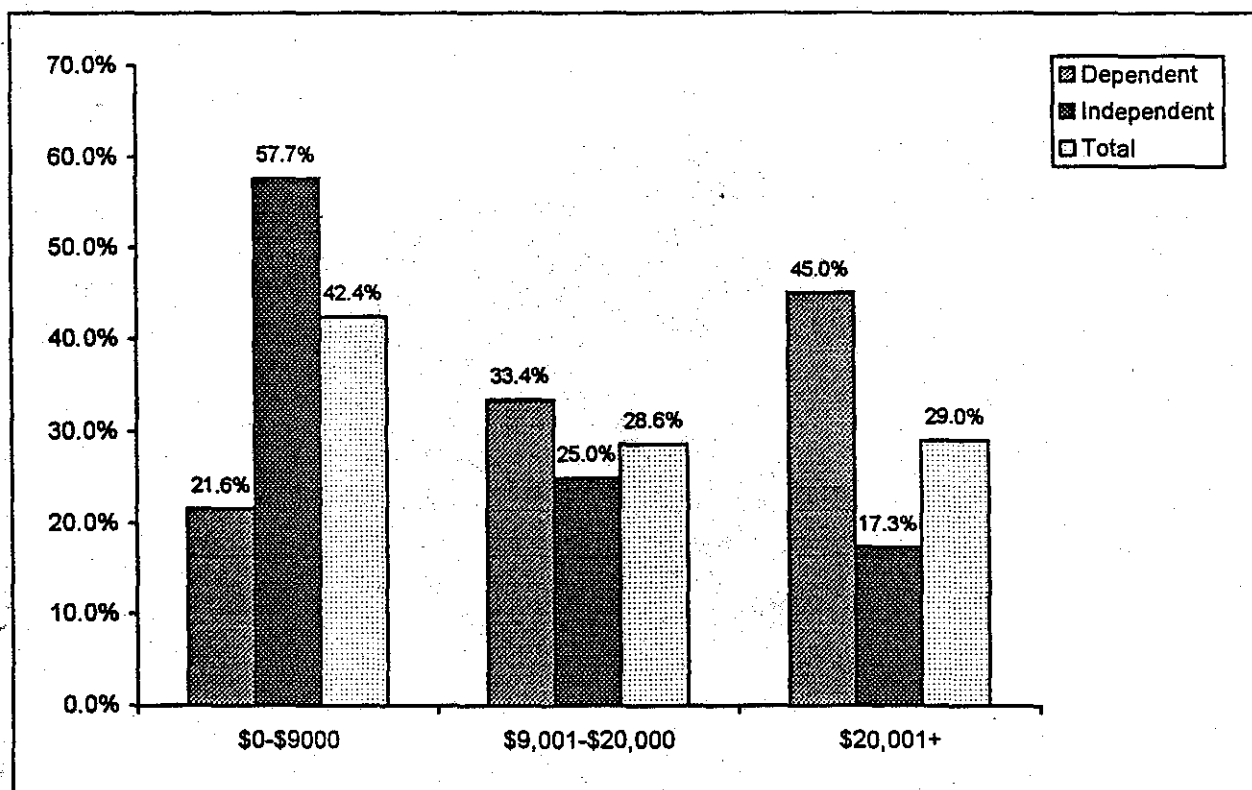


Figure 2: Family Income of Federal Pell Grant Recipients

Most 1996-97 recipients reported few available assets. More than nine out of ten (97.1 percent) recipients have net assets of less than \$25,000. Over ninety-nine percent of independent recipients had less than \$25,000 in net assets compared to 93.9 percent of dependent recipients.

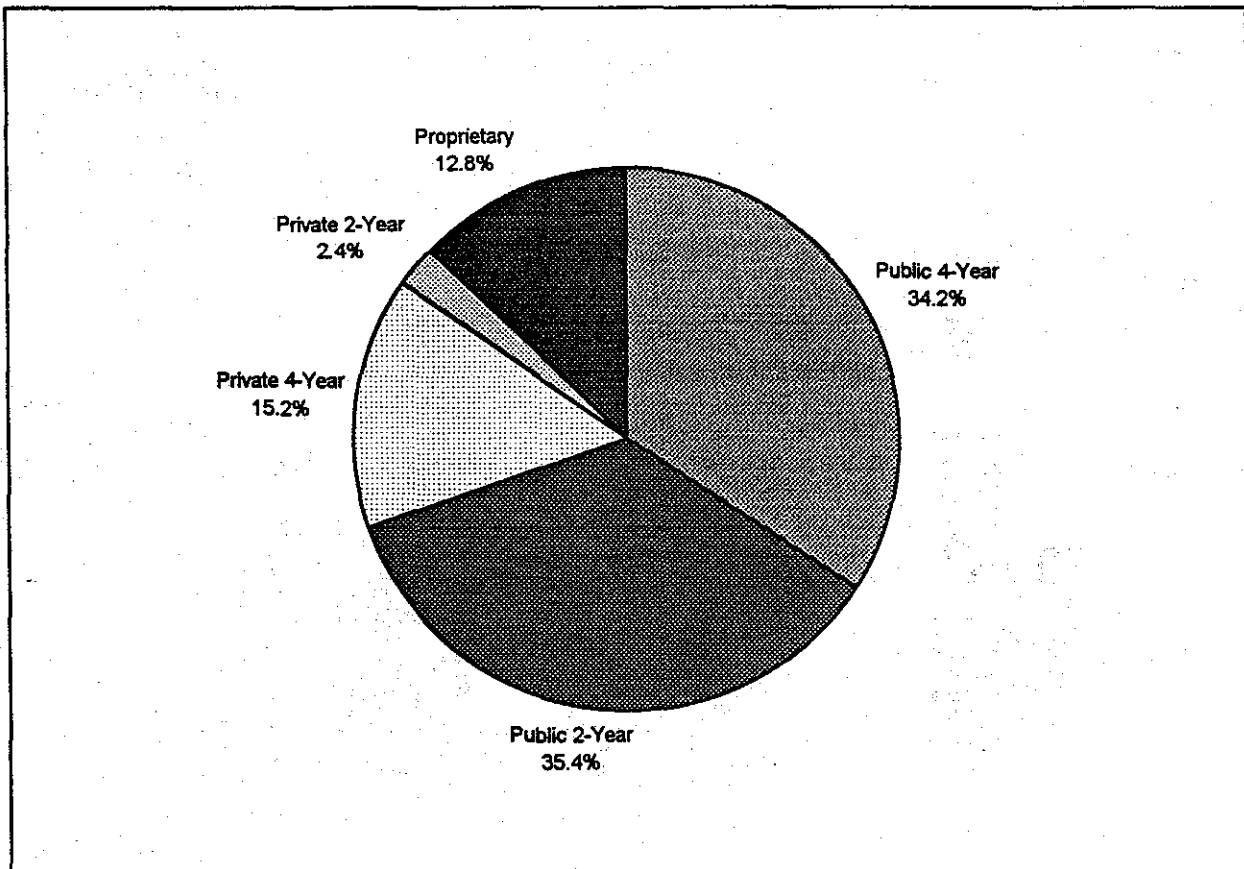
Expenditure Summary

The average grant rose from \$1,515 in 1995-96 to \$1,577 in 1996-97 (a 4.1 percent increase), reflecting the slight change in the maximum grant available to Federal Pell Grant recipients. (\$2,340 in 1995-96 and \$2,470 in 1996-97). Total expenditures for the 1996-97 cycle is \$5.78 billion dollars (a 5.6 percent increase from 1995-96).

Institutional Characteristics

In 1996-97, 5,817 institutions participated in the Federal Pell Grant Program. Nearly four out of ten (37.5 percent) institutions participating in the program are proprietary schools. Public schools are next in number, representing 35.0 percent of the total. Private non-profit institutions account for the remaining 27.5 percent of all schools. This information is found on Table 19.

Enrollment of Federal Pell Grant recipients vary by type and control of school (Figure 3). Although fewer in number, public 2-year and 4-year institutions enrolled 35.4 and 34.2 percent of all recipients, respectively.



Private non-profit institutions enroll fewer recipients, with private 4-year institutions accounting for 15.2 percent of recipients and private 2-year schools enrolling only 2.4 percent of all recipients. Proprietary institutions account for approximately 12.8 percent of Federal Pell Grant recipients.

As shown in Figure 4, nearly 8 million of all applications are FAFSAs (83.5 percent); 48.7 percent of these filers qualify to receive a grant. Although relatively small in number, applications submitted electronically qualify at a high rate (66.9 percent of initial applications and 65.8 percent of renewal applications).

Application Source

In 1996-97 students can apply for aid using any one of three paper forms – two Multiple Data Entry (MDE) forms and the Free Application for Federal Student Aid (FAFSA) – or electronically via an initial application or a renewal application. The questions relating to Federal Student aid on each form are identical.

The remaining chapters focus on a variety of aspects of the Federal Pell Grant population with special emphasis on income-related characteristics, grant levels, educational costs, and enrollment status, for each EFC, dependency status and institution type.

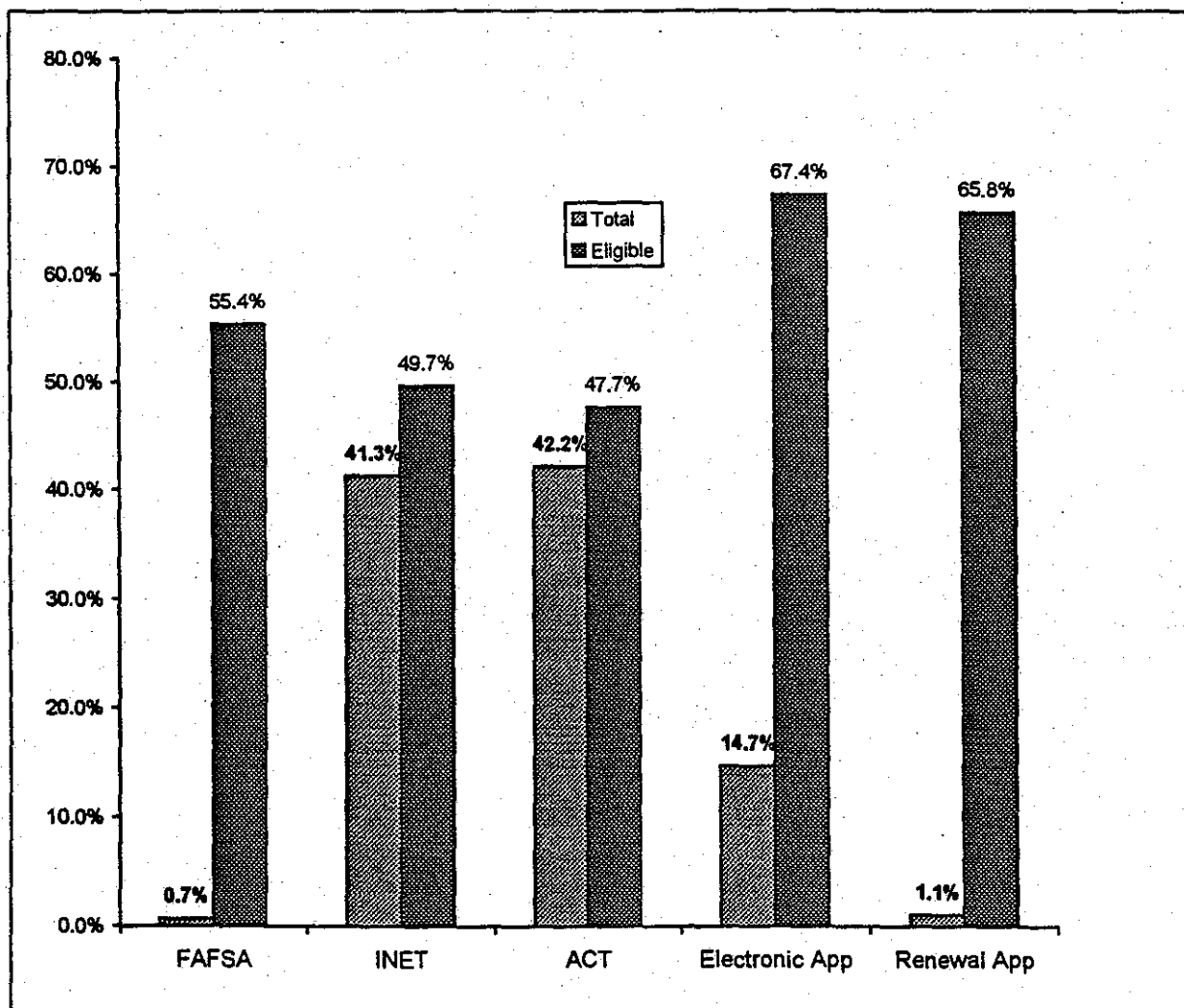


Figure 4: Title IV Applicants by Application Source

Exhibit 1

Summary of Selected Changes in the Pell Grant Program: 1995-96 to 1996-97

- Applicants increased 2.0 percent from 9.12 million to 9.31 million.
- 1.5 percent increase in recipients, from approximately 3.612 million to 3.666 million.
- Average family income of recipients increased 6.2 percent from \$13,465 to \$14,298.
- Average educational cost for total recipients was \$10,182.
- Slight increase (4.1 percent) in the average Federal Pell Grant, from \$1,515 to \$1,577. The maximum allowable grant for the 1996-97 cycle was \$2,470.
- Total Federal Pell Grant expenditures increased 5.7 percent, from \$5.47 billion to \$5.78 billion.

Table 1: Federal Pell Grant Program: Summary Statistics for Cross-Year Reference

Table 1 summarizes the general applicant and recipient trends in the Federal Pell Grant Program from award period 1973-74, the first year of the program, through award period 1996-97.

**TABLE 1
FEDERAL PELL GRANT PROGRAM
SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE
(PART 1 OF 4)**

	AWARD PERIOD					
	1973-1974	1974-1975	1975-1976	1976-1977	1977-1978	1978-1979
NUMBER OF TITLE IV APPLICANTS SUBMITTING OFFICIAL APPLICATIONS.....	512,866	1,304,877	2,339,337	3,590,379	3,844,047	3,885,383
NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING VALID APPLICATIONS.....	482,331	1,114,084	2,178,696	3,408,718	3,621,641	3,401,428
NUMBER AND PERCENT OF FEDERAL PELL GRANT ELIGIBLE APPLICANTS.....	268,444 52.30%	681,648 52.20%	1,455,187 62.20%	2,258,043 62.90%	2,390,320 62.20%	2,228,603 57.40%
NUMBER AND PERCENT OF FEDERAL PELL GRANT INELIGIBLE APPLICANTS.....	213,887 41.70%	432,436 33.10%	723,509 30.90%	1,150,675 32.10%	1,231,321 32.00%	1,172,825 30.20%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR INSUFFICIENT DATA AND NEVER RESUBMITTED FOR PROCESSING.....	30,535 6.00%	190,793 14.60%	160,641 6.90%	181,661 5.10%	222,406 5.80%	483,955 12.50%
NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL APPLICATIONS.....	0	0	0	0	0	348,236
	FEDERAL PELL GRANT RECIPIENTS					
CLASSES OF ELIGIBLE APPLICANTS						
	FULL-TIME FRESHMEN	FULL-TIME FRESHMEN & SOPHOMORES	FRESHMEN SOPHOMORES & JUNIORS	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES
NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION.....	0	0	0	0	0	119,263
FEDERAL PELL GRANT RECIPIENTS.....	176,000	567,000	1,217,000	1,944,000	2,011,000	1,893,000
TOTAL EXPENDITURES.....	\$47,589,000	\$358,353,000	\$925,998,000	\$1,475,444,000	\$1,524,340,000	\$1,540,895,000
AVERAGE PELL GRANT.....	\$270	\$628	\$761	\$759	\$758	\$814
MINIMUM PELL GRANT.....	\$50	\$50	\$200	\$200	\$200	\$50
MAXIMUM PELL GRANT.....	\$452	\$1,050	\$1,400	\$1,400	\$1,400	\$1,600
FUNDING LEVEL.....	STEPPED REDUCTION	STEPPED REDUCTION	FULL FUNDING	FULL FUNDING	FULL FUNDING	STEPPED REDUCTION

**TABLE 1
FEDERAL PELL GRANT PROGRAM
SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE
(PART 2 OF 4)**

	AWARD PERIOD					
	1979-1980	1980-1981	1981-1982	1982-1983	1983-1984	1984-1985
NUMBER OF TITLE IV APPLICANTS SUBMITTING OFFICIAL APPLICATIONS.....	4,186,716	4,825,420	4,945,760	5,118,558	5,453,548	5,514,029
NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING VALID APPLICATIONS.....	3,868,429	4,475,762	4,614,590	4,709,225	4,955,775	4,981,357
NUMBER AND PERCENT OF FEDERAL PELL GRANT ELIGIBLE APPLICANTS.....	3,029,745 72.40%	3,330,534 69.00%	3,398,237 68.70%	3,341,371 65.30%	3,541,191 64.90%	3,558,386 64.50%
NUMBER AND PERCENT OF FEDERAL PELL GRANT INELIGIBLE APPLICANTS.....	838,684 20.00%	1,145,228 23.70%	1,216,353 24.60%	1,367,854 26.70%	1,414,584 25.90%	1,422,971 25.80%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR INSUFFICIENT DATA AND NEVER RESUBMITTED FOR PROCESSING.....	318,287 7.60%	349,658 7.20%	331,170 6.70%	409,333 8.00%	497,773 9.10%	532,672 9.70%
NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL APPLICATIONS.....	280,918	265,283	266,197	296,146	284,945	299,485
	FEDERAL PELL GRANT RECIPIENTS					
CLASSES OF ELIGIBLE APPLICANTS	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES
NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION.....	232,118	320,852	313,791	1,660,021	1,047,792	1,046,080
FEDERAL PELL GRANT RECIPIENTS.....	2,537,875	2,707,932	2,709,076	2,522,746	2,758,906	2,747,100
TOTAL EXPENDITURES.....	\$2,357,222,000	\$2,387,117,000	\$2,299,718,000	\$2,420,517,000	\$2,797,057,000	\$3,052,999,052
AVERAGE PELL GRANT.....	\$929	\$882	\$849	\$959	\$1,014	\$1,111
MINIMUM PELL GRANT.....	\$200	\$150	\$120	\$50	\$200	\$200
MAXIMUM PELL GRANT.....	\$1,800	\$1,750	\$1,670	\$1,800	\$1,800	\$1,900
FUNDING LEVEL.....	FULL FUNDING	\$50 FLAT REDUCTION	\$80 FLAT REDUCTION	STEPPE REDUCTION	FULL FUNDING	FULL FUNDING

**TABLE 1
FEDERAL PELL GRANT PROGRAM
SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE
(PART 3 OF 4)**

	AWARD PERIOD					
	1985-1986	1986-1987	1987-1988	1988-1989	1989-1990	1990-1991
NUMBER OF TITLE IV APPLICANTS SUBMITTING OFFICIAL APPLICATIONS.....	5,627,131	6,028,303	6,297,598	6,519,349	6,777,992	7,138,940
NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING VALID APPLICATIONS.....	5,205,492	5,535,734	5,714,194	5,913,224	6,165,309	6,455,099
NUMBER AND PERCENT OF FEDERAL PELL GRANT ELIGIBLE APPLICANTS.....	3,710,933 65.90%	3,769,608 62.50%	3,812,814 60.50%	4,199,322 64.40%	4,347,681 64.10%	4,507,984 63.10%
NUMBER AND PERCENT OF FEDERAL PELL GRANT INELIGIBLE APPLICANTS.....	1,494,559 26.50%	1,766,126 29.20%	1,901,380 30.10%	1,713,902 26.30%	1,817,628 26.80%	1,947,115 27.30%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR INSUFFICIENT DATA AND NEVER RESUBMITTED FOR PROCESSING.....	421,639 7.40%	492,569 8.10%	583,404 9.20%	606,125 9.30%	612,683 9.00%	683,841 9.60%
NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL APPLICATIONS.....	287,661	321,489	320,193	318,291	301,658	177,718
	FEDERAL PELL GRANT RECIPIENTS					
CLASSES OF ELIGIBLE APPLICANTS	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES	ALL UNDER- GRADUATES
NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION.....	2,079,093	2,452,150	1,698,146	1,892,916	1,277,397	1,421,596
FEDERAL PELL GRANT RECIPIENTS.....	2,813,489	2,659,507	2,881,547	3,198,286	3,322,151	3,404,810
TOTAL EXPENDITURES.....	\$3,597,379,921	\$3,460,006,551	\$3,754,329,481	\$4,475,693,249	\$4,777,844,232	\$4,935,191,005
AVERAGE PELL GRANT.....	\$1,279	\$1,301	\$1,303	\$1,399	\$1,438	\$1,449
MINIMUM PELL GRANT.....	\$200	\$100	\$200	\$200	\$200	\$100
MAXIMUM PELL GRANT.....	\$2,100	\$2,100	\$2,100	\$2,200	\$2,300	\$2,300
FUNDING LEVEL.....	FULL FUNDING	LINEAR REDUCTION	FULL FUNDING	FULL FUNDING	FULL FUNDING	LINEAR REDUCTION

**TABLE 1
FEDERAL PELL GRANT PROGRAM
SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE
(PART 4 OF 4)**

	AWARD PERIOD					
	1991-1992	1992-1993	1993-1994	1994-1995	1995-1996	1996-1997
NUMBER OF TITLE IV APPLICANTS SUBMITTING OFFICIAL APPLICATIONS.....	7,775,216	8,248,141	8,770,409	8,969,646	9,117,753	9,312,142
NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING VALID APPLICATIONS.....	6,983,636	7,365,243	8,518,710	7,777,169	7,935,336	8,064,889
NUMBER AND PERCENT OF FEDERAL PELL GRANT ELIGIBLE APPLICANTS.....	4,941,079 63.50%	5,243,139 63.60%	5,382,698 61.40%	4,902,257 54.70%	4,786,238 52.50%	4,814,280 51.70%
NUMBER AND PERCENT OF FEDERAL PELL GRANT INELIGIBLE APPLICANTS.....	2,042,557 26.30%	2,122,104 25.70%	3,136,012 35.80%	2,874,912 32.10%	3,149,098 34.50%	3,250,609 34.90%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR INSUFFICIENT DATA AND NEVER RESUBMITTED FOR PROCESSING.....	791,580 10.20%	882,898 10.70%	251,699 2.90%	234,305 2.60%	197,165 2.20%	226,170 2.40%
NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL APPLICATIONS.....	176,021	189,665	201,167	201,020	N/A	N/A
	FEDERAL PELL GRANT RECIPIENTS					
CLASSES OF ELIGIBLE APPLICANTS	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES	ALL UNDER-GRADUATES
NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION.....	1,631,617	1,614,852	2,357,145	1,841,475	1,874,347	1,938,772
FEDERAL PELL GRANT RECIPIENTS.....	3,786,230	4,002,045	3,755,675	3,674,967	3,611,821	3,665,654
TOTAL EXPENDITURES.....	\$5,792,702,829	\$6,175,902,364	\$5,654,453,265	\$5,519,474,492	\$5,471,707,710	\$5,780,032,888
AVERAGE PELL GRANT.....	\$1,530	\$1,543	\$1,506	\$1,502	\$1,515	\$1,577
MINIMUM PELL GRANT.....	\$200	\$200	\$400	\$400	\$400	\$200
MAXIMUM PELL GRANT.....	\$2,400	\$2,400	\$2,300	\$2,300	\$2,340	\$2,470
FUNDING LEVEL.....	FULL FUNDING	FULL FUNDING	FULL FUNDING	FULL FUNDING	FULL FUNDING	FULL FUNDING

NOTE: IN 1994-95 GRADUATE STUDENTS WERE REMOVED FROM THE VALID APPLICANT COUNT. STARTING IN 1995-96 ALL APPLICATIONS WERE CONSIDERED OFFICIAL.

Section 2: Selected Characteristics of Federal Pell Grant Recipients

Table 2: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Family Income

Table 2A: *Total*

Table 2B: *Dependent*

Table 2C: *Independent*

Table 2A shows the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and family income. Tables 2B and 2C show the same data for dependent and independent students, respectively.

Family income is defined as all taxable income, untaxed income and benefits, including child support received, earned income credit, social security benefits and Aid to Families with Dependent Children, minus certain income, such as taxable grant and scholarship aid, earnings for work-study programs, and child support paid.

For a dependent student, family income is the parents' income. For an independent student, family income is the student's (and spouse's) income.

Table 3: Distribution of Federal Pell Grant Recipients by Family Income and Grant Level

Table 3A: *Total*

Table 3B: *Dependent*

Table 3C: *Independent*

Tables 3A, 3B, and 3C present the distribution of Federal Pell Grant recipients by family income and grant level for all recipients, dependents, and independents, respectively.

Table 4: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Grant Level

Table 4A: *Total*

Table 4B: *Dependent*

Table 4C: *Independent*

Tables 4A, 4B, and 4C present the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and grant level for all recipients, dependents, and independents, respectively.

Table 5: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Type and Control of Institution

Table 5A: *Total*

Table 5B: *Dependent*

Table 5C: *Independent*

Tables 5A, 5B, and 5C show the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and type and control of institution attended for all recipients, dependents, and independents. Type of institution is identified by the length of the programs principally offered by the institution and control is whether the school is public, private non-profit, or proprietary. The 4-year designation includes colleges offering baccalaureate and/or graduate programs. The 2-year designation denotes a community college or vocational/technical school. The proprietary category refers to profit-making schools primarily offering programs of 2 years or less in duration.

TABLE 5-A

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS BY
EXPECTED FAMILY CONTRIBUTION AND TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

ALL RECIPIENTS

EXPECTED FAMILY CONTRIBUTION:	TYPE AND CONTROL OF INSTITUTION					TOTAL
	PUBLIC		PRIVATE		PROPRIETARY	
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	
AUTOMATIC 0.....	291,797	473,336	132,949	29,380	198,164	1,125,626 N
	25.9	42.1	11.8	2.6	17.6	100.0 R%
	23.3	36.4	23.8	33.0	42.4	30.7 C%
0.....	301,379	353,288	131,923	24,139	137,903	948,632 N
	31.8	37.2	13.9	2.5	14.5	100.0 R%
	24.1	27.2	23.6	27.1	29.5	25.9 C%
1 - 200.....	76,821	60,447	35,245	4,758	16,836	194,107 N
	39.6	31.1	18.2	2.5	8.7	100.0 R%
	6.1	4.7	6.3	5.3	3.6	5.3 C%
201 - 400.....	63,319	53,470	28,093	3,742	15,160	163,784 N
	38.7	32.6	17.2	2.3	9.3	100.0 R%
	5.1	4.1	5	4.2	3.2	4.5 C%
401 - 600.....	61,323	50,796	28,028	3,694	14,203	158,044 N
	38.8	32.1	17.7	2.3	9	100.0 R%
	4.9	3.9	5	4.1	3	4.3 C%
601 - 800.....	60,747	47,159	26,937	3,329	12,839	151,011 N
	40.2	31.2	17.8	2.2	8.5	100.0 R%
	4.9	3.6	4.8	3.7	2.7	4.1 C%
801 - 1,000.....	59,970	44,330	26,645	3,174	11,838	145,957 N
	41.1	30.4	18.3	2.2	8.1	100.0 R%
	4.8	3.4	4.8	3.6	2.5	4.0 C%
1,001 - 1,200.....	59,215	43,062	26,163	3,115	11,133	142,688 N
	41.5	30.2	18.3	2.2	7.8	100.0 R%
	4.7	3.3	4.7	3.5	2.4	3.9 C%
1,201 - 1,400.....	57,295	40,449	25,535	2,977	10,789	137,045 N
	41.8	29.5	18.6	2.2	7.9	100.0 R%
	4.6	3.1	4.6	3.3	2.3	3.7 C%
1,401 - 1,600.....	55,757	36,651	24,878	2,873	10,075	130,234 N
	42.8	28.1	19.1	2.2	7.7	100.0 R%
	4.5	2.8	4.5	3.2	2.2	3.6 C%
1,601 - 1,800.....	53,135	33,369	23,291	2,629	9,420	121,844 N
	43.6	27.4	19.1	2.2	7.7	100.0 R%
	4.2	2.6	4.2	3.0	2	3.3 C%
1,801 - 2,000.....	50,383	30,538	22,189	2,451	8,752	114,313 N
	44.1	26.7	19.4	2.1	7.7	100.0 R%
	4	2.4	4	2.8	1.9	3.1 C%
2,001 - 2,270.....	61,268	31,926	26,064	2,794	10,317	132,369 N
	46.3	24.1	19.7	2.1	7.8	100.0 R%
	4.9	2.5	4.7	3.1	2.2	3.6 C%
TOTAL.....	1,252,409	1,298,821	557,940	89,055	467,429	3,665,654 N
	34.2	35.4	15.2	2.4	12.8	100.0 R%
	100	100	100	100.0	100	100.0 C%

TABLE 5-A

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS BY
EXPECTED FAMILY CONTRIBUTION AND TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

DEPENDENT RECIPIENTS

EXPECTED FAMILY CONTRIBUTION:	TYPE AND CONTROL OF INSTITUTION					TOTAL
	PUBLIC		PRIVATE		PROPRIETARY	
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	
AUTOMATIC 0.....	155,430	149,454	75,708	8,853	50,512	439,957 N
	35.3	34	17.2	2	11.5	100.0 R%
	23.8	35.4	23.4	26.9	42.9	28.4 C%
0.....	92,798	72,977	49,657	5,605	23,588	244,625 N
	37.9	29.8	20.3	2.3	9.6	100.0 R%
	14.2	17.3	15.4	17.0	20.1	15.8 C%
1 - 200.....	50,290	25,838	25,087	2,657	5,535	109,407 N
	46	23.6	22.9	2.4	5.1	100.0 R%
	7.7	6.1	7.8	8.1	4.7	7.1 C%
201 - 400.....	36,067	19,269	17,919	1,734	4,236	79,225 N
	45.5	24.3	22.6	2.2	5.3	100.0 R%
	5.5	4.6	5.5	5.3	3.6	5.1 C%
401 - 600.....	34,873	18,649	17,601	1,729	4,176	77,028 N
	45.3	24.2	22.9	2.2	5.4	100.0 R%
	5.3	4.4	5.4	5.3	3.5	5.0 C%
601 - 800.....	35,579	18,220	17,499	1,603	3,987	76,888 N
	46.3	23.7	22.8	2.1	5.2	100.0 R%
	5.4	4.3	5.4	4.9	3.4	5.0 C%
801 - 1,000.....	36,143	18,274	17,763	1,662	3,952	77,794 N
	46.5	23.5	22.8	2.1	5.1	100.0 R%
	5.5	4.3	5.5	5.1	3.4	5.0 C%
1,001 - 1,200.....	36,120	18,221	17,451	1,606	3,835	77,233 N
	46.8	23.6	22.6	2.1	5	100.0 R%
	5.5	4.3	5.4	4.9	3.3	5.0 C%
1,201 - 1,400.....	35,108	17,517	17,021	1,528	3,745	74,919 N
	46.9	23.4	22.7	2	5	100.0 R%
	5.4	4.1	5.3	4.6	3.2	4.8 C%
1,401 - 1,600.....	34,511	16,591	16,636	1,561	3,488	72,787 N
	47.4	22.8	22.9	2.1	4.8	100.0 R%
	5.3	3.9	5.1	4.7	3	4.7 C%
1,601 - 1,800.....	33,433	15,765	16,195	1,397	3,382	70,172 N
	47.6	22.5	23.1	2	4.8	100.0 R%
	5.1	3.7	5	4.2	2.9	4.5 C%
1,801 - 2,000.....	32,015	14,751	15,512	1,321	3,207	66,806 N
	47.9	22.1	23.2	2	4.8	100.0 R%
	4.9	3.5	4.8	4.0	2.7	4.3 C%
2,001 - 2,270.....	40,627	16,943	19,276	1,653	3,996	82,495 N
	49.2	20.5	23.4	2	4.8	100.0 R%
	6.2	4	6	5.0	3.4	5.3 C%
TOTAL.....	652,994	422,469	323,325	32,909	117,639	1,549,336 N
	42.1	27.3	20.9	2.1	7.6	100.0 R%
	100	100	100	100.0	100	100.0 C%

TABLE 5-C

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS BY
EXPECTED FAMILY CONTRIBUTION AND TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

INDEPENDENT RECIPIENTS

EXPECTED FAMILY CONTRIBUTION:	TYPE AND CONTROL OF INSTITUTION					TOTAL
	PUBLIC		PRIVATE		PROPRIETARY	
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	
AUTOMATIC 0.....	136,367	323,882	57,241	20,527	147,652	685,669 N
	19.9	47.2	8.3	3	21.5	100.0 R%
	22.8	37	24.4	36.6	42.2	32.4 C%
0.....	208,581	280,311	82,266	18,534	114,315	704,007 N
	29.6	39.8	11.7	2.6	16.2	100.0 R%
	34.8	32	35.1	33.0	32.7	33.3 C%
1 - 200.....	26,531	34,609	10,158	2,101	11,301	84,700 N
	31.3	40.9	12	2.5	13.3	100.0 R%
	4.4	3.9	4.3	3.7	3.2	4.0 C%
201 - 400.....	27,252	34,201	10,174	2,008	10,924	84,559 N
	32.2	40.4	12	2.4	12.9	100.0 R%
	4.5	3.9	4.3	3.6	3.1	4.0 C%
401 - 600.....	26,450	32,147	10,427	1,965	10,027	81,016 N
	32.6	39.7	12.9	2.4	12.4	100.0 R%
	4.4	3.7	4.4	3.5	2.9	3.8 C%
601 - 800.....	25,168	28,939	9,438	1,726	8,852	74,123 N
	34	39	12.7	2.3	11.9	100.0 R%
	4.2	3.3	4	3.1	2.5	3.5 C%
801 - 1,000.....	23,827	26,056	8,882	1,512	7,886	68,163 N
	35	38.2	13	2.2	11.6	100.0 R%
	4	3	3.8	2.7	2.3	3.2 C%
1,001 - 1,200.....	23,095	24,841	8,712	1,509	7,298	65,455 N
	35.3	38	13.3	2.3	11.1	100.0 R%
	3.9	2.8	3.7	2.7	2.1	3.1 C%
1,201 - 1,400.....	22,187	22,932	8,514	1,449	7,044	62,126 N
	35.7	36.9	13.7	2.3	11.3	100.0 R%
	3.7	2.6	3.6	2.6	2	2.9 C%
1,401 - 1,600.....	21,246	20,060	8,242	1,312	6,587	57,447 N
	37	34.9	14.3	2.3	11.5	100.0 R%
	3.5	2.3	3.5	2.3	1.9	2.7 C%
1,601 - 1,800.....	19,702	17,604	7,096	1,232	6,038	51,672 N
	38.1	34.1	13.7	2.4	11.7	100.0 R%
	3.3	2	3	2.2	1.7	2.4 C%
1,801 - 2,000.....	18,368	15,787	6,677	1,130	5,545	47,507 N
	38.7	33.2	14.1	2.4	11.7	100.0 R%
	3.1	1.8	2.8	2.0	1.6	2.2 C%
2,001 - 2,270.....	20,641	14,983	6,788	1,141	6,321	49,874 N
	41.4	30	13.6	2.3	12.7	100.0 R%
	3.4	1.7	2.9	2.0	1.8	2.4 C%
TOTAL.....	599,415	876,352	234,615	56,146	349,790	2,116,318 N
	28.3	41.4	11.1	2.7	16.5	100.0 R%
	100	100	100	100.0	100	100.0 C%

Table 6: Distribution of Federal Pell Grant Recipients by Family Income and Type and Control of Institution

Table 6A: *Total*

Table 6B: *Dependent*

Table 6C: *Independent*

Tables 6A, 6B, and 6C provide the distribution of recipients by family income and type and control of institution for all recipients, dependents and independents.

Table 7: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Educational Cost

Table 7A: *Total*

Table 7B: *Dependent*

Table 7C: *Independent*

Tables 7A, 7B, and 7C show the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and educational cost. Table 7A shows the distribution for all recipients. Tables 7B and 7C present the same breakdown for dependents and independents, respectively.

Student educational costs considered for Federal Pell Grant award purposes include tuition and fees, and allowances for the cost of books, supplies, transportation, and miscellaneous expenses as determined by the institution.

The room and board allowance, also determined by the institution, are at least \$1,500 for students without dependents living at home with their parents, and at least \$2,500 for all other students enrolled at least half-time.

Also permitted are certain additional allowances, such as provisions for child care and costs of special services or equipment required by handicapped students for attendance, but which are not provided by other assisting agencies.

Table 8: Distribution of Federal Pell Grant Recipients by Family Income and Educational Cost

Table 8A: *Total*

Table 8B: *Dependent*

Table 8C: *Independent*

Tables 8A, 8B, and 8C show the distribution of Federal Pell Grant recipients by family income and educational cost for all students, dependents, and independents.

TABLE 8-A

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS
BY FAMILY INCOME AND EDUCATIONAL COST
AWARD PERIOD 1996-97**

ALL RECIPIENTS

FAMILY INCOME	EDUCATIONAL COST							TOTAL
	UNDER \$2,400	\$2,400- 3,000	\$3,001- 6,000	\$6,001- 9,000	\$9,001- 12,000	\$12,001- 15,000	OVER \$15,000	
LESS THAN \$1,001.....	510	5,038	35,467	67,732	70,223	34,157	28,678	241,805 N
	0.2	2.1	14.7	28.0	29.0	14.1	11.9	100.0 R%
	7.8	7.4	6.8	6.2	6.8	7.0	6.1	6.6 C%
\$1,001 - 3,000.....	498	5,727	43,052	88,246	83,454	41,383	31,505	293,865 N
	0.2	1.9	14.7	30.0	28.4	14.1	10.7	100.0 R%
	7.7	8.4	8.3	8.1	8.1	8.5	6.8	8.0 C%
\$3,001 - 6,000.....	1,014	9,900	73,995	164,803	166,461	81,787	58,447	556,407 N
	0.2	1.8	13.3	29.6	29.9	14.7	10.5	100.0 R%
	15.6	14.5	14.3	15.1	16.2	16.8	12.5	15.2 C%
\$6,001 - 9,000.....	803	9,339	63,903	136,767	139,120	65,660	49,050	464,642 N
	0.2	2	13.8	29.4	29.9	14.1	10.6	100.0 R%
	12.3	13.6	12.3	12.5	13.5	13.5	10.5	12.7 C%
\$9,001 - 15,000.....	1,250	11,813	89,570	186,171	159,876	73,223	62,877	584,780 N
	0.2	2	15.3	31.8	27.3	12.5	10.8	100.0 R%
	19.2	17.3	17.3	17.1	15.5	15.0	13.5	16.0 C%
\$15,001 - 20,000.....	1,061	9,096	69,490	144,028	124,052	57,854	57,650	463,231 N
	0.2	2	15	31.1	26.8	12.5	12.4	100.0 R%
	16.3	13.3	13.4	13.2	12.1	11.9	12.4	12.6 C%
\$20,001 - 30,000.....	1,102	11,903	94,917	199,506	184,969	86,379	105,800	684,576 N
	0.2	1.7	13.9	29.1	27.0	12.6	15.5	100.0 R%
	16.9	17.4	18.3	18.3	18	17.7	22.7	18.7 C%
\$30,001 - 40,000.....	236	4,443	36,771	79,207	76,553	35,917	52,389	285,516 N
	0.1	1.6	12.9	27.7	26.8	12.6	18.3	100.0 R%
	3.6	6.5	7.1	7.3	7.4	7.4	11.2	7.8 C%
\$40,001 +.....	35	1,220	10,638	24,073	23,882	10,942	20,042	90,832 N
	0	1.3	11.7	26.5	26.3	12	22.1	100.0 R%
	0.5	1.8	2.1	2.2	2.3	2.2	4.3	2.5 C%
TOTAL.....	6,509	68,479	517,803	1,090,533	1,028,590	487,302	466,438	3,665,654 N
	0.2	1.9	14.1	29.8	28.1	13.3	12.7	100.0 R%
	100	100	100	100	100	100.0	100	100.0 C%

TABLE 8-A

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS
BY FAMILY INCOME AND EDUCATIONAL COST
AWARD PERIOD 1996-97**

DEPENDENT RECIPIENTS

FAMILY INCOME	EDUCATIONAL COST							TOTAL
	UNDER \$2,400	\$2,400- 3,000	\$3,001- 6,000	\$6,001- 9,000	\$9,001- 12,000	\$12,001- 15,000	OVER \$15,000	
LESS THAN \$1,001.....	90	996	9,429	15,448	11,921	5,641	7,702	51,227 N
	0.2	1.9	18.4	30.2	23.3	11	15	100.0 R%
	3.7	3.7	3.5	3.1	3.4	3.5	3.2	3.3 C%
\$1,001 - 3,000.....	91	986	11,126	18,553	10,044	4,417	5,068	50,285 N
	0.2	2	22.1	36.9	20.0	8.8	10.1	100.0 R%
	3.7	3.7	4.1	3.8	2.8	2.7	2.1	3.2 C%
\$3,001 - 6,000.....	246	2,002	23,253	41,515	23,873	10,251	11,563	112,703 N
	0.2	1.8	20.6	36.8	21.2	9.1	10.3	100.0 R%
	10.1	7.4	8.6	8.4	6.8	6.4	4.7	7.3 C%
\$6,001 - 9,000.....	289	2,685	25,221	42,997	25,180	11,285	13,437	121,094 N
	0.2	2.2	20.8	35.5	20.8	9.3	11.1	100.0 R%
	11.9	10	9.4	8.7	7.1	7.0	5.5	7.8 C%
\$9,001 - 15,000.....	537	5,415	52,743	90,372	56,108	26,068	33,041	264,284 N
	0.2	2	20	34.2	21.2	9.9	12.5	100.0 R%
	22	20.1	19.6	18.3	15.9	16.2	13.5	17.1 C%
\$15,001 - 20,000.....	487	4,677	45,635	82,330	57,258	26,354	36,925	253,666 N
	0.2	1.8	18	32.5	22.6	10.4	14.6	100.0 R%
	20	17.4	17	16.7	16.2	16.4	15.1	16.4 C%
\$20,001 - 30,000.....	534	6,567	65,580	126,033	101,156	46,084	76,491	422,445 N
	0.1	1.6	15.5	29.8	23.9	10.9	18.1	100.0 R%
	21.9	24.4	24.4	25.6	28.7	28.6	31.3	27.3 C%
\$30,001 - 40,000.....	137	2,669	27,125	55,435	48,178	22,242	41,764	197,550 N
	0.1	1.4	13.7	28.1	24.4	11.3	21.1	100.0 R%
	5.6	9.9	10.1	11.2	13.6	13.8	17.1	12.8 C%
\$40,001 +.....	26	955	8,939	20,178	19,340	8,617	18,027	76,082 N
	0	1.3	11.7	26.5	25.4	11.3	23.7	100.0 R%
	1.1	3.5	3.3	4.1	5.5	5.4	7.4	4.9 C%
TOTAL.....	2,437	26,952	269,051	492,861	353,058	160,959	244,018	1,549,336 N
	0.2	1.7	17.4	31.8	22.8	10.4	15.7	100.0 R%
	100	100	100	100	100	100.0	100	100.0 C%

TABLE 8-C

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS
BY FAMILY INCOME AND EDUCATIONAL COST
AWARD PERIOD 1996-97**

INDEPENDENT RECIPIENTS

FAMILY INCOME	EDUCATIONAL COST							TOTAL
	UNDER \$2,400	\$2,400- 3,000	\$3,001- 6,000	\$6,001- 9,000	\$9,001- 12,000	\$12,001- 15,000	OVER \$15,000	
LESS THAN \$1,001.....	420	4,042	26,038	52,284	58,302	28,516	20,976	190,578 N
	0.2	2.1	13.7	27.4	30.6	15	11	100.0 R%
	10.3	9.7	10.5	8.7	8.6	8.7	9.4	9.0 C%
\$1,001 - 3,000.....	407	4,741	31,926	69,693	73,410	36,966	26,437	243,580 N
	0.2	1.9	13.1	28.6	30.1	15.2	10.9	100.0 R%
	10	11.4	12.8	11.7	10.9	11.3	11.9	11.5 C%
\$3,001 - 6,000.....	768	7,898	50,742	123,288	142,588	71,536	46,884	443,704 N
	0.2	1.8	11.4	27.8	32.1	16.1	10.6	100.0 R%
	18.9	19	20.4	20.6	21.1	21.9	21.1	21.0 C%
\$6,001 - 9,000.....	514	6,654	38,682	93,770	113,940	54,375	35,613	343,548 N
	0.1	1.9	11.3	27.3	33.2	15.8	10.4	100.0 R%
	12.6	16	15.6	15.7	16.9	16.7	16	16.2 C%
\$9,001 - 15,000.....	713	6,398	36,827	95,799	103,768	47,155	29,836	320,496 N
	0.2	2	11.5	29.9	32.4	14.7	9.3	100.0 R%
	17.5	15.4	14.8	16	15.4	14.4	13.4	15.1 C%
\$15,001 - 20,000.....	574	4,419	23,855	61,698	66,794	31,500	20,725	209,565 N
	0.3	2.1	11.4	29.4	31.9	15	9.9	100.0 R%
	14.1	10.6	9.6	10.3	9.9	9.7	9.3	9.9 C%
\$20,001 - 30,000.....	568	5,336	29,337	73,473	83,813	40,295	29,309	262,131 N
	0.2	2	11.2	28.0	32.0	15.4	11.2	100.0 R%
	13.9	12.8	11.8	12.3	12.4	12.3	13.2	12.4 C%
\$30,001 - 40,000.....	99	1,774	9,646	23,772	28,375	13,675	10,625	87,966 N
	0.1	2	11	27.0	32.3	15.5	12.1	100.0 R%
	2.4	4.3	3.9	4	4.2	4.2	4.8	4.2 C%
\$40,001 +.....	9	265	1,699	3,895	4,542	2,325	2,015	14,750 N
	0.1	1.8	11.5	26.4	30.8	15.8	13.7	100.0 R%
	0.2	0.6	0.7	0.7	0.7	0.7	0.9	0.7 C%
TOTAL.....	4,072	41,527	248,752	597,672	675,532	326,343	222,420	2,116,318 N
	0.2	2	11.8	28.2	31.9	15.4	10.5	100.0 R%
	100	100	100	100	100	100.0	100	100.0 C%

Table 9: Distribution of Federal Pell Grant Recipients by Educational Cost and Grant Level

Table 9A: *Total*

Table 9B: *Dependent*

Table 9C: *Independent*

Tables 9A, 9B, and 9C present the distribution of Federal Pell Grant recipients by educational cost and grant level for all recipients, dependents and independents, respectively.

Table 10: Distribution of Federal Pell Grant Recipients by Family Income and Net Asset Level

Table 10A: *Total*

Table 10B: *Dependent*

Table 10C: *Independent*

Tables 10A, 10B, and 10C present the distribution of Federal Pell Grant recipients by family income and asset level for all recipients, dependents and independents, respectively.

Net assets is the sum of the market value, less unpaid debts, of real estate and investments, a business, and a non-family farm, plus cash, savings, and checking accounts. In 1993-94, the net value of the principal residence and the net value of a family farm on which the family resides was eliminated from all EFC formulas.

The formulae for calculating the Expected Family Contribution provide for asset reserves that "protect" a portion of the student's or parents' assets when determining the contribution from assets.

Table 11: Distribution of Federal Pell Grant Recipients by Age and Family Income

Table 11A: *Total*

Table 11B: *Dependent*

Table 11C: *Independent*

Tables 11A, 11B, and 11C show the distribution of Federal Pell Grant recipients by the student's age and family income. Table 11A displays the distribution for all recipients, while Tables 11B and 11C provide the same data for dependents and independents, respectively.

Section 3: Miscellaneous Student Characteristics

Table 13: Distribution of Title IV Applicants by Pell Grant Eligibility Status and Income Range

Table 13 presents a distribution of Title IV applicants by Federal Pell Grant eligibility status and income range. Unlike other tables in the End-of-Year Report, Table 13 is based on data collected by the Management Information System (MIS) of the Central Processing System (CPS). It contains information on the total Title IV applicant pool rather than total recipients. The family income categories used in Table 13 are derived from the CPS MIS reports and consequently differ from other tables in this report.

TABLE 13

**DISTRIBUTION OF TITLE IV AND PELL GRANT APPLICANTS
BY ELIGIBILITY STATUS AND INCOME RANGE
AWARD PERIOD 1996-97**

ALL VALID APPLICANTS											
	INCOME RANGES										TOTAL
	\$0- 4,000	\$4,001- 7,500	\$7,501- 10,000	\$10,001- 12,000	\$12,001- 15,000	\$15,001- 20,000	\$20,001- 25,000	\$25,001- 30,000	\$30,001- 35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS.....	990,281	843,696	424,687	251,912	372,240	590,542	501,205	357,829	230,730	251,158	4,814,280
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS..	20.6%	17.5%	8.8%	5.2%	7.7%	12.3%	10.4%	7.4%	4.8%	5.2%	100.0%
TOTAL TITLE IV APPLICANTS..	999,090	850,436	491,325	345,696	507,612	786,087	661,515	504,743	405,062	2,513,323	8,064,889
PERCENT OF PELL ELIGIBLE APPLICANTS IN INCOME RANGE.....	99.1%	99.2%	86.4%	72.9%	73.3%	75.1%	75.8%	70.9%	57.0%	10.0%	59.7%
DEPENDENT											
	INCOME RANGES										TOTAL
	\$0- 4,000	\$4,001- 7,500	\$7,501- 10,000	\$10,001- 12,000	\$12,001- 15,000	\$15,001- 20,000	\$20,001- 25,000	\$25,001- 30,000	\$30,001- 35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS.....	174,819	175,403	125,298	101,501	166,613	303,582	286,753	218,454	147,403	189,581	1,889,407
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS..	9.3%	9.3%	6.6%	5.4%	8.8%	16.1%	15.2%	11.6%	7.8%	10.0%	100.0%
TOTAL TITLE IV APPLICANTS..	182,795	180,625	130,545	106,952	181,179	342,824	345,181	299,594	267,177	2,169,619	4,206,491
PERCENT OF PELL ELIGIBLE APPLICANTS IN INCOME RANGE.....	95.6%	97.1%	96.0%	94.9%	92.0%	88.6%	83.1%	72.9%	55.2%	8.7%	44.90%
INDEPENDENT WITHOUT DEPENDENTS OTHER THAN A SPOUSE											
	INCOME RANGES										TOTAL
	\$0- 4,000	\$4,001- 7,500	\$7,501- 10,000	\$10,001- 12,000	\$12,001- 15,000	\$15,001- 20,000	\$20,001- 25,000	\$25,001- 30,000	\$30,001- 35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS	456,879	308,182	106,283	19,110	17,645	5,736	208	64	35	45	914,187
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS	50.0%	33.7%	11.6%	2.1%	1.9%	0.6%	0.0%	0.0%	0.0%	0.0%	100.0%
TOTAL TITLE IV APPLICANTS	457,694	309,687	167,661	107,427	138,417	161,938	101,784	63,661	41,117	95,778	1,645,164
PERCENT OF PELL ELIGIBLE APPLICANTS IN INCOME RANGE	99.8%	99.5%	63.4%	17.8%	12.8%	3.5%	0.2%	0.1%	0.1%	0.1%	55.6%
INDEPENDENT WITH DEPENDENTS OTHER THAN A SPOUSE											
	INCOME RANGES										TOTAL
	\$0- 4,000	\$4,001- 7,500	\$7,501- 10,000	\$10,001- 12,000	\$12,001- 15,000	\$15,001- 20,000	\$20,001- 25,000	\$25,001- 30,000	\$30,001- 35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS	358,583	360,111	193,106	131,301	187,982	281,224	214,244	139,311	83,292	61,532	2,010,686
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS	17.8%	17.9%	9.6%	6.5%	9.4%	14.0%	10.7%	6.9%	4.1%	3.1%	100.0%
TOTAL TITLE IV APPLICANTS	358,601	360,124	193,119	131,317	188,016	281,325	214,550	141,488	96,768	247,926	2,213,234
PERCENT OF PELL ELIGIBLE APPLICANTS IN INCOME RANGE	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	98.5%	86.1%	24.8%	90.9%

**NOTE: REJECTED APPLICANTS ARE NOT INCLUDED

Table 14: Federal Pell Grant Recipient Enrollment Status by Type and Control of Institution

Table 14 shows the distribution of Federal Pell Grant recipients by enrollment status and type and control of institution. For recipients whose progress is measured in credit hours, enrollment status is expressed as full-time, three-quarter-time, half-time and less than half-time. Recipients enrolled in clock hour programs are also depicted.

TABLE 14

**FEDERAL PELL GRANT RECIPIENT ENROLLMENT STATUS
BY TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97
ALL RECIPIENTS**

TYPE OF INSTITUTION	FULLTIME			THREE QUARTER TIME			HALF TIME		
	TOTAL	DEPENDENT	INDEPENDENT	TOTAL	DEPENDENT	INDEPENDENT	TOTAL	DEPENDENT	INDEPENDENT
TOTAL PUBLIC INSTITUTIONS.....	1,591,819	815,650	776,169	77,023	18,861	58,162	113,154	17,828	95,326
FOUR YEAR.....	969,356	563,119	406,237	21,359	5,178	16,181	31,634	4,839	26,795
TWO YEAR.....	622,463	252,531	369,932	55,664	13,683	41,981	81,520	12,989	68,531
TOTAL PRIVATE, NON-PROFIT.....	534,648	330,328	204,320	13,496	2,712	10,784	21,386	2,697	18,689
FOUR YEAR.....	468,558	301,698	166,860	9,561	1,994	7,567	14,949	1,566	13,383
TWO YEAR.....	66,090	28,630	37,460	3,935	718	3,217	6,437	1,131	5,306
TOTAL PRIVATE, PROFIT-MAKING....	330,796	91,758	239,038	17,179	2,913	14,266	75,916	15,576	60,340
TOTAL	2,457,263	1,237,736	1,219,527	107,698	24,486	83,212	210,456	36,101	174,355

TYPE OF INSTITUTION	CLOCK HOUR			LESS THAN HALF TIME			TOTAL		
	TOTAL	DEPENDENT	INDEPENDENT	TOTAL	DEPENDENT	INDEPENDENT	TOTAL	DEPENDENT	INDEPENDENT
TOTAL PUBLIC INSTITUTIONS.....	745,199	219,656	525,543	24,035	3,468	20,567	2,551,230	1,075,463	1,475,767
FOUR YEAR.....	226,193	79,213	146,980	3,867	645	3,222	1,252,409	652,994	599,415
TWO YEAR.....	519,006	140,443	378,563	20,168	2,823	17,345	1,298,821	422,469	876,352
TOTAL PRIVATE, NON-PROFIT.....	75,575	20,182	55,393	1,890	315	1,575	646,995	356,234	290,761
FOUR YEAR.....	63,419	17,786	45,633	1,453	281	1,172	557,940	323,325	234,615
TWO YEAR.....	12,156	2,396	9,760	437	34	403	89,055	32,909	56,146
TOTAL PRIVATE, PROFIT-MAKING....	43,401	7,362	36,039	137	30	107	467,429	117,639	349,790
TOTAL	864,175	247,200	616,975	26,062	3,813	22,249	6,863,879	2,981,033	3,882,846

Table 15: Summary Statistics for Federal Pell Grant Professional Judgement Filers

Table 15 provides summary statistics for the small percent of applicants who received professional judgement adjustments from their financial aid administrator. Professional judgements refer to the authority given to financial aid administrators to adjust the components of an applicant's EFC or cost of education based on extenuating personal circumstances.

TABLE 15

**SUMMARY STATISTICS FOR FEDERAL PELL GRANT APPLICANTS
PROFESSIONAL JUDGEMENT FILERS
AWARD PERIOD 1996-97**

	DEPENDENT	INDEPENDENT	TOTAL
NUMBER OF TITLE IV APPLICANTS.	62,448	66,702	129,150
NUMBER OF TITLE IV APPLICANTS SUBMITTING VALID APPLICATIONS	62,311	61,186	123,497
NUMBER AND PERCENT OF FEDERAL PELL GRANT ELIGIBLE APPLICANTS	42,968 68.8%	52,949 79.4%	95,917 74.3%
NUMBER AND PERCENT OF FEDERAL PELL GRANT INELIGIBLE APPLICANTS	19,343 31.0%	8,237 12.3%	27,580 21.4%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR INSUFFICIENT DATA AND NEVER RE- SUBMITTED FOR PROCESSING	63 0.1%	23 0.0%	86 0.1%
NUMBER OF FEDERAL PELL GRANT RECIPIENTS	41,342	49,416	90,758
TOTAL EXPENDITURES	\$59,555,330	\$77,510,796	\$137,066,126
AVERAGE GRANT	\$1,440	\$1,568	\$1,510

Table 16: Distribution of Verified Federal Pell Grant Recipients by Family Income and Grant Level

Table 16 presents family income and grant levels for recipients selected for verification, 37.8 percent of the recipient population.

Verification is a process by which applicants for Federal student aid are selected and required to present to the institution's financial aid administrator those forms (such as a Federal income tax return and W-2 statements) which confirm the accuracy of the information they reported on their applications.

Section 4: Students by Application Source

Table 17: Summary Statistics by Application Source

Table 17 presents summary statistics by application source for all Title IV applicants. These statistics include information on official applicants, valid applicants (applicants less applications returned for insufficient data and never re-submitted), and applicants selected for verification, as well as data on Federal Pell Grant recipients by application source.

In 1996-97, a student applied for Title IV student aid in one of several ways. Application forms were sent to one of the two Multiple Data Entry (MDE) processors: American College Testing (ACT) Program and INET. Finally, the student's school could transmit the application directly to the Central Processing System (CPS) using an electronic application or electronic renewal application process, such as FAFSA Express.

TABLE 17

SUMMARY STATISTICS BY APPLICATION SOURCE
AWARD PERIOD 1996-97

ALL APPLICANTS

	APPLICATION SOURCE					TOTAL
	FAFSA	INET	ACT	ELECTRONIC APP	RENEWAL APP	
NUMBER OF TITLE IV APPLICANTS	66,603	3,846,762	3,926,989	1,368,327	103,461	9,312,142
NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING VALID APPLICATIONS	56,800	3,276,162	3,342,843	1,294,857	94,227	8,064,889
NUMBER AND PERCENT OF FEDERAL PELL GRANT ELIGIBLE APPLICANTS	36,876 55.40%	1,911,858 49.70%	1,874,749 47.70%	922,729 67.40%	68,068 65.80%	4,814,280 51.70%
NUMBER AND PERCENT OF FEDERAL PELL GRANT INELIGIBLE APPLICANTS	19,924 29.90%	1,364,304 35.50%	1,468,094 37.40%	372,128 27.20%	26,159 25.30%	3,250,609 34.90%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR INSUFFICIENT DATA AND NEVER RE-SUBMITTED FOR PROCESSING	3,553 5.30%	114,562 3%	105,347 2.70%	2,666 0.20%	42 0.00%	226,170 2.40%
NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION	12,468	806,389	821,853	264,959	33,103	1,938,772
NUMBER OF FEDERAL PELL GRANT RECIPIENTS	25,932	1,432,947	1,436,585	707,701	62,489	3,665,654
TOTAL EXPENDITURES	\$36,215,063	\$2,274,891,310	\$229,542,263	\$1,060,332,585	\$113,171,291	\$5,780,032,888
AVERAGE GRANT	\$1,397	\$1,588	\$1,598	\$1,498	\$1,811	\$1,577

Table 18: Distribution of Federal Pell Grant Recipients by Family Income and Application Source

Table 18A: *Total*

Table 18B: *Dependents*

Table 18C: *Independents*

Tables 18A, 18B, and 18C display the distribution of Federal Pell Grant recipients by family income for each application source. These tables present this information for total recipients, dependents, and independents, respectively.

Section 5: Students by Institution

Table 19: Summary Statistics by Type and Control of Institution

Table 19 displays summary statistics by type and control of institution for the 1996-97 award year.

TABLE 19

**SUMMARY STATISTICS BY TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

TYPE AND CONTROL OF INSTITUTION	NUMBER OF INSTITUTIONS	TOTAL EXPENDITURES	NUMBER OF RECIPIENTS	AVERAGE GRANT
TOTAL PUBLIC INSTITUTIONS	2,039	\$3,988,677,758	2,551,230	\$1,563
5 YEARS OR MORE	377	\$1,592,349,696	962,730	\$1,654
4 YEARS-LESS THAN 5 YEARS	171	\$487,597,642	289,679	\$1,683
3 YEARS-LESS THAN 4 YEARS	10	\$16,514,620	9,699	\$1,703
2 YEARS-LESS THAN 3 YEARS	1,125	\$1,803,840,047	1,223,497	\$1,474
1 YEAR-LESS THAN 2 YEARS	281	\$66,828,844	51,451	\$1,299
6 MONTHS-LESS THAN 1 YEAR	75	\$21,546,909	14,174	\$1,520
TOTAL PRIVATE, NON-PROFIT	1,596	\$1,069,186,022	646,995	\$1,653
5 YEARS OR MORE	423	\$413,971,540	247,466	\$1,673
4 YEARS-LESS THAN 5 YEARS	716	\$515,713,472	310,474	\$1,661
3 YEARS-LESS THAN 4 YEARS	85	\$24,996,773	15,089	\$1,657
2 YEARS-LESS THAN 3 YEARS	265	\$66,988,185	44,949	\$1,490
1 YEAR-LESS THAN 2 YEARS	82	\$36,440,535	22,794	\$1,599
6 MONTHS-LESS THAN 1 YEAR	25	\$11,075,517	6,223	\$1,780
TOTAL PRIVATE, PROFIT-MAKING	2,182	\$722,169,108	467,429	\$1,545
5 YEARS OR MORE	9	\$10,564,201	6,418	\$1,646
4 YEARS-LESS THAN 5 YEARS	44	\$45,850,257	31,605	\$1,451
3 YEARS-LESS THAN 4 YEARS	58	\$27,266,680	18,496	\$1,474
2 YEARS-LESS THAN 3 YEARS	374	\$200,926,545	131,509	\$1,528
1 YEAR-LESS THAN 2 YEARS	993	\$263,264,902	168,149	\$1,566
6 MONTHS-LESS THAN 1 YEAR	704	\$174,296,523	111,252	\$1,567
TOTAL	5,817	\$5,780,032,888	3,665,654	\$1,577

Table 20: Federal Pell Grant Expenditures, Recipients, and Average Grant by Type and Control of Institution

Table 20 provides information on Federal Pell Grant expenditures, recipients, and average grant by type and control of institution for total, dependent and independent recipients.

TABLE 20

**FEDERAL PELL GRANT EXPENDITURES, RECIPIENTS, AND
AVERAGE GRANT BY TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

ALL INSTITUTIONS

TYPE OF INSTITUTION	PUBLIC INSTITUTIONS			PRIVATE, NON-PROFIT			PRIVATE, PROFIT-MAKING	TOTAL
	TOTAL	4-YEAR	2-YEAR	TOTAL	4-YEAR	2-YEAR		
TOTAL EXPENDITURES								
TOTAL	\$3,988,677,758	\$2,079,947,338	\$1,908,730,420	\$1,069,186,022	\$929,685,012	\$139,501,010	\$722,169,108	\$5,780,032,888
DEPENDENTS	\$1,732,156,230	\$1,086,176,417	\$645,979,813	\$599,913,056	\$547,360,959	\$52,552,097	\$183,713,099	\$2,515,782,385
INDEPENDENTS W/O DEPENDENTS	\$69,016,138	\$433,969	\$256,191,633	\$166,152,729	\$144,290,474	\$21,862,255	\$108,955,660	\$965,269,772
INDEPENDENTS W/ DEPENDENTS	\$1,566,360,145	\$559,801,171	\$1,006,558,974	\$33,120,237	\$238,033,579	\$65,086,658	\$429,500,349	\$2,298,980,731
TOTAL RECIPIENTS								
TOTAL	2,551,230	1,252,409	1,298,821	646,995	557,940	89,055	467,429	3,665,654
DEPENDENTS	1,075,463	652,994	422,469	356,234	323,325	32,909	117,639	1,549,336
INDEPENDENTS W/O DEPENDENTS	480,756	281,870	198,886	106,775	91,689	15,086	80,064	667,595
INDEPENDENTS W/ DEPENDENTS	995,011	317,545	677,466	183,986	142,926	41,060	269,726	1,448,723
AVERAGE GRANT								
TOTAL	\$1,563	\$1,661	\$1,470	\$1,653	\$1,666	\$1,566	\$1,545	\$1,577
DEPENDENTS	\$1,611	\$1,663	\$1,529	\$1,684	\$1,693	\$1,597	\$1,562	\$1,624
INDEPENDENTS W/O DEPENDENTS	\$1,436	\$1,540	\$1,288	\$1,556	\$1,574	\$1,449	\$1,361	\$1,446
INDEPENDENTS W/ DEPENDENTS	\$1,574	\$1,763	\$1,486	\$1,648	\$1,665	\$1,585	\$1,592	\$1,587

Table 21: Distribution of Federal Pell Grant Recipients and Average Grant by Grant Level and Type and Control of Institution

Table 21A: *Total*

Table 21B: *Dependent*

Table 21C: *Independent*

Tables 21A, 21B, and 21C present the distribution of recipients by grant level and type and control of institution.

TABLE 21-A

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS AND AVERAGE GRANT
BY GRANT LEVEL AND TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

TOTAL RECIPIENTS GRANT LEVEL	TYPE AND CONTROL OF INSTITUTION					TOTAL	
	PUBLIC		PRIVATE		PROPRIETARY		
	4 YEAR	2 YEAR	4 YEAR	2 YEAR			
\$1 - 299.....	25,882	58,548	9,929	2,635	13,540	110,534	N
	23.4	53.0	9.0	2.4	12.2	100.0	R %
	2.1	4.5	1.8	3.0	2.9	3.0	C %
	\$213	\$201	\$210	\$207	\$203	\$205	AVG-GRANT
\$300 - 599.....	115,285	130,480	50,520	7,676	36,395	340,356	N
	33.9	38.3	14.8	2.3	10.7	100.0	R %
	9.2	10.0	9.1	8.6	7.8	9.3	C %
	\$440	\$433	\$438	\$436	\$446	\$437	AVG-GRANT
\$600 - 899.....	120,825	169,224	52,123	11,005	61,244	414,421	N
	29.2	40.8	12.6	2.7	14.8	100.0	R %
	9.6	13.0	9.3	12.4	13.1	11.3	C %
	\$723	\$707	\$722	\$739	\$762	\$722	AVG-GRANT
\$900 - 1,199.....	107,290	126,469	47,848	7,414	36,936	325,957	N
	32.9	38.8	14.7	2.3	11.3	100.0	R %
	8.6	9.7	8.6	8.3	7.9	8.9	C %
	\$1,019	\$1,004	\$1,017	\$1,016	\$1,036	\$1,015	AVG-GRANT
\$1,200 - 1,499.....	160,767	201,671	75,580	14,539	99,084	551,641	N
	29.1	36.6	13.7	2.6	18.0	100.0	R %
	12.8	15.5	13.5	16.3	21.2	15.0	C %
	\$1,280	\$1,271	\$1,277	\$1,268	\$1,257	\$1,272	AVG-GRANT
\$1,500 - 1,799.....	95,709	101,815	41,893	7,488	39,218	286,123	N
	33.5	35.6	14.6	2.6	13.7	100.0	R %
	7.6	7.8	7.5	8.4	8.4	7.8	C %
	\$1,618	\$1,608	\$1,616	\$1,626	\$1,638	\$1,317	AVG-GRANT
\$1,800 - 2,099.....	97,535	107,145	44,124	7,112	25,440	281,356	N
	34.7	38.1	15.7	2.5	9.0	100.0	R %
	7.8	8.2	7.9	8.0	5.4	707.0	C %
	\$1,912	\$1,895	\$1,912	\$1,928	\$1,946	\$1,909	AVG-GRANT
\$2,100 - 2,469.....	132,641	111,354	60,327	7,193	23,537	335,052	N
	39.6	33.2	18.0	2.1	7.0	100.0	R %
	10.6	8.6	10.8	8.1	5.0	9.1	C %
	\$2,267	\$2,238	\$2,269	\$2,269	\$2,273	\$2,258	AVG-GRANT
\$2,470.....	396,475	292,115	175,596	23,993	132,035	1,020,214	N
	38.9	28.6	17.2	2.4	12.9	100.0	R %
	31.7	22.5	31.5	26.9	28.2	27.8	C %
	\$2,470	\$2,470	\$2,470	\$2,470	\$2,470	\$25	AVG-GRANT
TOTAL.....	1,252,409	1,298,821	557,940	89,055	467,429	3,665,654	N
	34.2	35.4	15.2	2.4	12.8	100.0	R %
	100.0	100.0	100.0	100.0	100.0	100.0	C %
	\$1,661	\$1,470	\$1,666	\$1,566	\$1,545	\$1,577	AVG-GRANT

TABLE 21-B

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS AND AVERAGE GRANT
BY GRANT LEVEL AND TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

DEPENDENT RECIPIENTS

GRANT LEVEL	TYPE AND CONTROL OF INSTITUTION					TOTAL	
	PUBLIC		PRIVATE		PROPRIETARY		
	4 YEAR	2 YEAR	4 YEAR	2 YEAR			
\$1 - 299.....	10,769	16,205	3,501	775	3,754	35,004	N
	30.8	46.3	10.0	2.2	10.7	100.0	R %
	1.6	3.8	1.1	2.4	3.2	2.3	C %
	\$214	\$204	\$215	\$212	\$207	\$209	AVG-GRANT
\$300 - 599.....	64,685	42,045	30,269	3,193	10,117	150,309	N
	43.0	28.0	20.1	2.1	6.7	100.0	R %
	9.9	10.0	9.4	9.7	8.6	9.7	C %
	\$439	\$438	\$439	\$439	\$443	\$439	AVG-GRANT
\$600 - 899.....	61,653	47,873	28,820	3,518	15,206	157,070	N
	39.3	30.5	18.3	2.2	9.7	100.0	R %
	9.4	11.3	8.9	10.7	12.9	10.1	C %
	\$725	\$717	\$724	\$735	\$759	\$726	AVG-GRANT
\$900 - 1,199.....	58,793	40,862	28,329	3,064	9,329	140,377	N
	41.9	29.1	20.2	2.2	6.6	100.0	R %
	9.0	9.7	8.8	9.3	7.9	9.1	C %
	\$1,022	\$1,012	\$1,021	\$1,020	\$1,033	\$1,020	AVG-GRANT
\$1,200 - 1,499.....	75,598	61,881	38,617	4,633	21,097	201,826	N
	37.5	30.7	19.1	2.3	10.5	100.0	R %
	11.6	14.6	11.9	14.1	17.9	13.0	C %
	\$1,293	\$1,276	\$1,289	\$1,280	\$1,263	\$1,284	AVG-GRANT
\$1,500 - 1,799.....	53,415	32,948	26,224	2,762	9,814	125,163	N
	42.7	26.3	21.0	2.2	7.8	100.0	R %
	8.2	7.8	8.1	8.4	8.3	8.1	C %
	\$1,620	\$1,613	\$1,618	\$1,623	\$1,634	\$1,619	AVG-GRANT
\$1,800 - 2,099.....	51,716	35,066	26,571	2,581	6,365	122,299	N
	42.3	28.7	21.7	2.1	5.2	100.0	R %
	7.9	8.3	8.2	7.8	5.4	7.9	C %
	\$1,917	\$1,900	\$1,917	\$1,923	\$1,941	\$1,913	AVG-GRANT
\$2,100 - 2,469.....	81,605	44,391	41,988	3,774	7,684	179,442	N
	45.5	24.7	23.4	2.1	4.3	100.0	R %
	12.5	10.5	13.0	11.5	6.5	11.6	C %
	\$2,282	\$2,250	\$2,282	\$2,288	\$2,279	\$2,274	AVG-GRANT
\$2,470.....	194,760	101,198	99,006	8,609	34,273	437,846	N
	44.5	23.1	22.6	2.0	7.8	100.0	R %
	29.8	24.0	30.6	26.2	29.1	28.3	C %
	\$2,470	\$2,470	\$2,470	\$2,470	\$2,470	\$2,470	AVG-GRANT
TOTAL.....	652,994	422,469	323,325	32,909	117,639	1,549,336	N
	42.1	27.3	20.9	2.1	7.6	100.0	R %
	100.0	100.0	100.0	100.0	100.0	100.0	C %
	\$1,663	\$1,529	\$1,693	\$1,597	\$1,562	\$1,624	AVG-GRANT

TABLE 21-C

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS AND AVERAGE GRANT
BY GRANT LEVEL AND TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

INDEPENDENT RECIPIENTS

GRANT LEVEL	TYPE AND CONTROL OF INSTITUTION					TOTAL	
	PUBLIC		PRIVATE		PROPRIETARY		
	4 YEAR	2 YEAR	4 YEAR	2 YEAR			
\$1 - 299.....	15,113	42,343	6,428	1,860	9,786	75,530	N
	20.0	56.1	8.5	2.5	13.0	100.0	R %
	2.5	4.8	2.7	3.3	2.8	3.6	C %
	\$211	\$200	\$207	\$204	\$201	\$203	AVG-GRANT
\$300 - 599.....	50,600	88,435	20,251	4,483	26,278	190,047	N
	26.6	46.5	10.7	2.4	13.8	100.0	R %
	8.4	10.1	8.6	8.0	7.5	9.0	C %
	\$440	\$431	\$437	\$434	\$447	\$4	AVG-GRANT
\$600 - 899.....	59,172	121,351	23,303	7,487	46,038	257,351	N
	23.0	47.2	9.1	2.9	17.9	100.0	R %
	9.9	13.8	9.9	13.3	13.2	12.2	C %
	\$720	\$703	\$719	\$741	\$763	\$720	AVG-GRANT
\$900 - 1,199.....	48,497	85,607	19,519	4,350	27,607	185,580	N
	26.1	46.1	10.5	2.3	14.9	100.0	R %
	8.1	9.8	8.3	7.7	7.9	8.8	C %
	\$1,014	\$1,001	\$1,012	\$1,013	\$1,037	\$1,011	AVG-GRANT
\$1,200 - 1,499.....	85,169	139,790	36,963	9,906	77,987	349,815	N
	24.3	40.0	10.6	2.8	22.3	100.0	R %
	14.2	16.0	15.8	17.6	22.3	16.5	C %
	\$1,269	\$1,268	\$1,264	\$1,263	\$1,255	\$1,265	AVG-GRANT
\$1,500 - 1,799.....	42,294	68,867	15,669	4,726	29,404	160,960	N
	26.3	42.8	9.7	2.9	18.3	100.0	R %
	7.1	7.9	6.7	8.4	8.4	7.6	C %
	\$1,615	\$1,606	\$1,612	\$1,628	\$1,639	\$1,615	AVG-GRANT
\$1,800 - 2,099.....	45,819	72,079	17,553	4,531	19,075	159,057	N
	28.8	45.3	11.0	2.8	12.0	100.0	R %
	7.6	8.2	7.5	8.1	5.5	7.5	C %
	\$1,906	\$1,893	\$1,905	\$1,931	\$1,948	\$1,906	AVG-GRANT
\$2,100 - 2,469.....	51,036	66,963	18,339	3,419	15,853	155,610	N
	32.8	43.0	11.8	2.2	10.2	100.0	R %
	8.5	7.6	7.8	6.1	4.5	7.4	C %
	\$2,244	\$2,230	\$2,239	\$2,248	\$2,269	\$2,240	AVG-GRANT
\$2,470.....	201,715	190,917	76,590	15,384	97,762	582,368	N
	34.6	32.8	13.2	2.6	16.8	100.0	R %
	33.7	21.8	32.6	27.4	27.9	27.5	C %
	\$2,470	\$2,470	\$2,470	\$2,470	\$2,470	\$2,470	AVG-GRANT
TOTAL.....	599,415	876,352	234,615	56,146	349,790	2,116,318	N
	28.3	41.4	11.1	2.7	16.5	100.0	R %
	100.0	100.0	100.0	100.0	100.0	100.0	C %
	\$1,658	\$1,441	\$1,630	\$1,549	\$1,539	\$1,542	AVG-GRANT

Section 6: Title IV Applicants and Federal Pell Grant Recipients by State

Table 22: Distribution of Federal Pell Grant Recipients by State and Control of Institution

Table 22 presents the distribution of Federal Pell Grant recipients by state and control of institution.

TABLE 22

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS
BY STATE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

	PUBLIC		PRIVATE		PROPRIETARY		TOTAL	
	TOTAL RECIPIENTS	TOTAL EXPENDITURES	TOTAL RECIPIENTS	TOTAL EXPENDITURES	TOTAL RECIPIENTS	TOTAL EXPENDITURES	TOTAL RECIPIENTS	TOTAL EXPENDITURES
ALABAMA.....	58,282	\$88,418,655	7,995	\$14,343,194	3,035	\$4,643,976	69,312	\$107,405,825
ALASKA.....	3,595	6,052,992	171	282,116	572	819,102	4,338	7,154,210
ARIZONA.....	48,819	74,668,516	2,827	4,604,365	19,828	28,837,249	71,474	108,110,130
ARKANSAS.....	29,609	47,852,638	4,753	7,882,245	1,515	2,173,107	35,877	57,907,990
CALIFORNIA.....	322,919	540,905,233	42,007	70,255,901	62,949	103,909,941	427,875	715,071,075
COLORADO.....	38,132	58,074,381	2,360	3,483,207	7,679	10,977,731	48,171	72,535,319
CONNECTICUT.....	12,665	17,894,693	5,412	8,751,506	6,168	8,375,387	24,245	35,021,586
DELAWARE.....	4,792	6,756,695	751	1,075,010	664	954,475	6,207	8,786,180
DISTRICT OF COLUMBIA...	1,404	2,205,348	6,110	10,270,479	3,044	4,332,832	10,558	16,808,659
FLORIDA.....	127,911	198,542,546	20,778	33,728,288	33,405	52,352,454	182,094	284,623,288
GEORGIA.....	72,177	96,081,564	14,215	23,473,030	10,027	14,832,936	96,419	134,387,530
HAWAII.....	6,228	9,658,399	1,651	2,621,885	615	929,541	8,494	13,209,825
IDAHO.....	14,392	23,044,855	4,567	7,135,743	974	1,496,416	19,933	31,677,014
ILLINOIS.....	93,967	139,912,372	27,264	42,134,106	13,437	19,874,513	134,668	201,920,991
INDIANA.....	47,899	70,182,701	11,236	16,589,809	9,048	13,049,832	68,183	99,822,342
IOWA.....	30,385	44,584,382	11,794	17,448,617	2,263	3,323,195	44,442	65,356,194
KANSAS.....	29,887	44,385,795	7,244	10,372,931	1,845	2,989,702	38,976	57,748,428
KENTUCKY.....	44,284	71,123,165	7,504	12,547,241	7,137	10,716,109	58,925	94,386,515
LOUISIANA.....	63,624	109,102,760	6,164	10,563,146	6,375	10,470,955	76,163	130,136,861
MAINE.....	10,464	16,234,678	2,273	3,590,107	1,745	2,418,504	14,482	22,243,289
MARYLAND.....	41,244	60,689,925	5,755	8,587,934	5,437	7,952,302	52,436	77,230,161
MASSACHUSETTS.....	37,435	56,597,185	29,975	47,298,892	5,012	7,074,914	72,422	110,970,991
MICHIGAN.....	78,283	110,691,280	25,475	35,454,360	8,523	12,498,684	112,281	158,644,324
MINNESOTA.....	47,644	68,956,158	9,895	14,546,997	4,682	6,469,996	62,221	89,973,151
MISSISSIPPI.....	44,874	75,997,840	4,244	7,240,489	1,800	2,913,792	50,918	86,152,121
MISSOURI.....	43,047	63,765,951	18,171	28,654,270	8,775	13,033,737	69,993	105,453,958
MONTANA.....	13,502	21,897,902	1,775	2,911,877	857	1,325,740	16,134	26,135,519
NEBRASKA.....	19,548	27,369,460	3,935	5,901,572	2,150	3,257,343	25,633	36,528,375
NEVADA.....	7,271	10,447,243	105	176,434	1,814	2,930,251	9,190	13,553,928
NEW HAMPSHIRE.....	6,463	9,268,701	3,420	5,024,427	2,210	3,090,319	12,093	17,383,447
NEW JERSEY.....	50,790	81,763,758	9,060	15,585,180	13,391	19,016,125	73,241	116,365,063
NEW MEXICO.....	27,509	43,614,063	1,051	1,627,050	1,656	2,717,503	30,216	47,958,616
NEW YORK.....	194,835	328,785,288	92,116	160,995,428	45,252	72,865,011	332,203	562,645,727
NORTH CAROLINA.....	59,738	88,328,434	16,667	27,966,018	2,236	3,292,852	78,641	119,587,304
NORTH DAKOTA.....	10,445	16,007,369	2,041	3,392,746	717	1,132,807	13,203	20,532,922
OHIO.....	96,621	144,042,995	20,240	30,988,908	17,071	24,484,996	133,932	199,516,899
OKLAHOMA.....	47,567	75,847,246	5,390	8,657,625	4,997	7,788,204	57,954	92,293,075
OREGON.....	27,303	41,467,910	5,025	8,103,687	2,847	4,148,212	35,175	53,719,809
PENNSYLVANIA.....	79,750	123,395,453	36,190	57,439,137	28,491	43,978,529	144,431	224,813,119
PUERTO RICO.....	42,134	86,823,613	77,070	150,583,899	38,147	65,626,529	157,351	303,034,041
RHODE ISLAND.....	7,336	10,499,286	6,877	10,526,315	2,318	3,334,463	16,531	24,360,064
SOUTH CAROLINA.....	36,654	51,781,216	9,789	16,975,917	2,540	3,577,006	48,983	72,334,139
SOUTH DAKOTA.....	10,351	16,029,813	1,829	2,840,667	1,559	2,244,459	13,739	21,114,939
TENNESSEE.....	46,992	73,210,875	11,815	19,484,180	7,356	10,273,371	66,163	102,968,426
TEXAS.....	202,207	313,204,962	21,104	34,047,948	33,656	52,152,649	256,967	399,405,559
UTAH.....	26,237	37,622,680	9,092	13,316,767	3,109	4,403,995	38,438	55,343,442
VERMONT.....	5,064	7,000,013	3,242	4,957,643	212	309,930	8,518	12,267,586
VIRGINIA.....	52,601	80,593,707	9,601	15,159,154	15,243	22,682,266	77,445	118,435,127
WASHINGTON.....	54,193	86,016,469	5,806	9,297,909	6,776	10,547,023	66,775	105,861,401
WEST VIRGINIA.....	19,873	33,247,336	3,788	6,489,687	3,645	5,898,159	27,306	45,635,182
WISCONSIN.....	40,984	59,620,706	8,978	13,103,604	1,862	2,532,825	51,824	75,257,135
WYOMING.....	6,550	10,211,617	0	0	743	1,087,759	7,293	11,299,376
ALL OTHERS.....	4,750	8,198,936	398	696,375	20	49,400	5,168	8,944,711
TOTAL	2,551,230	\$3,988,677,758	646,995	\$1,069,186,022	467,429	\$722,169,108	3,665,654	\$5,780,032,888

Table 23: Distribution of Federal Pell Grant Recipients by Recipient's State of Legal Residence and Control of Institution

Table 23 presents the distribution of Federal Pell Grant recipients by the recipient's state of legal residence and control of institution attended in 1996-97.

TABLE 23

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS
BY RECIPIENTS' STATE OF LEGAL RESIDENCE AND CONTROL OF INSTITUTION
AWARD PERIOD 1996-97**

	PUBLIC		PRIVATE		PROPRIETARY		TOTAL	
	TOTAL RECIPIENTS	TOTAL EXPENDITURES	TOTAL RECIPIENTS	TOTAL EXPENDITURES	TOTAL RECIPIENTS	TOTAL EXPENDITURES	TOTAL RECIPIENTS	TOTAL EXPENDITURES
ALABAMA.....	53,011	\$80,015,931	6,415	\$11,332,799	2,986	\$4,577,485	62,412	\$95,926,215
ALASKA.....	4,068	6,689,482	644	958,570	780	1,114,329	5,492	8,762,381
ARIZONA.....	46,112	70,577,139	4,781	7,517,296	9,953	14,986,419	60,846	93,080,854
ARKANSAS.....	29,133	46,774,271	4,491	7,429,467	1,780	2,658,688	35,404	56,862,426
CALIFORNIA.....	326,979	547,788,459	46,596	78,594,781	68,595	112,171,646	442,170	738,554,886
COLORADO.....	36,536	55,703,705	3,693	5,509,296	7,307	10,495,382	47,536	71,708,383
CONNECTICUT.....	13,276	18,811,946	6,194	9,607,803	5,588	7,786,828	25,058	36,206,577
DELAWARE.....	4,201	5,772,584	1,083	1,577,821	627	844,051	5,911	8,194,456
DISTRICT OF COLUMBIA...	2,308	3,700,988	1,702	2,942,374	1,898	2,811,536	5,908	9,454,898
FLORIDA.....	130,123	201,844,115	22,858	37,695,820	33,545	52,398,227	186,526	291,938,162
GEORGIA.....	72,617	97,212,508	12,622	20,580,272	8,558	12,523,601	93,797	130,316,381
HAWAII.....	6,403	9,911,159	2,193	3,487,073	1,153	1,649,347	9,749	15,047,579
IDAHO.....	14,625	23,236,532	3,877	6,031,848	1,284	1,941,643	19,786	31,210,023
ILLINOIS.....	97,928	146,814,304	29,552	46,279,326	15,998	23,493,941	143,478	216,587,571
INDIANA.....	47,380	69,331,840	10,163	14,953,513	6,944	10,056,362	64,487	94,341,715
IOWA.....	30,048	43,662,091	9,494	13,889,961	2,368	3,434,610	41,910	60,986,662
KANSAS.....	28,769	42,466,768	5,541	7,858,968	2,261	3,354,851	36,571	53,680,587
KENTUCKY.....	42,739	68,571,576	6,995	11,612,707	6,423	9,561,644	56,157	89,745,927
LOUISIANA.....	61,342	104,535,131	6,254	10,563,505	7,876	12,549,365	75,472	127,648,001
MAINE.....	10,847	16,708,498	3,986	6,207,748	2,180	3,002,664	17,013	25,918,910
MARYLAND.....	38,084	56,642,002	8,424	13,010,109	7,311	10,662,000	53,819	80,314,111
MASSACHUSETTS.....	37,568	56,700,551	23,898	36,917,359	6,377	8,773,138	67,843	102,391,048
MICHIGAN.....	78,560	111,178,257	26,932	37,773,390	9,491	13,670,644	114,983	162,622,291
MINNESOTA.....	47,126	68,110,671	9,656	14,151,697	4,863	6,755,158	61,645	89,017,526
MISSISSIPPI.....	43,667	73,835,379	4,379	7,531,674	2,847	4,498,442	50,893	85,865,495
MISSOURI.....	43,293	64,154,246	14,951	23,690,475	7,703	11,425,816	65,947	99,270,537
MONTANA.....	13,689	22,305,081	2,770	4,492,929	1,231	1,859,828	17,690	28,657,838
NEBRASKA.....	19,049	26,642,215	3,653	5,475,810	2,223	3,305,544	24,925	35,423,569
NEVADA.....	6,852	9,738,658	694	1,084,014	2,535	3,873,971	10,081	14,696,643
NEW HAMPSHIRE.....	5,860	8,357,645	3,252	4,637,532	1,700	2,357,590	10,812	15,352,767
NEW JERSEY.....	53,686	86,284,465	16,008	26,659,646	13,672	19,549,359	83,366	132,493,470
NEW MEXICO.....	27,016	42,980,434	1,682	2,634,080	2,925	4,695,256	31,623	50,309,770
NEW YORK.....	198,684	335,018,685	93,685	163,521,097	44,691	71,990,576	337,060	570,530,358
NORTH CAROLINA.....	57,868	85,577,474	12,469	20,575,121	3,825	5,501,129	74,162	111,653,724
NORTH DAKOTA.....	9,481	14,499,899	1,852	2,985,336	656	998,305	11,989	18,483,540
OHIO.....	96,813	144,257,206	20,599	31,530,922	17,596	25,387,025	135,008	201,175,153
OKLAHOMA.....	46,015	73,327,119	5,310	8,427,441	4,882	7,725,516	56,207	89,480,076
OREGON.....	26,665	40,483,380	6,223	9,847,843	3,231	4,735,526	36,119	55,066,749
PENNSYLVANIA.....	80,617	124,124,979	33,444	52,987,939	28,024	43,627,993	142,085	220,740,911
PUERTO RICO.....	45,039	91,852,893	77,423	151,225,647	38,273	65,841,230	160,735	308,919,770
RHODE ISLAND.....	7,225	10,351,005	3,204	4,873,449	1,998	2,923,772	12,427	18,148,226
SOUTH CAROLINA.....	35,835	50,777,747	10,551	18,201,520	2,823	4,094,869	49,209	73,074,136
SOUTH DAKOTA.....	10,087	15,630,799	2,008	3,123,791	990	1,457,267	13,085	20,211,857
TENNESSEE.....	45,815	71,054,616	8,517	13,908,142	6,490	9,551,657	60,822	94,514,415
TEXAS.....	201,469	312,788,223	24,058	38,894,823	36,755	56,629,289	262,282	408,312,335
UTAH.....	24,715	35,333,949	5,303	7,726,673	3,112	4,338,015	33,130	47,398,637
VERMONT.....	4,882	6,639,975	2,887	4,367,328	243	343,414	8,012	11,350,717
VIRGINIA.....	51,277	78,284,129	8,978	14,216,252	9,618	13,631,225	69,873	106,131,606
WASHINGTON.....	53,793	85,403,580	6,998	11,006,360	6,285	9,947,822	67,076	106,357,762
WEST VIRGINIA.....	18,172	30,248,111	3,846	6,528,315	3,550	5,697,358	25,568	42,473,784
WISCONSIN.....	41,353	59,973,200	9,295	13,445,396	2,346	3,176,523	52,994	76,595,119
WYOMING.....	6,471	10,042,438	548	856,975	363	567,032	7,382	11,466,445
ALL OTHERS.....	16,059	25,949,720	4,364	8,245,989	696	1,164,200	21,119	35,359,909
TOTAL	2,551,230	\$3,988,677,758	646,995	\$1,069,186,022	467,429	\$722,169,108	3,665,654	\$5,780,032,888

Section 7: Characteristics by Formula Type

Table 24: Distribution of Federal Pell Grant Recipients by Type and Control of Institution and Formula Type: Award Period 1995-96 and 1996-97

Table 24 presents the distribution of recipients by type and control of institution and formula type by award year. Six different formulae are used to determine Federal Pell Grant eligibility and are applied according to the applicant's dependency status and qualification for the Simplified Needs Test (SNT). Under the SNT, assets are excluded from the need analysis if the family income is less than \$50,000 and the family did not file a 1040 federal tax return.

Formulae 1, 2, and 3 are for students not meeting the SNT. Formula 1 is used for dependent applicants; Formula 2 is used for independent applicants who are single or married without other dependents; and Formula 3 is used for independent applicants with dependents other than a spouse. Formulae 4, 5, and 6 apply to the same dependency categories, respectively, but are used for applicants meeting the SNT.

TABLE 24

**DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS
BY TYPE AND CONTROL OF INSTITUTION AND FORMULA TYPE
AWARD PERIOD 1995-96 AND 1996-97**

	AWARD YEAR	DEPENDENT	INDEPENDENT WITHOUT DEPENDENTS	INDEPENDENT WITH DEPENDENTS	SIMPLIFIED DEPENDENT	SIMPLIFIED INDEPENDENT WITHOUT DEPENDENTS	SIMPLIFIED INDEPENDENT WITH DEPENDENTS
PUBLIC INSTITUTIONS							
	1996-97	367,971	43,021	109,868	285,023	238,849	207,677
FOUR YEAR	1995-96	355,333	43,492	108,443	282,092	241,531	206,337
	% Diff	3.56	(1.08)	1.31	1.04	(1.11)	0.65
	1996-97	202,852	31,105	213,644	219,617	167,781	463,822
TWO YEAR	1995-96	183,486	31,653	210,274	209,828	167,334	466,125
	% Diff	0.06	(0.13)	(0.09)	0.14	(0.06)	0.01
PRIVATE, NON-PROFIT							
	1996-97	184,347	14,043	50,410	138,978	77,646	92,516
FOUR YEAR	1995-96	183,821	14,648	49,072	141,534	82,031	91,298
	% Diff	0.29	(4.13)	2.73	(1.81)	(5.35)	1.33
	1996-97	18,109	2,104	12,283	14,800	12,982	28,777
TWO YEAR	1995-96	15,186	1,919	11,757	14,265	13,489	29,745
	% Diff	19.25	9.64	4.47	3.75	(3.76)	(3.25)
PRIVATE, PROFIT-MAKING							
	1996-97	5,449	1,293	8,198	5,615	6,036	11,432
FOUR YEAR	1995-96	4,564	1,246	6,753	5,094	5,755	9,876
	% Diff	19.39	3.77	21.40	10.23	4.88	15.76
	1996-97	40,178	9,824	65,955	66,397	62,911	184,141
TWO YEAR	1995-96	38,427	9,683	61,460	63,604	65,301	185,365
	% Diff	4.56	1.46	7.31	4.39	(3.66)	(0.66)
TOTAL							
	1996-97	557,767	58,357	168,476	429,616	322,531	311,625
FOUR YEAR	1995-96	560,439	62,292	168,636	412,755	335,623	293,726
	% Diff	(0.48)	(6.32)	(0.09)	4.08	(3.90)	6.09
	1996-97	261,139	43,033	291,882	300,814	243,674	676,740
TWO YEAR	1995-96	249,613	49,389	322,611	271,080	266,191	682,612
	% Diff	4.62	(12.87)	(9.53)	10.97	(8.46)	(0.86)
GRAND TOTAL	1996-97	818,906	101,390	460,358	730,430	566,205	988,365
	1995-96	810,052	111,681	491,247	683,835	601,814	976,338
	% Diff	1.09	(9.21)	(6.29)	6.81	(5.92)	1.23

Glossary

Automatic Zero EFC: If the parents of an independent applicant, or an independent applicant with dependents, reports adjusted gross income of \$12,000 or less, and files a 1040A, 1040EZ, or is a non-tax filer, then the applicant receives a zero EFC, regardless of other aspects of the family's financial circumstances.

Average Grant: The sum of all grant awards divided by the number of Federal Pell Grant recipients.

Award Period: The period of time from July 1 of one year to June 30 of the next year. The award period covered in this 1996-97 End-of-Year Report is July 1, 1996 to June 30, 1997.

C%: Column Percent. The number of responses in each cell within a column as a percentage of the total number of responses in the column.

Control of Institution: Refers to whether an educational institution is public, private, non-profit, or proprietary.

Dependent Recipient: An individual receiving a Federal Pell Grant who is dependent on his or her parent for financial support. To be considered dependent in 1996-97, the student

- Must be under 24 years of age, and
- Must not be a veteran of active service in the U.S. Armed Forces, and
- Cannot be an orphan or ward of the court, and
- Cannot have legal dependents, and
- Cannot be married or a graduate or professional student.

Educational Cost: The cost of attending an institution offering postsecondary education coursework for a full academic year. In 1996-97, educational costs considered for Federal Pell Grant award purposes include tuition and fees, and an allowance established by the institution, for the cost of books, supplies, transportation, and miscellaneous expenses. There is also a room and board allowance, set by the institution, of at least \$1,500 for students without dependents living at home with their parents, and at least \$2,500 for all other students enrolled at least half time. Certain additional allowances, such as provisions for child care and costs of special services or equipment required by handicapped students, are also permitted.

EFC: Expected Family Contribution. Number given to the applicant, based upon the applicant's financial strength as indicated by factors such as family income, net assets, and household size, which is combined with the applicant's educational cost and enrollment status (full time, three-quarter time, or half time) to determine the applicant's grant level.

Electronic Application: Introduced in 1990-91, the U.S. Department of Education's process for submitting applications for Title IV Federal Aid electronically directly to the Central Processing System.

Eligible Applicant: Individual who has submitted an official application for a Federal Pell Grant and has been determined eligible to receive a grant because of sufficient financial need. An eligible applicant in 1996-97 had a EFC of 2,470 or less.

Expenditures: Funds awarded to Federal Pell Grant recipients for an award period.

Family Income: One of the primary factors considered in determining eligibility for a Federal Pell Grant. In this report, family

income is considered to be the sum of a family's adjusted gross income (or earned income for non-tax filers), and non-taxable income (including non-educational Social Security benefits, AFDC, and child support).

Free Application for Federal Student Aid (FAFSA): The Department of Education form that may be used to apply for a Federal Pell Grant as well as other forms of Federal aid.

Formula Type: Six different formulae are used to determine Pell Grant eligibility and are applied according to the applicants dependency status and qualification for the Simplified Needs Test (SNT).

- Formula 1 is used for dependent applicants not meeting the SNT.
- Formula 2 is used for independent applicants without dependents other than a spouse not meeting the SNT.
- Formula 3 is used for independent applicants with dependents other than a spouse not meeting the SNT.
- Formula 4 is used for dependent applicants who qualify for the SNT.
- Formula 5 is used for independent applicants without dependents other than a spouse who qualify for the SNT.
- Formula 6 is used for independent applicants with dependents other than a spouse who qualify for the SNT.

Independent Recipient: An individual receiving a Federal Pell Grant who is not dependent on his or her parent for financial support. To be considered independent in 1996-97, the student

- Must be 24 years old or older, or

- Must be a veteran of active service in the U.S. Armed Forces, or
- Must be an orphan or ward of the court, or
- Have legal dependents, or
- Must be a graduate, professional or married student, or
- The FAA makes a documented determination of independence by reason of unusual circumstances.

Ineligible Applicant: Individual who has submitted an official application for a Federal Pell Grant but has been determined ineligible to receive a grant because of insufficient financial need. A ineligible applicant in 1996-97 had an EFC greater than 2,470.

MDE: Multiple Data Entry. Process by which an individual in 1996-97 could apply for a Federal Pell Grant or other kinds of student aid in addition to using the U.S. Department of Education's Free Application for Federal Student Aid (FAFSA) and FAFSA Express.

N: Number. The number of applicants, recipients, or other values found in each table cell.

Net Asset Level: Estimated monetary value of an applicant's (or applicant's family if the applicant is a dependent) assets, minus the applicant's liabilities. Factors considered in estimating net asset level include the value of investments, business, non-family farm, and all debts against those assets, plus cash and bank accounts. Home and family farm are not included in determining Net Asset Level.

Professional Judgement: The FAA's ability to change a student's dependency status (dependent to independent only), adjust the components of the Cost of Attendance and/or components of the EFC (income or asset

levels).

R%: Row Percent. The number of responses in each cell within a row as a percentage of the total number of responses in the row.

Renewal Application: Introduced in 1992-93, the renewal application allows schools to draw down records for returning students from the prior year, print a renewal application for the student, and key enter and transmit the data electronically to the Central Processing System.

SAR: Student Aid Report. A report provided to an applicant showing the applicant's EFC. The applicant must submit an SAR to the institution he or she plans to attend in order to receive an award.

Simplified Needs Test: Eligibility calculation based on a reduced set of family and financial indicators, comprised of: family size, the number of family members enrolled in college at least half-time, adjusted gross income (or earnings, in the case of non-tax filers), Federal income taxes paid, and untaxed income and benefits. To qualify for the Simplified Needs Test (SNT) a student (or student and parents, for a dependent) must have filed a IRS Form 1040A or 1040EZ (not a 1040) or be a non-tax filer, and must have a combined adjusted gross income (or earnings) of less than \$50,000.

Title IV: The section of the Higher Education Act of 1965 that pertains to federal student financial aid programs. Title IV applicant data may be used to determine eligibility for programs other than the Pell Grant program.

Type and Control of Institution: Institutions are classified according to the length of programs and type and control of the institution

- **4-year public or private, non-profit,** includes colleges offering baccalaureate and/or graduate programs.
- **2-year public or private, non-profit** usually denotes a community college of vocational/technical school

- **Proprietary** refers to private, profit-making schools offering primarily programs of 2 years or less in duration. It also includes a small number of schools with programs greater than 2 (but less than 4) years in length.

Valid Application: An application with sufficient data to calculate an EFC. A graduate is not considered a valid applicants for Pell Grant purposes.

Verification: The process by which applicants for Federal student aid are selected and required to present to the institution's financial aid administrator those forms (such as a Federal income tax return and W-2 statements) which confirm the accuracy of the information they reported on their applications.