# 1995-96 Federal Pell Grant Program End-of-Year Report

U.S. Department of Education
Office of Postsecondary Education



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#### Introduction

#### **Purpose of the End-of-Year Report**

The Federal Pell Grant End-of-Year Report will describe, explain, and analyze primary aspects of Federal Pell Grant Program activity for the 1995-96 award period.

This presentation is a compilation of quantitative program data assembled to offer insights into the changes to the Title IV applicant universe and the Federal Pell Grant Program. The Federal Pell Grant End-of-Year Report provides factual information and highlights important programmatic issues.

Since 1973, the Office of Postsecondary Education has compiled summary information on Title IV applicants and Federal Pell Grant Program activity. The information provides a basis for program planning and development. In addition, the End-of-Year Report can assist higher education officials and financial aid administrators to better understand current patterns of Federal Pell Grant disbursements and Title IV applicant activity.

#### **Federal Pell Grant Program**

Title IV programs are administered by the Office of Postsecondary Education within the U.S. Department of Education. The programs are authorized by the Higher Education Act of 1965 and as amended by the Higher Education Amendments Act of 1992.

A formula, established by Congress is used to calculate a student's Expected Family Contribution (EFC). This is the amount that a family can be expected to contribute towards the student's cost of attendance. For the Federal Pell Grant Program the EFC is used to determine eligibility.

The Federal Pell Grant Program is designed to help the neediest undergraduate students. For many students, Federal Pell Grants provide a foundation of financial aid, to which aid from other federal and non-federal sources may be added. The program provided grants ranging from \$400 - \$2,340 to over 3.61 million students in 1995-96. Since its inception in 1973, expenditures for the Federal Pell Program have increased more than hundred fold (not adjusting for inflation) to \$5.5 billion in 1995-96.

#### **Databases for the End-of-Year Report Tables**

All tables in the 1995-96 End-of-Year Report are derived from a merged file containing Title IV applicant and Federal Pell Grant recipient data through December, 1996. The applicant data are from the student applications processed by the central processing system; recipient or disbursement data are derived from information reported by institutions on the paper Payment Voucher portion (Part 3) of the Student Aid Report (SAR) or via the automatic reporting systems. Some unreconciled student payment data may be included in the universe file.

#### **Eligibility**

The Federal Pell Grant is distinguished from other financial assistance in that all students meeting certain criteria are guaranteed aid, with the amount of aid determined by financial need and educational cost. However, because of limited funding, the program is not a true entitlement, as benefits may be reduced from those anticipated under a fully funded system. To be eligible for a grant an individual must meet certain residency requirements, be enrolled in an eligible program at a school participating in the Federal Pell Grant Program, and be determined to have sufficient financial need.

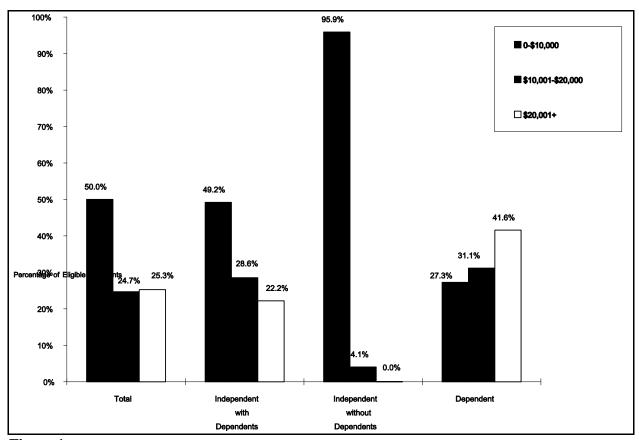
As mentioned above, financial need for 1995-96 is calculated using formulae mandated by Congress in the Higher Education Amendments Act of 1992. These formulae, applied consistently to all applicants, take into account such indicators of financial strength as income, assets, and family size. The calculation result, called the Expected Family Contribution (EFC), is combined with the cost of the student's education and the student's enrollment status (full,

three-quarter, half-time or less than half-time) to determine the amount of the Federal Pell Grant (although cost of education only affects the student's award amount if the cost is less than \$2,340).

The lower the EFC, the greater the demonstration of a student's financial need. Consequently, the amount of the grant increases as the EFC decreases, such that an applicant with the minimum EFC of zero may receive the maximum award equal to the applicant's educational cost for the year up to \$2,340. Proportionally smaller awards are made to part-time students.

# Section 1: Highlights of the Federal Pell Grant Program





**Figure 1**: Percentage of Title IV Applicants who Received a Federal Pell Grant by Family Income

This chapter highlights key 1995-96 Federal Pell Grant Program statistics. Exhibit 1 features some of the most notable changes in 1995-96 illustrated by various tables throughout the End-of-Year Report. The section concludes with a discussion of Table 1, which compares applicant, recipient, and expenditure data from the Federal Pell Grant Program's inception in 1973-74 through the present cycle, 1995-96.

#### **Applicant Summary**

In the 1995-96 award year, 9,117,753 students, or more than half of all undergraduate students, applied for Title IV aid. (According to the National Center for Education Statistics, undergraduate enrollment in the Fall of 1995 was projected to be 14.2 million.). This represents a 1.7 percent increase over the number of applicants in 1994-95 and constituted a 27.7 percent increase since 1990-91.

Of the students who applied for a Federal Pell

Grant in 1995-96, 52.5 percent are eligible to receive a grant. More than one third (34.5 percent) did not qualify to receive a grant and the status of the remaining 2.2 percent could not be determined because they provided insufficient information on the application and did not complete application processing.

#### **Recipient Summary**

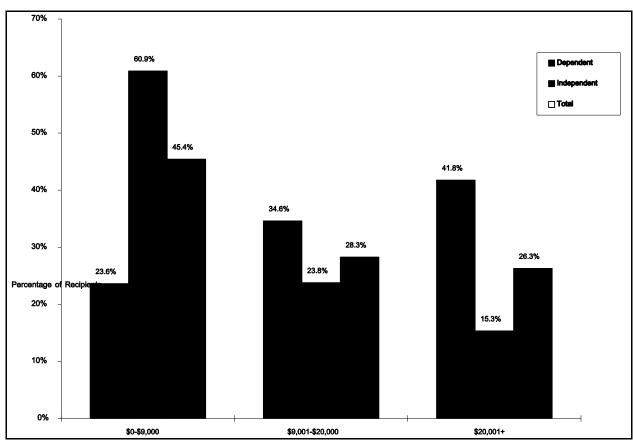
Several changes came about as a result of the enactment of the 1992 Amendment to the Higher Education Act and have been in effect since 1993-94. Most notably, changes were implemented regarding the criteria and eligibility independent students. Married and/or graduate or professional students are automatically considered independent. In addition, a student is no longer considered to be independent solely on the basis of having received \$4,000 in resources for 2 years. Also, the need analysis formulae were divided into two classifications of independents: independent with dependents other

than a spouse, and independents without dependents other than a spouse with significant higher expected contributions from the latter group. This latter group experienced a decrease in eligibility rates under the new rules.

Consistent with the intent of the Federal Pell Grant Program, the data shows that grants are directed towards the lowest income students. As shown in Figure 1, 50.0 percent of applicants eligible to receive a Federal Pell Grant report income of less than \$10,000; in comparison only 25.3 percent of eligible applicants report income greater than \$20,000. The percents differ by dependency status, however. Almost independents with no dependents have incomes of \$10,000 or less, while 27.3 percent of parents of dependent applicants have incomes of less than \$10,000, and 41.6% have incomes of \$20,000 or more.

Most recipients are in the lower income ranges. In 1995-96, only about one quarter (26.3 percent) of all recipents report family income of greater than \$20,000. Figure 2 shows that independents are more numerous in the lower income ranges than dependents: 60.9 percent of all independents report family income of \$9,000 or less compared to only 23.6 percent of dependent recipients. Likewise, 41.8 percent of dependents report family income greater than \$20,000 while only 15.3 percent of independents report income in this range.

The average family income for the total recipient population increased 4.6 percent from \$12,875 in 1994-95 to \$13,465 in 1995-96. Average income for independents rose by 4.8 percent, from \$9,539 to \$10,001. Dependents also experienced a slight increase (3.4 percent) in average family income from \$17,746 in 1994-95, to \$18,358 in 1995-96.



**Figure 2:** Family Income of Federal Pell Grant Recipients

Most 1995-96 recipients reported few available assets. More than nine out of ten (93.9 percent) recipients have net assets of less than \$7,500. Over ninety-eight percent of independent recipients had less than \$7,500 in net assets compared to 87.6 percent of dependent recipients.

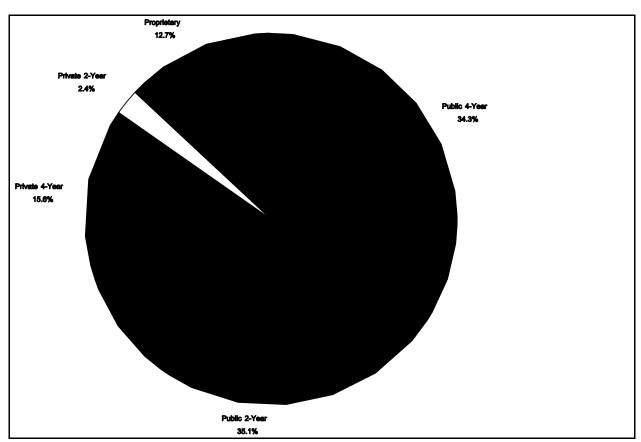
#### **Expenditure Summary**

The average grant rose from \$1,502 in 1994-95 to \$1,515 in 1995-96 (a 0.9 percent increase), reflecting the slight change in the maximum grant available to Federal Pell Grant recipients. (\$2,300 in 1994-95 and \$2,340 in 1995-96). Total expenditures for the 1995-96 cycle is \$5.47 billion dollars (a 0.9 percent decrease from 1994-95).

#### **Institutional Characteristics**

In 1995-96, 6,067 institutions participated in the Federal Pell Grant Program. Nearly four out of ten (38.8 percent) institutions participating in the program are proprietary schools. Public schools are next in number, representing 34.0 percent of the total. Private non-profit institutions account for the remaining 27.2 percent of all schools. This information is found on Table 19.

Enrollment of Federal Pell Grant recipients vary by type and control of school (Figure 3). Although fewer in number, public 2-year and 4year institutions enrolled 35.1 and 34.3 percent of all recipients, respectively.



**Figure 3**: Federal Pell Grant Recipients by Type and Control of Institution

Private non-profit institutions enroll fewer

recipients, with private 4-year institutions accounting for 15.6 percent of recipients and private 2-year schools enrolling only 2.4 percent of all recipients. Proprietary institutions account for approximately 12.7 percent of Federal Pell Grant recipients.

#### **Application Source**

In 1995-96 students can apply for aid using any one of four paper forms -- three Multiple Data Entry (MDE) forms and the Free Application for Federal Student Aid (FAFSA) -- or electronically via an initial application or a renewal application. The questions relating to Federal Student aid on each form are identical.

As shown in Figure 4, nearly one half of all applications are FAFSAs (48.7 percent); 53.1 percent of these filers qualify to receive a grant. In comparison, 50.1 percent of American College Testing (ACT) filers, 42.2 percent of Pennsylvania Higher Education Assistance Authority (PHEAA) filers, and 38.1 percent of College Scholarship Service (CSS) filers qualify. Although relatively small in number, applications submitted electronically qualify at a high rate (69.5 percent of initial applications and 69.2 percent of renewal applications).

The remaining chapters focus on a variety of aspects of the Federal Pell Grant population with special emphasis on income-related characteristics, grant levels, educational costs, and enrollment status, for each EFC, dependency status and institution type.

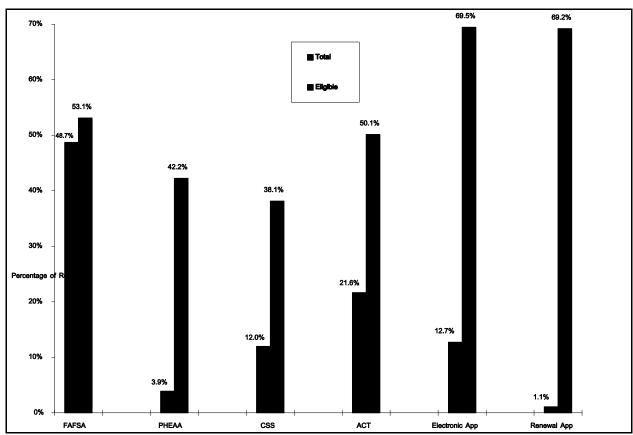


Figure 4:

Title IV Applicants by Application Source

#### Exhibit 1

# Summary of Selected Changes in the Pell Grant Program: 1994-95 to 1995-96

- Applicants increased 1.7 percent from 8.97 million to 9.12 million.
- 1.7 percent decrease in recipients, from approximately 3.67 million to 3.61 million.
- Average family income of recipients increased 4.6 percent from \$12,875 to \$13,465.
- Average educational cost for total recipients was \$9,726.
- Slight increase (0.9 percent) in the average Federal Pell Grant, from \$1,502 to \$1,515. The maximum allowable grant for the 1995-96 cycle was \$2,340.
- Total Federal Pell Grant expenditures decreased 0.9 percent, from \$5.519 billion to \$5.471 billion.

# Table 1: Federal Pell Grant Program: Summary Statistics for Cross-Year Reference

Table 1 summarizes the general applicant and recipient trends in the Federal Pell Grant Program from award period 1973-74, the first year of the program, through award period 1995-96.

#### 1973-74 through 1978-79

The program experienced dramatic growth during its first 6 years (Part 1 of 4). The eligible population expanded from freshman only in 1973-74 to all undergraduates attending Federal Pell Grant participating schools at least half-time in 1976-77. The number of aid recipients increased from 176,000 in the first year of the program to 1.89 million in 1978-79. The average grant increased substantially during the six years, from \$270 in 1973-74 (when the maximum grant was \$452) to \$814 in 1978-79 (when the maximum was \$1,600). Accompany-ing the rise in recipients and average grant was a sharp increase in program expenditures, from \$47.5 million during the first year of the program to \$1.54 billion in 1978-79.

#### 1979-80 through 1984-85

Growth in the program continued during the next 6 years (Part 2 of 4). Students submitting an official Federal Pell Grant application increased from 4.2 million in 1979-80 to 5.5 million in 1984-85, a 31.7 percent increase. Recipients rose 8.2 percent, from 2.5 million to 2.7 million, and the average grant increased by 19.6 percent, from \$929 to \$1,111. More recipients, together with a larger average grant per recipient, translated into a 29.5 percent rise in total program expenditures during the 6 years, from \$2.36 billion to \$3.05 billion.

#### 1985-86 through 1990-1991

The program continued its steady growth from 1985-86 through 1990-91 (Part 3 or 4). Total program expenditures increased by 37.2 percent, from \$3.6 billion to \$4.94 billion. The maximum grant available to students edged up

from \$2,100 to \$2,300 during this period. As costs rose, the average grant increased by 13.3 percent, from \$1,279 to \$1,449. Applicants to the program, as well as recipients, both rose steadily during the 6 years, by 26.9 percent and 21.0 percent respectively.

#### 1991-92 through 1994-95

During the next four years (Part 4 of 4), the number of students submitting an application rose, while total program expenditures declined. In 1991-92, 7.8 million students applied for Federal student aid with an official application. By 1994-95, that number had jumped by 15.4 percent. The percentage of applicants qualifying for a grant declined during these four years: 63.5 percent of applicants in 1991-92 were eligible for a Federal Pell Grant, while in 1994-95, 54.7 percent were eligible. The decline in the percentage qualifying for a grant translated to a drop in the total number of students receiving grants. In 1991-92, 3.79 million received grants; in 1994-95 the number had dropped by 2.9 percent to 3.67 million. The maximum grant available to students declined from \$2,400 to \$2,300 during these four years. The average grant also decreased, from \$1,530 to \$1,502. Fewer students, receiving on average smaller grants, translated into a 5.5 percent decline in total expenditures, from \$5.79 billion in 1991-92 to \$5.47 billion in 1995-96.

#### 1994-95 to 1995-96

Figure 5 shows that the number of students submitting official applications continued to increase from 1991-92 through 1995-96. In 1995-96, 9.1 million applications were processed. Continuing a downward trend, the number of Federal Pell Grant recipients dropped to 3.61 million in 1995-96 (a 1.7 decrease from 1994-95). Total program expenditures also declined slightly, from \$5.52 billion to \$5.47 billion (a 0.9 percent decrease), while the average award edged up from \$1,502 to \$1,515.

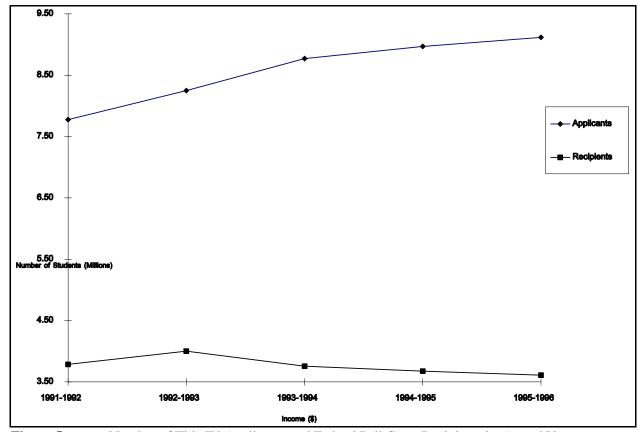


Figure 5: Number of Title IV Applicants and Federal Pell Grant Recipients by Award Year

### TABLE 1 FEDERAL PELL GRANT PROGRAM SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE (PART 1 OF 3)

				AV	VARD PERIOD				
	1973-1974	1974-1975	1975-1976	1976-1977	1977-1978	1978-1979	1979-1980	1980-1981	1981-1982
NUMBER OF TITLE IV APPLICANTS									
SUBMITTING OFFICIAL									
APPLICATIONS	512,866	1,304,877	2,339,337	3,590,379	3,844,047	3,885,383	4,186,716	4,825,420	4,945,760
NUMBER OF TITLE IV PELL GRANT									
APPLICANTS SUBMITTING									
VALID APPLICATIONS	482,331	1,114,084	2,178,696	3,408,718	3,621,641	3,401,428	3,868,429	4,475,762	4,614,590
NUMBER AND PERCENT OF									
FEDERAL PELL GRANT	268,444	681,648	1,455,187	2,258,043	2,390,320	2,228,603	3,029,745	3,330,534	3,398,237
ELIGIBLE APPLICANTS	52.30%	52.20%	62.20%	62.90%	62.20%	57.40%	72.40%	69.00%	68.70%
NUMBER AND PERCENT OF									
FEDERAL PELL GRANT	213,887	432,436	723,509	1,150,675	1,231,321	1,172,825	838,684	1,145,228	1,216,353
INELIGIBLE APPLICANTS	41.70%	33.10%	30.90%	32.10%	32.00%	30.20%	20.00%	23.70%	24.60%
NUMBER AND PERCENT OF									
APPLICATIONS RETURNED FOR									
INSUFFICIENT DATA AND NEVER	30,535	190,793	160,641	181,661	222,406	483,955	318,287	349,658	331,170
RESUBMITTED FOR PROCESSING	6.00%	14.60%	6.90%	5.10%	5.80%	12.50%	7.60%	7.20%	6.70%
NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL									
APPLICATIONS	0	0	0	0	0	348,236	280,918	265,283	266,197
				FEDERAL	PELL GRANT RECIP	IENTS			
CLASSES OF ELIGIBLE APPLICANTS		FULL-TIME	FRESHMEN	ALL	ALL	ALL	ALL	ALL	ALL
	FULL-TIME FRESHMEN	FRESHMEN &	SOPHOMORES	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-
NUMBER OF ELIGIBLE APPLICANTS	FRESHWEN	SOPHOMORES	& JUNIORS	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES
SELECTED FOR VERIFICATION	0	0	0	0	0	119,263	232,118	320,852	313,791
FEDERAL PELL GRANT RECIPIENTS	176,000	567,000	1,217,000	1,944,000	2,011,000	1,893,000	2,537,875	2,707,932	2,709,076
TOTAL EXPENDITURES	\$47,589,000	\$358,353,000	\$925,998,000	\$1,475,444,000	\$1,524,340,000	\$1,540,895,000	\$2,357,222,000	\$2,387,117,000	\$2,299,718,000
AVERAGE PELL GRANT	\$270	\$628	\$761	\$759	\$758	\$814	\$929	\$882	\$849
MINIMUM PELL GRANT	\$50	\$50	\$200	\$200	\$200	\$50	\$200	\$150	\$120
MAXIMUM PELL GRANT	\$452	\$1,050	\$1,400	\$1,400	\$1,400	\$1,600	\$1,800	\$1,750	\$1,670
FUNDING LEVEL	STEPPED REDUCTION	STEPPED REDUCTION	FULL FUNDING	FULL FUNDING	FULL FUNDING	STEPPED REDUCTION	FULL FUNDING	\$50 FLAT REDUCTION	\$80 FLAT REDUCTION

TABLE 1
FEDERAL PELL GRANT PROGRAM
SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE
(PART 2 OF 3)

	-			AW	ARD PERIOD				
	1982-1983	1983-1984	1984-1985	1985-1986	1986-1987	1987-1988	1988-1989	1989-1990	1990-1991
NUMBER OF TITLE IV APPLICANTS									
SUBMITTING OFFICIAL APPLICATIONS	5,118,558	5,453,548	5,514,029	5,627,131	6,028,303	6.297.598	6.519.349	6.777.992	7,138,940
AFF LIGATIONS	3,116,336	3,433,346	3,314,029	3,027,131	0,028,303	0,297,398	0,319,349	0,777,332	7,136,940
NUMBER OF TITLE IV PELL GRANT									
APPLICANTS SUBMITTING									
VALID APPLICATIONS	4,709,225	4,955,775	4,981,357	5,205,492	5,535,734	5,714,194	5,913,224	6,165,309	6,455,099
NUMBER AND PERCENT OF									
FEDERAL PELL GRANT	3,341,371	3,541,191	3,558,386	3,710,933	3,769,608	3,812,814	4,199,322	4,347,681	4,507,984
ELIGIBLE APPLICANTS	65.30%	64.90%	64.50%	65.90%	62.50%	60.50%	64.40%	64.10%	63.10%
NUMBER AND PERCENT OF									
FEDERAL PELL GRANT	1,367,854	1,414,584	1,422,971	1,494,559	1,766,126	1,901,380	1,713,902	1,817,628	1,947,115
INELIGIBLE APPLICANTS	26.70%	25.90%	25.80%	26.50%	29.20%	30.10%	26.30%	26.80%	27.30%
NUMBER AND PERCENT OF									
APPLICATIONS RETURNED FOR									
INSUFFICIENT DATA AND NEVER	409,333	497,773	532,672	421,639	492,569	583,404	606,125	612,683	683,841
RESUBMITTED FOR PROCESSING	8.00%	9.10%	9.70%	7.40%	8.10%	9.20%	9.30%	9.00%	9.60%
NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL									
APPLICATIONS	296,146	284,945	299,485	287,661	321,489	320,193	318,291	301,658	177,718
				FEDERAL I	PELL GRANT RECIP	IENTS			
CLASSES OF ELIGIBLE APPLICANTS	ALL	ALL	ALL	ALL	ALL	ALL	ALL	ALL	ALL
	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-	UNDER-
NUMBER OF ELIGIBLE APPLICANTS	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES	GRADUATES
SELECTED FOR VERIFICATION	1,660,021	1,047,792	1,046,080	2,079,093	2,452,150	1,698,146	1,892,916	1,277,397	1,421,596
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FEDERAL PELL GRANT RECIPIENTS	2,522,746	2,758,906	2,747,100	2,813,489	2,659,507	2,881,547	3,198,286	3,322,151	3,404,810
TOTAL EXPENDITURES	\$2,420,517,000	\$2,797,057,000	\$3,052,999,052	\$3,597,379,921	\$3,460,006,551	\$3,754,329,481	\$4,475,693,249	\$4,777,844,232	\$4,935,191,005
AVERAGE PELL GRANT	\$959	\$1,014	\$1,111	\$1,279	\$1,301	\$1,303	\$1,399	\$1,438	\$1,449
MINIMUM PELL GRANT	\$50	\$200	\$200	\$200	\$100	\$200	\$200	\$200	\$100
MAXIMUM PELL GRANT	\$1,800	\$1,800	\$1,900	\$2,100	\$2,100	\$2,100	\$2,200	\$2,300	\$2,300
FUNDING LEVEL	STEPPED REDUCTION	FULL FUNDING	FULL FUNDING	FULL FUNDING	LINEAR REDUCTION	FULL FUNDING	FULL FUNDING	FULL FUNDING	LINEAR REDUCTION

### TABLE 1 FEDERAL PELL GRANT PROGRAM SUMMARY STATISTICS FOR CROSS-YEAR REFERENCE (PART 3 OF 3)

AWARD PERIOD

1991-1992 1992-1993 1993-1994 1994-1995 1995-1996 NUMBER OF TITLE IV APPLICANTS SUBMITTING OFFICIAL APPLICATIONS..... 7,775,216 8,248,141 8,770,409 8,969,646 9,117,753 NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING **VALID APPLICATIONS...** 6,983,636 7,365,243 8,518,710 7,777,169 7,935,336 NUMBER AND PERCENT OF FEDERAL PELL GRANT 4,941,079 5,243,139 5,382,698 4,902,257 4,786,238 **ELIGIBLE APPLICANTS...** 63.50% 63.60% 61.40% 54.70% 52.50% NUMBER AND PERCENT OF **FEDERAL PELL GRANT** 2,042,557 2,122,104 3,136,012 2,874,912 3,149,098 INELIGIBLE APPLICANTS..... 26.30% 25.70% 35.80% 32.10% 34.50% NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR **INSUFFICIENT DATA AND NEVER** 791,580 882,898 251,699 234,305 197,165 RESUBMITTED FOR PROCESSING...... 10.20% 10.70% 2.90% 2.60% 2.20% NUMBER OF TITLE IV APPLICANTS SUBMITTING UNOFFICIAL APPLICATIONS..... 176,021 189,665 201,167 201,020 N/A **FEDERAL PELL GRANT RECIPIENTS CLASSES OF ELIGIBLE APPLICANTS** ALL ALL ALL ALL ALL UNDER-UNDER-UNDER-UNDER-UNDER-**GRADUATES GRADUATES GRADUATES GRADUATES GRADUATES** NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION..... 1,631,617 1,614,852 2,357,145 1,841,475 1,874,347 FEDERAL PELL GRANT RECIPIENTS..... 3,786,230 4,002,045 3,755,675 3,674,967 3,611,821 TOTAL EXPENDITURES..... \$5,792,702,829 \$6,175,902,364 \$5,654,453,265 \$5,519,474,492 \$5,471,707,710 AVERAGE PELL GRANT..... \$1,530 \$1,543 \$1,506 \$1,502 \$1,515 MINIMUM PELL GRANT..... \$200 \$200 \$400 \$400 \$400 MAXIMUM PELL GRANT..... \$2,400 \$2,400 \$2,300 \$2,300 \$2,340 FUNDING LEVEL..... **FULL FULL FULL FULL FULL FUNDING FUNDING FUNDING FUNDING** FUNDING

NOTE: IN 1994-95 GRADUATE STUDENTS WERE REMOVED FROM THE VALID APPLICANT COUNT. STARTING IN 1995-96 ALL APPLICATIONS WERE CONSIDERED OFFICIAL.

# Section 2: Selected Characteristics of Federal Pell Grant Recipients

# Table 2: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Family Income

Table 2A: *Total*Table 2B: *Dependent*Table 2C: *Independent* 

Table 2A shows the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and family income. Tables 2B and 2C show the same data for dependent and independent students, respectively. Figure 6 summarizes the distribution of recipients by family income for both dependents and independents.

Many Recipients Still Have Family Income of \$9,000 or Less. The data clearly shows that Federal Pell Grant awards are directed toward the lowest income students. Almost half (45.4 percent) of the 1995-96 recipients report a family income of \$9,000 or less. Only 26.3 percent report income greater than \$20,000, with the majority of these in the \$20,001 to \$30,000 income range.

Independent students predominate in the lower income ranges. Nearly two-thirds (60.9 percent) of independents report a family income of \$9,000 or less, compared to only 23.6 percent of dependents. Two of every five (41.8 percent) dependent recipients have a family income greater than \$20,000, whereas only 15.3 percent of independents are in this range.

**Average Family Income Increases With Inflation.** In 1995-96, average family income (not shown) for Federal Pell Grant recipients in is \$13,465, compared to \$12,875 in 1994-95. For independents, the average income is \$10,001. The family income for dependents average \$18,358.

Majority of Recipients Have Zero EFC. Table 2A presents the distribution of the Expected Family Contribution (EFC) for all 1995-96 recipients. The EFC, an indicator of a family's ability to pay, is used by the school in conjunction

with the student's educational cost and enrollment status to determine the amount of the grant. Within a given educational cost range and enrollment status, a lower EFC results in a higher grant.

Table 2A and Figure 6 shows that more than half of the recipients in 1995-96 receive either an Automatic Zero EFC or a zero EFC. The Automatic Zero EFC is calculated if the income of the parents or the student's and spouse's is \$12,000 or less, and the family filed a 1040A or 1040EZ federal income tax return. A zero EFC, on the other hand, is the calculated value based on income, household, and other information. Approximately one-third (31.9 percent) of all recipients receive an Automatic Zero EFC and 26.0 percent receive a calculated zero EFC (a combined total of 57.9 percent).

As shown in Tables 2B and 2C, independents are much more likely to receive an automatic or calculated zero EFC than dependents. Two-thirds (66.9 percent) of independents receive a zero EFC compared to 45.2 percent of dependents. In contrast, only 14.2 percent of independents have an EFC greater than 1,000, while 26.6 percent of dependents are in this EFC range. Approximately 81.3 percent of all recipients (80.1 percent of independents and 86.6 percent of dependents) reporting incomes of \$6,000 or less receive zero EFCs.

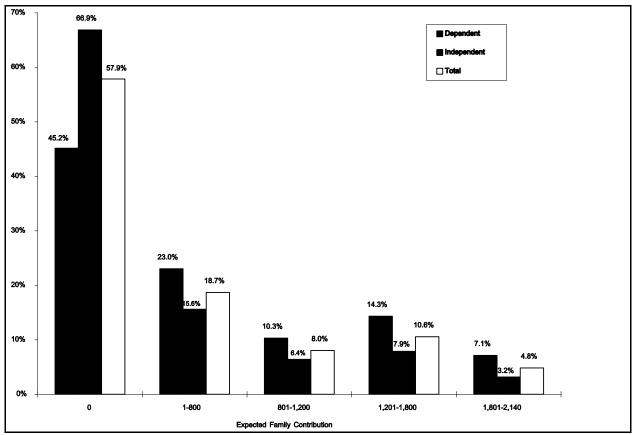


Figure 6: Distribution of Recipients by Expected Family Contribution

#### Table 2-A - ALL RECIPIENTS

#### **DISTRIBUTION OF PELL GRANT RECIPIENTS**

#### BY EXPECTED FAMILY CONTRIBUTION AND FAMILY INCOME

#### **AWARD YEAR 1995-96**

EXPECTED				ГА	MILY INCOM					
FAMILY CONTRIBUTION	LESS THAN \$1,001	\$1,001 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 15,000	\$15,001 - 20,000	\$20,001 - 30,000	\$30,001 - 40,000	\$40,000 +	TOTAL
AUTOMATIC 0	85,250	139,046	324,410	259,011	275,300	43,604	20,165	3,849	1,489	1,152,124
R%	7.40	12.07	28.16	22.48	23.89	3.78	1.75	0.33	0.13	100.00
C%	34.24	45.75	53.73	53.50	47.34	9.91	3.18	1.56	2.22	31.90
0	152,747	157,600	81,731	65,748	198,134	186,405	89,879	5,687	392	938,323
R%	16.28	16.80	8.71	7.01	21.12	19.87	9.58	0.61	0.04	100.00
C%	61.34	51.86	13.54	13.58	34.07	42.37	14.15	2.30	0.58	25.98
1 - 200	3,787	2,455	34,742	7,963	24,134	64,427	54,592	5,052	367	197,519
R%	1.92	1.24	17.59	4.03	12.22	32.62	27.64	2.56	0.19	100.00
C% <b>201 - 400</b>	1.52 <b>1.503</b>	0.81 <b>950</b>	5.75 <b>35,498</b>	1.64 <b>4.531</b>	4.15 <b>9.951</b>	14.64 <b>43.268</b>	8.60 <b>61,636</b>	2.04 <b>7.702</b>	0.55 <b>491</b>	5.47 <b>165.530</b>
201 - 400 R%	0.91	0.57	21.45	<b>4,531</b> 2.74	6.01	<b>43,200</b> 26.14	37.24	4.65	0.30	100.00
C%	0.60	0.37	5.88	0.94	1.71	9.83	9.71	3.11	0.30	4.58
401 - 600	1.068	744	35,365	5,097	8.165	28,309	68,119	12,502	1,021	160,390
401 - 000 R%	0.67	0.46	22.05	3.18	5.09	17.65	42.47	7.79	0.64	100,390
C%	0.43	0.40	5.86	1.05	1.40	6.43	10.73	5.05	1.52	4.44
601 - 800	921	629	30,026	6,082	7,398	17,272	69,465	17,867	2,017	151,677
R%	0.61	0.41	19.80	4.01	4.88	11.39	45.80	11.78	1.33	100.00
C%	0.37	0.21	4.97	1.26	1.27	3.93	10.94	7.22	3.01	4.20
801 - 1,000	814	564	28,211	5,981	8,312	12,447	63,900	23,533	3,434	147,196
, R%	0.55	0.38	19.17	4.06	5.65	8.46	43.41	15.99	2.33	100.00
C%	0.33	0.19	4.67	1.24	1.43	2.83	10.06	9.51	5.12	4.08
1,001 - 1,200	734	444	23,249	11,252	9,375	10,438	53,704	28,586	5,291	143,073
R%	0.51	0.31	16.25	7.86	6.55	7.30	37.54	19.98	3.70	100.00
C%	0.29	0.15	3.85	2.32	1.61	2.37	8.46	11.55	7.89	3.96
1,201 - 1,400	642	398	7,523	24,778	9,958	8,981	45,232	31,015	7,500	136,027
R%	0.47	0.29	5.53	18.22	7.32	6.60	33.25	22.80	5.51	100.00
C%	0.26	0.13	1.25	5.12	1.71	2.04	7.12	12.54	11.19	3.77
1,401 - 1,600	497	337	1,416	28,642	9,643	7,692	38,351	30,915	9,570	127,063
R%	0.39	0.27	1.11	22.54	7.59	6.05	30.18	24.33	7.53	100.00
C%	0.20	0.11	0.23	5.92	1.66	1.75	6.04	12.50	14.27	3.52
1,601 - 1,800	436	322	699	26,676	9,187	6,690	31,231	31,296	11,952	118,489
R%	0.37	0.27	0.59	22.51	7.75	5.65	26.36	26.41	10.09	100.00
C%	0.18	0.11	0.12	5.51	1.58	1.52	4.92	12.65	17.83	3.28
1,801 - 2,000	369	270	561	24,511	7,566	6,307	25,101	30,098	13,420	108,203
R% C%	0.34 0.15	0.25 0.09	0.52 0.09	22.65 5.06	6.99 1.30	5.83	23.20 3.95	27.82	12.40 20.02	100.00
2,001 - 2,140	235	0.09 <b>146</b>	348	13,895	1.30 <b>4,444</b>	1.43 <b>4,121</b>	3.95 <b>13,624</b>	12.17 <b>19,294</b>	10,100	3.00 <b>66,207</b>
2,001 - 2,140 R%	0.35	0.22	0.53	20.99	<b>4,444</b> 6.71	6.22	20.58	29.14	15.26	100.00
C%	0.35	0.22	0.53	20.99	0.76	0.94	20.58	7.80	15.26	1.83
TOTAL	249,003	303,905	603,779	484,167	581,567	439,961	634,999	247,396	67,044	3,611,821
R%	6.89	8.41	16.72	13.41	16.10	12.18	17.58	6.85	1.86	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

#### Table 2-B - **DEPENDENT RECIPIENTS**

#### **DISTRIBUTION OF PELL GRANT RECIPIENTS**

#### BY EXPECTED FAMILY CONTRIBUTION AND FAMILY INCOME

#### **AWARD YEAR 1995-96**

EXPECTED				FA	MILY INCOM	ΛE				
FAMILY CONTRIBUTION	LESS THAN \$1,001	\$1,001 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 15,000	\$15,001 - 20,000	\$20,001 - 30,000	\$30,001 - 40,000	\$40,000 +	TOTAL
AUTOMATIC 0	35,060	37,283	96,068	99,290	131,699	26,373	14,239	2,940	1,124	444,076
R%	7.90	8.40	21.63	22.36	29.66	5.94	3.21	0.66	0.25	100.00
C%	66.57	76.77	81.21	74.14	48.33	10.73	3.62	1.68	1.97	29.66
0	6,787	4,730	10,135	15,936	67,113	77,807	45,530	3,766	321	232,125
R%	2.92	2.04	4.37	6.87	28.91	33.52	19.61	1.62	0.14	100.00
C%	12.89	9.74	8.57	11.90	24.63	31.66	11.57	2.15	0.56	15.50
1 - 200	3,745	2,216	3,974	5,978	21,780	35,948	34,052	3,950	343	111,986
R%	3.34	1.98	3.55	5.34	19.45	32.10	30.41	3.53	0.31	100.00
C% <b>201 - 400</b>	7.11 <b>1,479</b>	4.56 <b>816</b>	3.36 <b>1,496</b>	4.46 <b>2,387</b>	7.99 <b>9,105</b>	14.63 <b>22,487</b>	8.65 <b>34,848</b>	2.26 <b>5,773</b>	0.60 <b>427</b>	7.48 <b>78,818</b>
201 - 400 R%	1,479	1.04	1,490	3.03	11.55	28.53	<b>34,646</b> 44.21	7.32	0.54	100.00
C%	2.81	1.68	1.26	1.78	3.34	9.15	8.85	3.30	0.75	5.26
401 - 600	1,042	660	1,155	1,762	7,341	17,167	37,733	9,293	896	77,049
401 000 R%	1.35	0.86	1.50	2.29	9.53	22.28	48.97	12.06	1.16	100.00
C%	1.98	1.36	0.98	1.32	2.69	6.98	9.59	5.31	1.57	5.15
601 - 800	904	583	1,073	1,642	6,395	13,238	38,694	12,930	1,740	77,199
R%	1.17	0.76	1.39	2.13	8.28	17.15	50.12	16.75	2.25	100.00
C%	1.72	1.20	0.91	1.23	2.35	5.39	9.83	7.39	3.05	5.16
801 - 1,000	793	514	910	1,413	6,111	11,268	37,274	16,574	2,908	77,765
R%	1.02	0.66	1.17	1.82	7.86	14.49	47.93	21.31	3.74	100.00
C%	1.51	1.06	0.77	1.06	2.24	4.58	9.47	9.48	5.10	5.19
1,001 - 1,200	718	404	819	1,305	5,571	10,062	33,761	19,584	4,447	76,671
R%	0.94	0.53	1.07	1.70	7.27	13.12	44.03	25.54	5.80	100.00
C%	1.36	0.83	0.69	0.97	2.04	4.09	8.58	11.20	7.80	5.12
1,201 - 1,400	629	368	755	1,196	4,789	8,757	30,755	21,244	6,260	74,753
R%	0.84	0.49	1.01	1.60	6.41	11.71	41.14	28.42	8.37	100.00
C%	1.19	0.76	0.64	0.89	1.76	3.56	7.81	12.15	10.98	4.99
1,401 - 1,600	486	309	596	953	4,061	7,540	28,313	21,160	8,004	71,422
R%	0.68	0.43	0.83	1.33	5.69	10.56	39.64	29.63	11.21	100.00
C% 1,601 - 1,800	0.92 <b>429</b>	0.64 <b>294</b>	0.50 <b>547</b>	0.71 <b>874</b>	1.49 <b>3,624</b>	3.07 <b>6,507</b>	7.19 <b>24,635</b>	12.10 <b>21,443</b>	14.04 <b>10,062</b>	4.77 <b>68,415</b>
1, <b>601 - 1,600</b> R%	0.63	0.43	0.80	1.28	5.30	9.51	<b>24,633</b> 36.01	31.34	14.71	100.00
C%	0.83	0.43	0.80	0.65	1.33	2.65	6.26	12.26	17.65	4.57
1,801 - 2,000	364	248	473	766	3,108	5,499	21,432	21,560	11,575	65,025
1,001 2,000 R%	0.56	0.38	0.73	1.18	4.78	8.46	32.96	33.16	17.80	100.00
C%	0.69	0.51	0.40	0.57	1.14	2.24	5.44	12.33	20.30	4.34
2,001 - 2,140	229	140	297	419	1,824	3,129	12,349	14,640	8,904	41,931
R%	0.55	0.33	0.71	1.00	4.35	7.46	29.45	34.91	21.23	100.00
C%	0.43	0.29	0.25	0.31	0.67	1.27	3.14	8.37	15.62	2.80
TOTAL	52,665	48,565	118,298	133,921	272,521	245,782	393,615	174,857	57,011	1,497,235
R%	3.52	3.24	7.90	8.94	18.20	16.42	26.29	11.68	3.81	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

#### Table 2-C - INDEPENDENT RECIPIENTS

#### DISTRIBUTION OF PELL GRANT RECIPIENTS

#### BY EXPECTED FAMILY CONTRIBUTION AND FAMILY INCOME

#### **AWARD YEAR 1995-96**

FYDE	CTED	FAMILY INCOME											
FAI	MILY IBUTION	LESS THAN \$1,001	\$1,001 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 15,000	\$15,001 - 20,000	\$20,001 - 30,000	\$30,001 - 40,000	\$40,000 +	TOTAL		
AUTO	MATIC 0	50,190	101,763	228,342	159,721	143,601	17,231	5,926	909	365	708,048		
	R%	7.09	14.37	32.25	22.56	20.28	2.43	0.84	0.13	0.05	100.00		
	C%	25.56	39.85	47.03	45.60	46.47	8.87	2.46	1.25	3.64	33.48		
	0	145,960	152,870	71,596	49,812	131,021	108,598	44,349	1,921	71	706,198		
	R%	20.67	21.65	10.14	7.05	18.55	15.38	6.28	0.27	0.01	100.00		
	C%	74.34	59.87	14.75	14.22	42.40	55.93	18.37	2.65	0.71	33.40		
1 -	200	42	239	30,768	1,985	2,354	28,479	20,540	1,102	24	85,533		
	R%	0.05	0.28	35.97	2.32	2.75	33.30	24.01	1.29	0.03	100.00		
	C%	0.02	0.09	6.34	0.57	0.76	14.67	8.51	1.52	0.24	4.04		
201	- 400	24	134	34,002	2,144	846	20,781	26,788	1,929	64	86,712		
	R%	0.03	0.15	39.21	2.47	0.98	23.97	30.89	2.22	0.07	100.00		
	C%	0.01	0.05	7.00	0.61	0.27	10.70	11.10	2.66	0.64	4.10		
401	- 600	26	84	34,210	3,335	824	11,142	30,386	3,209	125	83,341		
	R%	0.03	0.10	41.05	4.00	0.99	13.37	36.46	3.85	0.15	100.00		
	C%	0.01	0.03	7.05	0.95	0.27	5.74	12.59	4.42	1.25	3.94		
601	- 800	17	46	28,953	4,440	1,003	4,034	30,771	4,937	277	74,478		
	R%	0.02	0.06	38.87	5.96	1.35	5.42	41.32	6.63	0.37	100.00		
	C%	0.01	0.02	5.96	1.27	0.32	2.08	12.75	6.81	2.76	3.52		
801 -	1,000	21	50	27,301	4,568	2,201	1,179	26,626	6,959	526	69,431		
	R%	0.03	0.07	39.32	6.58	3.17	1.70	38.35	10.02	0.76	100.00		
	C%	0.01	0.02	5.62	1.30	0.71	0.61	11.03	9.59	5.24	3.28		
1,001	- 1,200	16	40	22,430	9,947	3,804	376	19,943	9,002	844	66,402		
	R%	0.02	0.06	33.78	14.98	5.73	0.57	30.03	13.56	1.27	100.00		
	C%	0.01	0.02	4.62	2.84	1.23	0.19	8.26	12.41	8.41	3.14		
1,201	- 1,400	13	30	6,768	23,582	5,169	224	14,477	9,771	1,240	61,274		
	R%	0.02	0.05	11.05	38.49	8.44	0.37	23.63	15.95	2.02	100.00		
	C%	0.01	0.01	1.39	6.73	1.67	0.12	6.00	13.47	12.36	2.90		
1,401	- 1,600	11	28	820	27,689	5,582	152	10,038	9,755	1,566	55,641		
	R%	0.02	0.05	1.47	49.76	10.03	0.27	18.04	17.53	2.81	100.00		
	C%	0.01	0.01	0.17	7.91	1.81	0.08	4.16	13.45	15.61	2.63		
1,601	- 1,800	7	28	152	25,802	5,563	183	6,596	9,853	1,890	50,074		
	R%	0.01	0.06	0.30	51.53	11.11	0.37	13.17	19.68	3.77	100.00		
	C%	0.00	0.01	0.03	7.37	1.80	0.09	2.73	13.58	18.84	2.37		
1,801	- 2,000	5	22	88	23,745	4,458	808	3,669	8,538	1,845	43,178		
	R%	0.01	0.05	0.20	54.99	10.32	1.87	8.50	19.77	4.27	100.00		
	C%	0.00	0.01	0.02	6.78	1.44	0.42	1.52	11.77	18.39	2.04		
2,001	- 2,140	6	6	51	13,476	2,620	992	1,275	4,654	1,196	24,276		
	R%	0.02	0.02	0.21	55.51	10.79	4.09	5.25	19.17	4.93	100.00		
	C%	0.00	0.00	0.01	3.85	0.85	0.51	0.53	6.42	11.92	1.15		
TO	TAL	196,338	255,340	485,481	350,246	309,046	194,179	241,384	72,539	10,033	2,114,586		
	R%	9.28	12.08	22.96	16.56	14.61	9.18	11.42	3.43	0.47	100.00		
	C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00		

# Table 3: Distribution of Federal Pell Grant Recipients by Family Income and Grant Level

Table 3A: *Total*Table 3B: *Dependent*Table 3C: *Independent* 

Tables 3A, 3B, and 3C present the distribution of Federal Pell Grant recipients by family income and grant level for all recipients, dependents and independents, respectively.

Table 3A supports the relationship introduced with Table 2 that as family income increases, grant size decreases. Just under half (45.4 percent) of all grant recipients report family income of \$9,000 or less. Of these recipients, 37.9 percent receive the maximum grant of \$2,340. Only 20.8 percent of these recipients received grants of less than \$900. Of recipients reporting income greater than \$20,000, 43.3 percent receive grants less than \$900, and less than 7.1 percent receive the maximum grant.

#### **Independents Receive Higher Grants.**

- Nearly two-thirds (58.5 percent) of those receiving the maximum grant are independent.
- Approximately 56.3 percent of recipients receiving grants of \$1,500 or more are independent. Almost half (48.2 percent) of all independent recipients receive grants greater than \$1,500 with 29.0 percent receiving the maximum grant.
- Independent recipients receive 58.5 percent of all grants awarded.

parents' income are more likely to receive a smaller grant.

- Although 52.7 percent of all dependent recipients receive grants larger than \$1,500, 31.6 percent of these recipients report a family income of \$9,000 or less; over 74.9 percent report a family income of \$20,000 or less.
- Approximately 24.9 percent of all dependent recipients receive grants less than \$900.

For the most part, recipients with relatively large incomes are more likely than their low income counterparts to receive a small grant. It is important to note that enrollment status and, in some cases, educational cost are also determinants of grant level. About one fifth (20.8 percent) of recipients with incomes of \$9,000 or less receive grants less than \$900.

It should be noted that independents report far lower family incomes than dependents on the whole and therefore are more likely to qualify for larger grants. Dependents who must report their

TABLE 3-A

DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND GRANT LEVEL

ALL RECIPIENTS - AWARD YEAR 1995-96

FAMILY INCOME	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$1,001	4,134	13,846	16,326	45,867	9,015	19,717	14,300	6,442	119,356	249,003
R%	1.66	5.56	6.56	18.42	3.62	7.92	5.74	2.59	47.93	100.00
C%	3.14	3.20	4.25	7.63	3.53	5.91	5.30	4.14	11.39	6.89
\$1,001 - 3,000	4,679	16,127	20,060	56,864	10,879	24,643	16,794	6,610	147,249	303,905
R%	1.54	5.31	6.60	18.71	3.58	8.11	5.53	2.18	48.45	100.00
C%	3.55	3.73	5.22	9.46	4.25	7.38	6.22	4.25	14.05	8.41
\$3,001 - 6,000	12,135	40,948	54,179	107,991	46,701	64,948	54,671	27,149	195,057	603,779
R%	2.01	6.78	8.97	17.89	7.73	10.76	9.05	4.50	32.31	100.00
C%	9.22	9.48	14.10	17.97	18.27	19.45	20.25	17.45	18.62	16.72
\$6,001 - 9,000	29,189	74,848	55,113	78,310	19,454	32,936	22,875	10,676	160,766	484,167
R%	6.03	15.46	11.38	16.17	4.02	6.80	4.72	2.21	33.20	100.00
C%	22.17	17.32	14.34	13.03	7.61	9.86	8.47	6.86	15.34	13.41
\$9,001 - 15,000	15,077	47,673	45,814	93,537	28,723	48,972	37,084	25,028	239,659	581,567
R%	2.59	8.20	7.88	16.08	4.94	8.42	6.38	4.30	41.21	100.00
C%	11.45	11.03	11.92	15.57	11.23	14.67	13.74	16.08	22.87	16.10
\$15,001 - 20,000	11,302	37,554	37,421	69,564	31,099	44,757	49,427	40,787	118,050	439,961
R%	2.57	8.54	8.51	15.81	7.07	10.17	11.23	9.27	26.83	100.00
C%	8.58	8.69	9.74	11.58	12.16	13.41	18.31	26.21	11.27	12.18
\$20,001 - 30,000	27,753	97,524	93,417	101,026	77,253	77,918	64,419	35,111	60,578	634,999
R%	4.37	15.36	14.71	15.91	12.17	12.27	10.14	5.53	9.54	100.00
C%	21.08	22.57	24.31	16.81	30.21	23.34	23.86	22.57	5.78	17.58
\$30,001 - 40,000	21,202	74,178	47,956	39,143	27,999	17,958	9,638	3,501	5,821	247,396
R%	8.57	29.98	19.38	15.82	11.32	7.26	3.90	1.42	2.35	100.00
C%	16.10	17.17	12.48	6.51	10.95	5.38	3.57	2.25	0.56	6.85
\$40,001 +	6,184	29,361	13,925	8,638	4,557	2,026	759	295	1,299	67,044
R%	9.22	43.79	20.77	12.88	6.80	3.02	1.13	0.44	1.94	100.00
C%	4.70	6.80	3.62	1.44	1.78	0.61	0.28	0.19	0.12	1.86
TOTAL	131,655	432,059	384,211	600,940	255,680	333,875	269,967	155,599	1,047,835	3,611,821
R%	3.65	11.96	10.64	16.64	7.08	9.24	7.47	4.31	29.01	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 3-B

DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND GRANT LEVEL

DEPENDENT RECIPIENTS - AWARD YEAR 1995-96

FAMILY INCOME	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$1,001	633	2,459	2,826	7,629	2,239	3,989	3,871	3,591	25,428	52,665
R%	1.20	4.67	5.37	14.49	4.25	7.57	7.35	6.82	48.28	100.00
C%	1.59	1.37	1.85	3.51	1.89	2.96	3.09	3.83	5.84	3.52
\$1,001 - 3,000	552	2,115	2,651	7,698	1,923	3,401	3,126	2,356	24,743	48,565
R%	1.14	4.35	5.46	15.85	3.96	7.00	6.44	4.85	50.95	100.00
C%	1.39	1.18	1.73	3.54	1.62	2.52	2.49	2.51	5.69	3.24
\$3,001 - 6,000	1,478	4,731	6,277	18,373	4,020	7,941	6,851	4,437	64,190	118,298
R%	1.25	4.00	5.31	15.53	3.40	6.71	5.79	3.75	54.26	100.00
C%	3.71	2.64	4.10	8.45	3.40	5.89	5.47	4.73	14.75	7.90
\$6,001 - 9,000	1,703	5,563	7,202	19,347	4,815	8,730	8,381	5,979	72,201	133,921
R%	1.27	4.15	5.38	14.45	3.60	6.52	6.26	4.46	53.91	100.00
C%	4.28	3.10	4.71	8.89	4.07	6.47	6.69	6.37	16.60	8.94
\$9,001 - 15,000	3,992	14,204	15,993	36,597	12,513	19,916	19,885	19,212	130,209	272,521
R%	1.46	5.21	5.87	13.43	4.59	7.31	7.30	7.05	47.78	100.00
C%	10.03	7.92	10.46	16.82	10.57	14.76	15.87	20.48	29.93	18.20
\$15,001 - 20,000	5,166	18,367	19,600	32,436	17,590	23,654	29,871	28,194	70,904	245,782
R%	2.10	7.47	7.97	13.20	7.16	9.62	12.15	11.47	28.85	100.00
C%	12.98	10.24	12.81	14.91	14.86	17.53	23.84	30.05	16.30	16.42
\$20,001 - 30,000	12,770	57,411	53,112	58,314	48,755	50,532	44,489	26,720	41,512	393,615
R%	3.24	14.59	13.49	14.81	12.39	12.84	11.30	6.79	10.55	100.00
C%	32.08	32.00	34.72	26.81	41.18	37.46	35.50	28.48	9.54	26.29
\$30,001 - 40,000	9,409	49,575	33,176	29,450	22,400	14,886	8,136	3,048	4,777	174,857
R%	5.38	28.35	18.97	16.84	12.81	8.51	4.65	1.74	2.73	100.00
C%	23.63	27.63	21.69	13.54	18.92	11.03	6.49	3.25	1.10	11.68
\$40,001 +	4,108	25,002	12,132	7,700	4,133	1,857	696	278	1,105	57,011
R%	7.21	43.85	21.28	13.51	7.25	3.26	1.22	0.49	1.94	100.00
C%	10.32	13.93	7.93	3.54	3.49	1.38	0.56	0.30	0.25	3.81
TOTAL	39,811	179,427	152,969	217,544	118,388	134,906	125,306	93,815	435,069	1,497,235
R%	2.66	11.98	10.22	14.53	7.91	9.01	8.37	6.27	29.06	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 3-C

DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND GRANT LEVEL

INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

FAMILY INCOME	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$1,001	3,501	11,387	13,500	38,238	6,776	15,728	10,429	2,851	93,928	196,338
R%	1.78	5.80	6.88	19.48	3.45	8.01	5.31	1.45	47.84	100.00
C%	3.81	4.51	5.84	9.97	4.94	7.90	7.21	4.61	15.33	9.28
\$1,001 - 3,000	4,127	14,012	17,409	49,166	8,956	21,242	13,668	4,254	122,506	255,340
R%	1.62	5.49	6.82	19.26	3.51	8.32	5.35	1.67	47.98	100.00
C%	4.49	5.55	7.53	12.82	6.52	10.68	9.45	6.89	19.99	12.08
\$3,001 - 6,000	10,657	36,217	47,902	89,618	42,681	57,007	47,820	22,712	130,867	485,481
R%	2.20	7.46	9.87	18.46	8.79	11.74	9.85	4.68	26.96	100.00
C%	11.60	14.34	20.72	23.37	31.09	28.65	33.06	36.76	21.36	22.96
\$6,001 - 9,000	27,486	69,285	47,911	58,963	14,639	24,206	14,494	4,697	88,565	350,246
R%	7.85	19.78	13.68	16.83	4.18	6.91	4.14	1.34	25.29	100.00
C%	29.93	27.43	20.72	15.38	10.66	12.17	10.02	7.60	14.45	16.56
\$9,001 - 15,000	11,085	33,469	29,821	56,940	16,210	29,056	17,199	5,816	109,450	309,046
R%	3.59	10.83	9.65	18.42	5.25	9.40	5.57	1.88	35.42	100.00
C%	12.07	13.25	12.90	14.85	11.81	14.60	11.89	9.41	17.86	14.61
\$15,001 - 20,000	6,136	19,187	17,821	37,128	13,509	21,103	19,556	12,593	47,146	194,179
R%	3.16	9.88	9.18	19.12	6.96	10.87	10.07	6.49	24.28	100.00
C%	6.68	7.59	7.71	9.68	9.84	10.61	13.52	20.38	7.69	9.18
\$20,001 - 30,000	14,983	40,113	40,305	42,712	28,498	27,386	19,930	8,391	19,066	241,384
R%	6.21	16.62	16.70	17.69	11.81	11.35	8.26	3.48	7.90	100.00
C%	16.31	15.88	17.43	11.14	20.76	13.76	13.78	13.58	3.11	11.42
\$30,001 - 40,000	11,793	24,603	14,780	9,693	5,599	3,072	1,502	453	1,044	72,539
R%	16.26	33.92	20.38	13.36	7.72	4.23	2.07	0.62	1.44	100.00
C%	12.84	9.74	6.39	2.53	4.08	1.54	1.04	0.73	0.17	3.43
\$40,001 +	2,076	4,359	1,793	938	424	169	63	17	194	10,033
R%	20.69	43.45	17.87	9.35	4.23	1.68	0.63	0.17	1.93	100.00
C%	2.26	1.73	0.78	0.24	0.31	0.08	0.04	0.03	0.03	0.47
TOTAL	91,844	252,632	231,242	383,396	137,292	198,969	144,661	61,784	612,766	2,114,586
R%	4.34	11.95	10.94	18.13	6.49	9.41	6.84	2.92	28.98	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

# Table 4: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Grant Level

Table 4A: *Total*Table 4B: *Dependent*Table 4C: *Independent* 

Tables 4A, 4B, and 4C present the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and grant level for all recipients, dependents, and independents, respectively.

#### EFC Is Highly Correlated With Grant Size.

As discussed with Table 2, EFC, along with enrollment status and, in some cases, educational costs, is a key determinant of the Federal Pell Grant award amount. As shown in Figure 7, the lower the expected family contribution the higher the potential for a large grant.

For example, 50.1 percent of recipients with a zero EFC receive the maximum grant of \$2,340, while only 13.7 percent with a zero EFC receive grants less than \$900. Conversely, recipients within the highest eligible EFC categories receive much smaller grants. Of recipients with EFCs greater than 1,200, 84.4 percent receive grants of less than \$900.

versus invalid awards. All of the cells to the right of the line should contain zeros as they are invalid combinations of EFC and grant level as defined by the 1995-96 Pell Grant Payment Schedule. For example, the maximum grant that a full-time student with a EFC of 600 may receive is \$1,790.

Approximately 0.03 percent of awards were invalid.

#### Independent and Dependent Recipients Receive the Maximum Grant at Similar Rates.

Dependent and independent recipients received the maximum grant at the same rate. About 29.0 percent of the independent students received a maximum grant of \$2,340, while 29.1 percent of the dependent population received the maximum grant.

**Invalid Awards Are Few in Number.** The step-shaped line drawn through the tables depicts valid

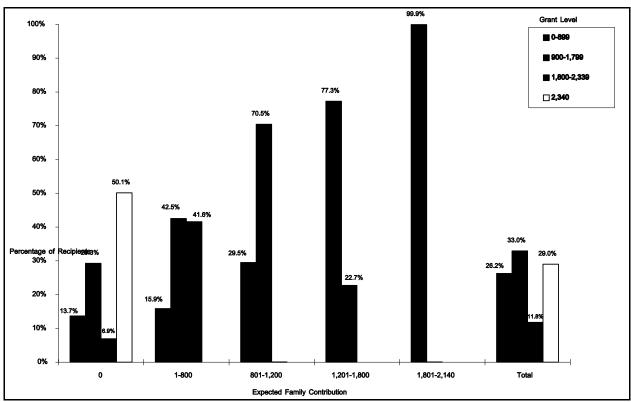


Figure 7: Average Grant by Expected Family Contribution

#### TABLE 4-A

### DISTRIBUTION OF PELL GRANT RECIPIENTS EXPECTED FAMILY CONTRIBUTION AND GRANT LEVEL <u>ALL RECIPIENTS</u> - AWARD YEAR 1995-96

		GRANT LEVEL											
(PECTED FAMILY CONTRIBUTION	, 	299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTA		
AUTOMATIC 0	19,4	431	63,408	77,211	205,167	41,117	93,598	62,036	18,782	571,374	1,152,124		
R%	6	1.69	5.50	6.70	17.81	3.57	8.12	5.38	1.63	49.59	100.00		
C%	6 14	4.76	14.68	20.10	34.14	16.08	28.03	22.98	12.07	54.53	31.90		
0	15,0	681	51,696	58,677	161,072	35,087	75,843	49,380	14,491	476,396	938,323		
R%	6	1.67	5.51	6.25	17.17	3.74	8.08	5.26	1.54	50.77	100.00		
C%	6 1 <sup>-</sup>	1.91	11.97	15.27	26.80	13.72	22.72	18.29	9.31	45.46	25.98		
1 - 200	2,3	384	8,069	9,155	27,165	7,796	11,135	9,685	122,115	15	197,519		
R%	6	1.21	4.09	4.63	13.75	3.95	5.64	4.90	61.82	0.01	100.00		
C%	6	1.81	1.87	2.38	4.52	3.05	3.34	3.59	78.48	0.00	5.47		
201 - 400	2,4	449	8,060	9,066	24,187	12,054	8,850	100,684	164	16	165,530		
R%	6	1.48	4.87	5.48	14.61	7.28	5.35	60.83	0.10	0.01	100.00		
C%		1.86	1.87	2.36	4.02	4.71	2.65	37.29	0.11	0.00	4.58		
401 - 600	2,8	845	9,149	17,711	18,462	10,536	53,651	47,989	35	12	160,390		
R%	6	1.77	5.70	11.04	11.51	6.57	33.45	29.92	0.02	0.01	100.00		
C%	6 2	2.16	2.12	4.61	3.07	4.12	16.07	17.78	0.02	0.00	4.44		
601 - 800	2,8	873	11,344	23,964	11,815	11,032	90,537	101	6	5	151,677		
R%	6	1.89	7.48	15.80	7.79	7.27	59.69	0.07	0.00	0.00	100.00		
C%	6 2	2.18	2.63	6.24	1.97	4.31	27.12	0.04	0.00	0.00	4.20		
801 - 1,000	3,0	020	12,964	23,479	12,999	94,562	112	56	2	2	147,196		
R%	6 2	2.05	8.81	15.95	8.83	64.24	0.08	0.04	0.00	0.00	100.00		
C%		2.29	3.00	6.11	2.16	36.98	0.03	0.02	0.00	0.00	4.08		
1,001 - 1,200	5,3	384	19,968	20,846	53,501	43,294	55	21	1	3	143,073		
R%		3.76	13.96	14.57	37.39	30.26	0.04	0.01	0.00	0.00	100.00		
C%	6	4.09	4.62	5.43	8.90	16.93	0.02	0.01	0.00	0.00	3.96		
1,201 - 1,400	7,2	210	26,140	16,166	86,363	89	48	6	2	3	136,027		
R%	6	5.30	19.22	11.88	63.49	0.07	0.04	0.00	0.00	0.00	100.00		
C%		5.48	6.05	4.21	14.37	0.03	0.01	0.00	0.00	0.00	3.77		
1,401 - 1,600	10,	132	28,578	88,169	113	34	27	6	0	4	127,063		
R%		7.97	22.49	69.39	0.09	0.03	0.02	0.00	0.00	0.00	100.00		
C%	6	7.70	6.61	22.95	0.02	0.01	0.01	0.00	0.00	0.00	3.52		
1,601 - 1,800	17,	551	61,167	39,668	50	35	15	0	1	2	118,489		
. R%	-	4.81	51.62	33.48	0.04	0.03	0.01	0.00	0.00	0.00	100.00		
C%	6 13	3.33	14.16	10.32	0.01	0.01	0.00	0.00	0.00	0.00	3.28		
1,801 - 2,000	25,0	643	82,417	72	31	31	4	2	0	3	108,203		
R%		3.70	76.17	0.07	0.03	0.03	0.00	0.00	0.00	0.00	100.00		
C%		9.48	19.08	0.02	0.01	0.01	0.00	0.00	0.00	0.00	3.00		
2,001 - 2,140	17,0		49,099	27	15	13	0	1	0	0	66,207		
R%	-	5.76	74.16	0.04	0.02	0.02	0.00	0.00	0.00	0.00	100.00		
C%		2.95	11.36	0.01	0.00	0.01	0.00	0.00	0.00	0.00	1.83		
TOTAL	131,0		432,059	384,211	600,940	255,680	333,875	269,967	155,599	1,047,835	3,611,821		
R%			-	•	•	•	•	•			, ,		
	0 ,	3.65	11.96	10.64	16.64	7.08	9.24	7.47	4.31	29.01	100.00		

#### TABLE 4-B

### DISTRIBUTION OF PELL GRANT RECIPIENTS EXPECTED FAMILY CONTRIBUTION AND GRANT LEVEL <u>DEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

						GRANT LEVE	L				
EXPECTED FAN CONTRIBUTION		\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
AUTOMATIC	0	4,152	13,432	19,582	64,686	11,230	26,406	21,560	6,025	277,003	444,076
	R%	0.93	3.02	4.41	14.57	2.53	5.95	4.86	1.36	62.38	100.00
	C%	10.43	7.49	12.80	29.73	9.49	19.57	17.21	6.42	63.67	29.66
0		1,542	5,170	8,187	29,877	4,740	11,634	9,954	2,979	158,042	232,125
	R%	0.66	2.23	3.53	12.87	2.04	5.01	4.29	1.28	68.08	100.00
	C%	3.87	2.88	5.35	13.73	4.00	8.62	7.94	3.18	36.33	15.50
1 - 200		531	1,716	2,984	11,331	2,380	4,058	4,284	84,697	5	111,986
	R%	0.47	1.53	2.66	10.12	2.13	3.62	3.83	75.63	0.00	100.00
	C%	1.33	0.96	1.95	5.21	2.01	3.01	3.42	90.28	0.00	7.48
201 - 400		384	1,390	2,192	8,234	3,179	2,749	60,589	95	6	78,818
	R%	0.49	1.76	2.78	10.45	4.03	3.49	76.87	0.12	0.01	100.00
	C%	0.96	0.77	1.43	3.78	2.69	2.04	48.35	0.10	0.00	5.26
401 - 600		447	1,815	5,517	5,617	2,975	31,854	28,803	15	6	77,049
	R%	0.58	2.36	7.16	7.29	3.86	41.34	37.38	0.02	0.01	100.00
	C%	1.12	1.01	3.61	2.58	2.51	23.61	22.99	0.02	0.00	5.15
601 - 800		511	2,648	8,615	3,391	3,913	58,049	69	1	2	77,199
	R%	0.66	3.43	11.16	4.39	5.07	75.19	0.09	0.00	0.00	100.00
	C%	1.28	1.48	5.63	1.56	3.31	43.03	0.06	0.00	0.00	5.16
801 - 1,000		574	3,177	8,926	4,204	60,773	74	34	2	1	77,765
,	R%	0.74	4.09	11.48	5.41	78.15	0.10	0.04	0.00	0.00	100.00
	C%	1.44	1.77	5.84	1.93	51.33	0.05	0.03	0.00	0.00	5.19
1,001 - 1,20	0	1,081	6,627	7,392	32,447	29,082	32	10	0	0	76,671
	R%	1.41	8.64	9.64	42.32	37.93	0.04	0.01	0.00	0.00	100.00
	C%	2.72	3.69	4.83	14.92	24.56	0.02	0.01	0.00	0.00	5.12
1,201 - 1,40	0	1,573	9,915	5,579	57,605	52	25	1	1	2	74,753
, - , -	R%	2.10	13.26	7.46	77.06	0.07	0.03	0.00	0.00	0.00	100.00
	C%	3.95	5.53	3.65	26.48	0.04	0.02	0.00	0.00	0.00	4.99
1,401 - 1,60	0	2,894	11,275	57,124	85	24	17	2	0	1	71,422
,	R%	4.05	15.79	79.98	0.12	0.03	0.02	0.00	0.00	0.00	100.00
	C%	7.27	6.28	37.34	0.04	0.02	0.01	0.00	0.00	0.00	4.77
1,601 - 1,80		6,844	34,711	26,797	37	18	7	0	0	1	68,415
,	R%	10.00	50.74	39.17	0.05	0.03	0.01	0.00	0.00	0.00	100.00
	C%	17.19	19.35	17.52	0.02	0.02	0.01	0.00	0.00	0.00	4.57
1,801 - 2,00		11,311	53,623	52	23	15	1	0	0	0	65,025
,	R%	17.39	82.47	0.08	0.04	0.02	0.00	0.00	0.00	0.00	100.00
	C%	28.41	29.89	0.03	0.01	0.01	0.00	0.00	0.00	0.00	4.34
2,001 - 2,14		7,967	33,928	22	7	7	0	0	0	0	41,931
-,	R%	19.00	80.91	0.05	0.02	0.02	0.00	0.00	0.00	0.00	100.00
	C%	20.01	18.91	0.01	0.00	0.01	0.00	0.00	0.00	0.00	2.80
TOTAL		39,811	179,427	152,969	217,544	118,388	134,906	125,306	93,815	435,069	1,497,235
	R%	2.66	11.98	10.22	14.53	7.91	9.01	8.37	6.27	29.06	100.00
	C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 4-C

### DISTRIBUTION OF PELL GRANT RECIPIENTS EXPECTED FAMILY CONTRIBUTION AND GRANT LEVEL INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

EXPECTED FAMILY CONTRIBUTION						GRANT LEVE	<u> </u>				
		\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
AUTOMATIC 0	)	15,279	49,976	57,629	140,481	29,887	67,192	40,476	12,757	294,371	708,048
F	₹%	2.16	7.06	8.14	19.84	4.22	9.49	5.72	1.80	41.58	100.00
C	C%	16.64	19.78	24.92	36.64	21.77	33.77	27.98	20.65	48.04	33.48
0		14,139	46,526	50,490	131,195	30,347	64,209	39,426	11,512	318,354	706,198
F	₹%	2.00	6.59	7.15	18.58	4.30	9.09	5.58	1.63	45.08	100.00
C	C%	15.39	18.42	21.83	34.22	22.10	32.27	27.25	18.63	51.95	33.40
1 - 200		1,853	6,353	6,171	15,834	5,416	7,077	5,401	37,418	10	85,533
F	₹%	2.17	7.43	7.21	18.51	6.33	8.27	6.31	43.75	0.01	100.00
C	2%	2.02	2.51	2.67	4.13	3.94	3.56	3.73	60.56	0.00	4.04
201 - 400		2,065	6,670	6,874	15,953	8,875	6,101	40,095	69	10	86,712
F	₹%	2.38	7.69	7.93	18.40	10.24	7.04	46.24	0.08	0.01	100.00
C	2%	2.25	2.64	2.97	4.16	6.46	3.07	27.72	0.11	0.00	4.10
401 - 600		2,398	7,334	12,194	12,845	7,561	21,797	19,186	20	6	83,341
F	₹%	2.88	8.80	14.63	15.41	9.07	26.15	23.02	0.02	0.01	100.00
	2%	2.61	2.90	5.27	3.35	5.51	10.95	13.26	0.03	0.00	3.94
601 - 800		2,362	8,696	15,349	8,424	7,119	32,488	32	5	3	74,478
F	₹%	3.17	11.68	20.61	11.31	9.56	43.62	0.04	0.01	0.00	100.00
	2%	2.57	3.44	6.64	2.20	5.19	16.33	0.02	0.01	0.00	3.52
801 - 1,000		2,446	9,787	14,553	8,795	33,789	38	22	0	1	69,431
F	₹%	3.52	14.10	20.96	12.67	48.67	0.05	0.03	0.00	0.00	100.00
C	2%	2.66	3.87	6.29	2.29	24.61	0.02	0.02	0.00	0.00	3.28
1,001 - 1,200		4,303	13,341	13,454	21,054	14,212	23	11	1	3	66,402
F	₹%	6.48	20.09	20.26	31.71	21.40	0.03	0.02	0.00	0.00	100.00
	2%	4.69	5.28	5.82	5.49	10.35	0.01	0.01	0.00	0.00	3.14
1,201 - 1,400		5,637	16,225	10,587	28,758	37	23	5	1	1	61,274
F	₹%	9.20	26.48	17.28	46.93	0.06	0.04	0.01	0.00	0.00	100.00
C	2%	6.14	6.42	4.58	7.50	0.03	0.01	0.00	0.00	0.00	2.90
1,401 - 1,600		7,238	17,303	31,045	28	10	10	4	0	3	55,641
F	₹%	13.01	31.10	55.80	0.05	0.02	0.02	0.01	0.00	0.01	100.00
C	2%	7.88	6.85	13.43	0.01	0.01	0.01	0.00	0.00	0.00	2.63
1,601 - 1,800		10,707	26,456	12,871	13	17	8	0	1	1	50,074
F	₹%	21.38	52.83	25.70	0.03	0.03	0.02	0.00	0.00	0.00	100.00
C	2%	11.66	10.47	5.57	0.00	0.01	0.00	0.00	0.00	0.00	2.37
1,801 - 2,000		14,332	28,794	20	8	16	3	2	0	3	43,178
	₹%	33.19	66.69	0.05	0.02	0.04	0.01	0.00	0.00	0.01	100.00
C	2%	15.60	11.40	0.01	0.00	0.01	0.00	0.00	0.00	0.00	2.04
2,001 - 2,140		9,085	15,171	5	8	6	0	1	0	0	24,276
	₹%	37.42	62.49	0.02	0.03	0.02	0.00	0.00	0.00	0.00	100.00
	2%	9.89	6.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.15
TOTAL		91,844	252,632	231,242	383,396	137,292	198,969	144,661	61,784	612,766	2,114,586
	₹%	4.34	11.95	10.94	18.13	6.49	9.41	6.84	2.92	28.98	100.00
	2%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

# Table 5: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Type and Control of Institution

Table 5A: *Total*Table 5B: *Dependent*Table 5C: *Independent* 

Tables 5A, 5B, and 5C show the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and type and control of institution attended for all recipients, dependents, and independents. Type of institution is identified by the length of the programs principally offered by the institution and control is whether the school is public, private non-profit, or proprietary. The 4-year designation includes colleges offering baccalaureate and/or graduate programs. The 2-year designation denotes a community college or vocational/technical school. The proprietary category refers to profit-making schools offering primarily programs of 2 years or less in duration.

Independents More Likely to Attend Proprietary Schools. Overall, two-thirds (69.4%) of all 1995-96 Federal Pell Grant recipients attend public institutions, either with 2-year and 4-year programs. Eighteen percent of recipients attend private, non-profit institutions and 12.7 percent attend proprietary institutions. There are some differences by dependency status, illustrated by Figure 8.

- Independent students are more likely than dependent students to attend proprietary institutions. In 1995-96, they comprised 75.6 percent of all recipients attending these institutions.
- There are more independent students at 2-year institutions, both publicly and privately controlled. They represent 69.0 percent of recipients at public and 65.9 percent at

 Dependents outnumber independents at schools offering 4-year programs.
 Independents comprise just under half of the recipients at these public (48.5 percent) and private (42.1 percent) institutions.

**High Proportion of Recipients with Zero EFCs at Proprietary Schools.** Over half (57.9 percent) of all 1995-96 recipients have a zero EFC.

- Zero EFC (including Automatic Zero EFC's) recipients are greater in number at proprietary schools, where 73.4 percent show maximum need for a Federal Pell Grant.
- Zero EFC (including Automatic Zero EFC's) recipients are less prevalent at schools offering 2-year programs. Of those recipients who received a zero EFC, 64.6 percent attended 2-year private institutions and 65.2 percent attended 2-year public institutions.
- Zero EFC (including Automatic Zero EFC's) recipients are fewer in number at 4-year institutions. They comprise 48.4 percent of recipients at public and 48.5 percent of recipients at private 4-year institutions.

private institutions offering 2-year programs.

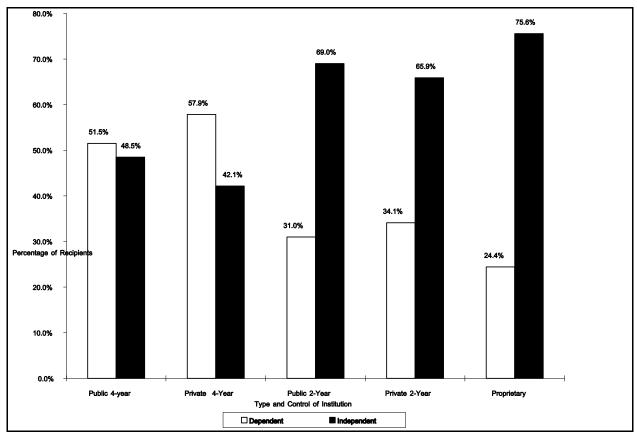


Figure 8: Percentage of Recipients by Type and Control of Institution and Dependency Status

#### TABLE 5-A

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY EXPECTED FAMILY CONTRIBUTION AND TYPE & CONTROL OF INSTITUTION $\underline{ALL\ RECIPIENTS}\ -\ AWARD\ YEAR\ 1995-96$

#### TYPE AND CONTROL OF INSTITUTION

EXPECTED FAMILY CONTRIBUTION		PUBL	IC	PRIVA	TE	PROPRIETARY	TOTAL	
		4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL		
AUTOMATIC	0	296,946	483,693	138,252	31,469	201,764	1,152,124	
	R%	25.77	41.98	12.00	2.73	17.51	100.00	
	C%	24.00	38.13	24.58	36.44	44.14	31.90	
0		302,149	343,411	134,683	24,289	133,791	938,323	
	R%	32.20	36.60	14.35	2.59	14.26	100.00	
	C%	24.42	27.07	23.95	28.12	29.27	25.98	
1 - 200		79,926	59,294	37,342	4,373	16,584	197,519	
	R%	40.46	30.02	18.91	2.21	8.40	100.00	
	C%	6.46	4.67	6.64	5.06	3.63	5.47	
201 - 400		65,317	52,568	29,475	3,445	14,725	165,530	
	R%	39.46	31.76	17.81	2.08	8.90	100.00	
	C%	5.28	4.14	5.24	3.99	3.22	4.58	
401 - 600		63,696	50,522	29,358	3,338	13,476	160,390	
	R%	39.71	31.50	18.30	2.08	8.40	100.00	
	C%	5.15	3.98	5.22	3.87	2.95	4.44	
601 - 800		61,694	46,393	27,975	3,165	12,450	151,677	
	R%	40.67	30.59	18.44	2.09	8.21	100.00	
	C%	4.99	3.66	4.97	3.66	2.72	4.20	
801 - 1,000	0	60,887	44,038	27,981	2,785	11,505	147,196	
	R%	41.36	29.92	19.01	1.89	7.82	100.00	
	C%	4.92	3.47	4.98	3.22	2.52	4.08	
1,001 - 1,20	00	60,005	42,204	27,168	2,715	10,981	143,073	
	R%	41.94	29.50	18.99	1.90	7.68	100.00	
	C%	4.85	3.33	4.83	3.14	2.40	3.96	
1,201 - 1,40	00	58,228	38,598	26,324	2,658	10,219	136,027	
	R%	42.81	28.38	19.35	1.95	7.51	100.00	
	C%	4.71	3.04	4.68	3.08	2.24	3.77	
1,401 - 1,60	00	55,469	34,706	24,962	2,450	9,476	127,063	
	R%	43.65	27.31	19.65	1.93	7.46	100.00	
	C%	4.48	2.74	4.44	2.84	2.07	3.52	
1,601 - 1,80		52,627	31,216	23,454	2,389	8,803	118,489	
	R%	44.42	26.35	19.79	2.02	7.43	100.00	
	C%	4.25	2.46	4.17	2.77	1.93	3.28	
1,801 - 2,00	00	49,173	26,936	21,754	1,985	8,355	108,203	
	R%	45.45	24.89	20.10	1.83	7.72	100.00	
	C%	3.97	2.12	3.87	2.30	1.83	3.00	
2,001 - 2,14		31,111	15,121	13,676	1,300	4,999	66,207	
, ,	R%	46.99	22.84	20.66	1.96	7.55	100.00	
	C%	2.51	1.19	2.43	1.51	1.09	1.83	
TOTAL		1,237,228	1,268,700	562,404	86,361	457,128	3,611,821	
<del>-</del>	R%	34.25	35.13	15.57	2.39	12.66	100.00	
	C%	100.00	100.00	100.00	100.00	100.00	100.00	
	• , 0							

#### TABLE 5-B

### DISTRIBUTION OF PELL GRANT RECIPIENTS BY EXPECTED FAMILY CONTRIBUTION AND TYPE & CONTROL OF INSTITUTION <u>DEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

EXPECTED FAMILY	PUBL	IC	PRIVA	TE	PROPRIETARY		
CONTRIBUTION	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	TOTAL	
AUTOMATIC 0	158,076	147,131	79,830	9,152	49,887	444,076	
R%	35.60	33.13	17.98	2.06	11.23	100.00	
C%	24.80	37.41	24.54	31.08	44.67	29.66	
0	88,569	65,645	50,529	5,245	22,137	232,125	
R%	38.16	28.28	21.77	2.26	9.54	100.00	
C%	13.89	16.69	15.53	17.81	19.82	15.50	
1 - 200	52,379	25,049	26,731	2,331	5,496	111,986	
R%	46.77	22.37	23.87	2.08	4.91	100.00	
C%	8.22	6.37	8.22	7.91	4.92	7.48	
201 - 400	36,754	17,974	18,572	1,425	4,093	78,818	
R%	46.63	22.80	23.56	1.81	5.19	100.00	
C%	5.77	4.57	5.71	4.84	3.66	5.26	
401 - 600	35,946	17,662	18,142	1,456	3,843	77,049	
R%	46.65	22.92	23.55	1.89	4.99	100.00	
C%	5.64	4.49	5.58	4.94	3.44	5.15	
601 - 800	36,254	17,605	18,034	1,516	3,790	77,199	
R%	46.96	22.80	23.36	1.96	4.91	100.00	
C%	5.69	4.48	5.54	5.15	3.39	5.16	
801 - 1,000	36,688	17,500	18,505	1,342	3,730	77,765	
R%	47.18	22.50	23.80	1.73	4.80	100.00	
C%	5.76	4.45	5.69	4.56	3.34	5.19	
1,001 - 1,200	36,458	17,132	18,164	1,333	3,584	76,671	
R%	47.55	22.34	23.69	1.74	4.67	100.00	
C%	5.72	4.36	5.58	4.53	3.21	5.12	
1,201 - 1,400	35,850	16,294	17,720	1,331	3,558	74,753	
R%	47.96	21.80	23.70	1.78	4.76	100.00	
C%	5.62	4.14	5.45	4.52	3.19	4.99	
1,401 - 1,600	34,539	15,473	16,841	1,243	3,326	71,422	
R%	48.36	21.66	23.58	1.74	4.66	100.00	
C%	5.42	3.93	5.18	4.22	2.98	4.77	
1,601 - 1,800	33,227	14,492	16,345	1,226	3,125	68,415	
R%	48.57	21.18	23.89	1.79	4.57	100.00	
C%	5.21	3.68	5.02	4.16	2.80	4.57	
1,801 - 2,000	31,742	13,318	15,695	1,101	3,169	65,025	
R%	48.82	20.48	24.14	1.69	4.87	100.00	
C%	4.98	3.39	4.82	3.74	2.84	4.34	
2,001 - 2,140	20,942	8,041	10,247	750	1,951	41,931	
R%	49.94	19.18	24.44	1.79	4.65	100.00	
C%	3.29	2.04	3.15	2.55	1.75	2.80	
TOTAL	637,424	393,316	325,355	29,451	111,689	1,497,235	
R%	42.57	26.27	21.73	1.97	7.46	100.00	
C%	100.00	100.00	100.00	100.00	100.00	100.00	

#### TABLE 5-C

### DISTRIBUTION OF PELL GRANT RECIPIENTS BY EXPECTED FAMILY CONTRIBUTION AND TYPE & CONTROL OF INSTITUTION INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

EXPECTED FAMILY	PUBI	LIC	PRIVA	TE	PROPRIETARY	
CONTRIBUTION	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	TOTAL
AUTOMATIC 0	138,870	336,562	58,422	22,317	151,877	708,048
R%	•	47.53	8.25	3.15	21.45	100.00
C%		38.45	24.65	39.21	43.97	33.48
0	213,580	277,766	84,154	19,044	111,654	706,198
R%		39.33	11.92	2.70	15.81	100.00
C%		31.73	35.50	33.46	32.32	33.40
1 - 200	27,547	34,245	10,611	2,042	11,088	85,533
R%		40.04	12.41	2.39	12.96	100.00
C%		3.91	4.48	3.59	3.21	4.04
201 - 400	28,563	34,594	10,903	2,020	10,632	86,712
R%	32.94	39.90	12.57	2.33	12.26	100.00
C%	4.76	3.95	4.60	3.55	3.08	4.10
401 - 600	27,750	32,860	11,216	1,882	9,633	83,341
R%	33.30	39.43	13.46	2.26	11.56	100.00
C%	4.63	3.75	4.73	3.31	2.79	3.94
601 - 800	25,440	28,788	9,941	1,649	8,660	74,478
R%	34.16	38.65	13.35	2.21	11.63	100.00
C%	4.24	3.29	4.19	2.90	2.51	3.52
801 - 1,000	24,199	26,538	9,476	1,443	7,775	69,431
R%	34.85	38.22	13.65	2.08	11.20	100.00
C%	4.03	3.03	4.00	2.54	2.25	3.28
1,001 - 1,200	23,547	25,072	9,004	1,382	7,397	66,402
R%	35.46	37.76	13.56	2.08	11.14	100.00
C%	3.93	2.86	3.80	2.43	2.14	3.14
1,201 - 1,400	22,378	22,304	8,604	1,327	6,661	61,274
R%	36.52	36.40	14.04	2.17	10.87	100.00
C%	3.73	2.55	3.63	2.33	1.93	2.90
1,401 - 1,600	20,930	19,233	8,121	1,207	6,150	55,641
R%	37.62	34.57	14.60	2.17	11.05	100.00
C%	3.49	2.20	3.43	2.12	1.78	2.63
1,601 - 1,800	19,400	16,724	7,109	1,163	5,678	50,074
R%	38.74	33.40	14.20	2.32	11.34	100.00
C%	3.23	1.91	3.00	2.04	1.64	2.37
1,801 - 2,000	17,431	13,618	6,059	884	5,186	43,178
R%	40.37	31.54	14.03	2.05	12.01	100.00
C%		1.56	2.56	1.55	1.50	2.04
2,001 - 2,140	10,169	7,080	3,429	550	3,048	24,276
R%		29.16	14.13	2.27	12.56	100.00
C%	1.70	0.81	1.45	0.97	0.88	1.15
TOTAL	599,804	875,384	237,049	56,910	345,439	2,114,586
R%		41.40	11.21	2.69	16.34	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00

# Table 6: Distribution of Federal Pell Grant Recipients by Family Income and Type and Control of Institution

Table 6A: *Total*Table 6B: *Dependent*Table 6C: *Independent* 

Tables 6A, 6B, and 6C provide the distribution of recipients by family income and type and control of institution for all recipients, dependents and independents. These tables support the conclusions on differences in the distribution of recipients across institutions and EFCs discussed for Table 5. Figure 9 summarizes the distribution of recipients by income and type and control of school.

Higher Income Recipients More Likely to Attend 4 Year Schools. As income increased, recipients were more likely to attend 4-year institutions and less likely to attend 2-year and proprietary institutions.

Recipients with family income of \$6,000 or less comprise 32.0 percent of the total. The distribution of these low income recipients varies by type and control of institution. Only 28.9 percent of those enrolled in 4-year institutions are in the \$6,000 or less group. These recipients account for 33.1 percent of those enrolled in 2-year schools. A higher percentage (41.1 percent) of those attending proprietary schools are in the \$6,000 or less income category.

those with high incomes are 38.5 percent of the recipient population, they make up more than 44.0 percent of 4-year enrollees, 34.8 percent of 2-year enrollees, and 27.3 percent of those attending proprietary schools.

Enrollment Patterns Vary by Dependency Status and Family Income. Table 6B and 6C show differences in the distribution of dependent and independent recipients by type and control of institution and family income. About 22.6 percent of dependents enrolled in proprietary schools earn \$6,000 or less. By comparison, 17.5 percent of dependents at 2-year institutions and 12.5 percent at 4-year institutions were in this low-income category.

• The pattern is reversed for recipients from families with incomes over \$15,000. While

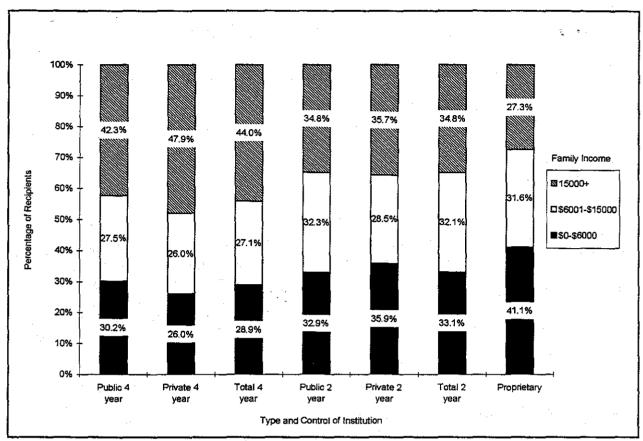


Figure 9: Type and Control of Institution by Family Income

TABLE 6-A

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND TYPE & CONTROL OF INSTITUTION <u>ALL RECIPIENTS</u> - AWARD YEAR 1995-96

FAMILY INCOME	PUBL	IC	PRIVA	TE	PROPRIETARY	
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	TOTAL
LESS THAN \$1,001	93,251	87,111	32,620	6,241	29,780	249,003
R%	37.45	34.98	13.10	2.51	11.96	100.00
C%	7.54	6.87	5.80	7.23	6.51	6.89
\$1,001 - 3,000	94,067	101,458	40,902	9,791	57,687	303,905
R%	30.95	33.38	13.46	3.22	18.98	100.00
C%	7.60	8.00	7.27	11.34	12.62	8.41
\$3,001 - 6,000	186,393	229,004	72,872	14,945	100,565	603,779
R%	30.87	37.93	12.07	2.48	16.66	100.00
C%	15.07	18.05	12.96	17.31	22.00	16.72
\$6,001 - 9,000	155,094	187,939	61,549	11,262	68,323	484,167
R%	32.03	38.82	12.71	2.33	14.11	100.00
C%	12.54	14.81	10.94	13.04	14.95	13.41
\$9,001 - 15,000	185,354	221,965	84,856	13,318	76,074	581,567
R%	31.87	38.17	14.59	2.29	13.08	100.00
C%	14.98	17.50	15.09	15.42	16.64	16.10
\$15,001 - 20,000	151,040	158,365	71,621	9,617	49,318	439,961
R%	34.33	36.00	16.28	2.19	11.21	100.00
C%	12.21	12.48	12.73	11.14	10.79	12.18
\$20,001 - 30,000	240,165	203,080	122,453	13,683	55,618	634,999
R%	37.82	31.98	19.28	2.15	8.76	100.00
C%	19.41	16.01	21.77	15.84	12.17	17.58
\$30,001 - 40,000	101,550	66,530	56,701	5,710	16,905	247,396
R%	41.05	26.89	22.92	2.31	6.83	100.00
C%	8.21	5.24	10.08	6.61	3.70	6.85
\$40,001 +	30,314	13,248	18,830	1,794	2,858	67,044
R%	45.22	19.76	28.09	2.68	4.26	100.00
C%	2.45	1.04	3.35	2.08	0.63	1.86
TOTAL	1,237,228	1,268,700	562,404	86,361	457,128	3,611,821
R%	34.25	35.13	15.57	2.39	12.66	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 6-B

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND TYPE & CONTROL OF INSTITUTION <u>DEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

EAMILY INCOME	PUBL	IC	PRIVA	TE	PROPRIETARY	
FAMILY INCOME —	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	TOTAL
LESS THAN \$1,001	22,034	17,007	9,269	910	3,445	52,665
R%	41.84	32.29	17.60	1.73	6.54	100.00
C%	3.46	4.32	2.85	3.09	3.08	3.52
\$1,001 - 3,000	16,558	14,572	9,023	1,485	6,927	48,565
R%	34.09	30.01	18.58	3.06	14.26	100.00
C%	2.60	3.70	2.77	5.04	6.20	3.24
\$3,001 - 6,000	41,291	37,271	22,325	2,542	14,869	118,298
R%	34.90	31.51	18.87	2.15	12.57	100.00
C%	6.48	9.48	6.86	8.63	13.31	7.90
\$6,001 - 9,000	49,559	43,375	24,686	2,527	13,774	133,921
R%	37.01	32.39	18.43	1.89	10.29	100.00
C%	7.77	11.03	7.59	8.58	12.33	8.94
\$9,001 - 15,000	110,858	79,556	53,575	5,132	23,400	272,521
R%	40.68	29.19	19.66	1.88	8.59	100.00
C%	17.39	20.23	16.47	17.43	20.95	18.20
\$15,001 - 20,000	106,731	65,560	51,444	4,512	17,535	245,782
R%	43.43	26.67	20.93	1.84	7.13	100.00
C%	16.74	16.67	15.81	15.32	15.70	16.42
\$20,001 - 30,000	180,184	91,937	92,029	7,336	22,129	393,615
R%	45.78	23.36	23.38	1.86	5.62	100.00
C%	28.27	23.37	28.29	24.91	19.81	26.29
\$30,001 - 40,000	82,648	34,774	46,078	3,522	7,835	174,857
R%	47.27	19.89	26.35	2.01	4.48	100.00
C%	12.97	8.84	14.16	11.96	7.02	11.68
\$40,001 +	27,561	9,264	16,926	1,485	1,775	57,011
R%	48.34	16.25	29.69	2.60	3.11	100.00
C%	4.32	2.36	5.20	5.04	1.59	3.81
TOTAL	637,424	393,316	325,355	29,451	111,689	1,497,235
R%	42.57	26.27	21.73	1.97	7.46	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 6-C

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND TYPE & CONTROL OF INSTITUTION INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

	PUBL	IC	PRIVA	TE	PROPRIETARY	
FAMILY INCOME —	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	TOTAL
LESS THAN \$1,001	71,217	70,104	23,351	5,331	26,335	196,338
R%	36.27	35.71	11.89	2.72	13.41	100.00
C%	11.87	8.01	9.85	9.37	7.62	9.28
\$1,001 - 3,000	77,509	86,886	31,879	8,306	50,760	255,340
R%	30.36	34.03	12.48	3.25	19.88	100.00
C%	12.92	9.93	13.45	14.59	14.69	12.08
\$3,001 - 6,000	145,102	191,733	50,547	12,403	85,696	485,481
R%	29.89	39.49	10.41	2.55	17.65	100.00
C%	24.19	21.90	21.32	21.79	24.81	22.96
\$6,001 - 9,000	105,535	144,564	36,863	8,735	54,549	350,246
R%	30.13	41.27	10.52	2.49	15.57	100.00
C%	17.59	16.51	15.55	15.35	15.79	16.56
\$9,001 - 15,000	74,496	142,409	31,281	8,186	52,674	309,046
R%	24.11	46.08	10.12	2.65	17.04	100.00
C%	12.42	16.27	13.20	14.38	15.25	14.61
\$15,001 - 20,000	44,309	92,805	20,177	5,105	31,783	194,179
R%	22.82	47.79	10.39	2.63	16.37	100.00
C%	7.39	10.60	8.51	8.97	9.20	9.18
\$20,001 - 30,000	59,981	111,143	30,424	6,347	33,489	241,384
R%	24.85	46.04	12.60	2.63	13.87	100.00
C%	10.00	12.70	12.83	11.15	9.69	11.42
\$30,001 - 40,000	18,902	31,756	10,623	2,188	9,070	72,539
R%	26.06	43.78	14.64	3.02	12.50	100.00
C%	3.15	3.63	4.48	3.84	2.63	3.43
\$40,001 +	2,753	3,984	1,904	309	1,083	10,033
R%	27.44	39.71	18.98	3.08	10.79	100.00
C%	0.46	0.46	0.80	0.54	0.31	0.47
TOTAL	599,804	875,384	237,049	56,910	345,439	2,114,586
R%	28.37	41.40	11.21	2.69	16.34	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00

# Table 7: Distribution of Federal Pell Grant Recipients by Expected Family Contribution and Educational Cost

Table 7A: *Total*Table 7B: *Dependent*Table 7C: *Independent* 

Tables 7A, 7B, and 7C show the distribution of Federal Pell Grant recipients by Expected Family Contribution (EFC) and educational cost. Table 7A shows the distribution for all recipients. Tables 7B and 7C present the same breakdown for dependents and independents, respectively. Figure 10 depicts the distribution of educational costs overall and by dependency status.

Student educational costs considered for Federal Pell Grant award purposes include tuition and fees, and allowances for the cost of books, supplies, transportation, and miscellaneous expenses as determined by the institution.

The room and board allowance, also determined by the institution, are at least \$1,500 for students without dependents living at home with their parents, and at least \$2,500 for all other students enrolled at least half-time. Also permitted are certain additional allowances, such as provisions for child care and costs of special services or equipment required by handicapped students for attendance, but which are not provided by other assisting agencies. Because of this cost of attendance structure, as Table 7A indicates, few recipients (1.9 percent) have costs below \$2,400.

Majority of Costs in Higher Ranges. Table 7A shows that a majority of students have costs in the higher ranges. Three-quarters of all recipients (80.7 percent) attend schools where costs are greater than \$6,000. About 31.2 percent of recipients have costs that fall within the \$6,001 to \$9,000 range. About 19.3 percent of recipients attend schools where costs are \$6,000 or below.

**Educational Costs for Dependent and** 

Independent Students are Nearly the Same.

Tables 7B and 7C indicate there is relatively little difference in the educational costs of dependents and independents. About 77.7 percent of dependents, and 82.9 percent of independents have costs greater than \$6,000. Most dependent and independent recipients have costs that fall primarily in the \$6,001 to \$15,000 range; 63.4 percent of dependent students, and 73.8 percent of independent students attended institutions where costs fall within this range. About 22.3 percent of dependent recipients, and 17.1 percent of independent recipients have costs of \$6,000 or less. Costs for dependents averaged \$9,830 in 1995-96; costs for independents averaged \$9,652 (not shown). The total average costs for Federal Pell Grant recipients in 1995-1996 was \$9,726.

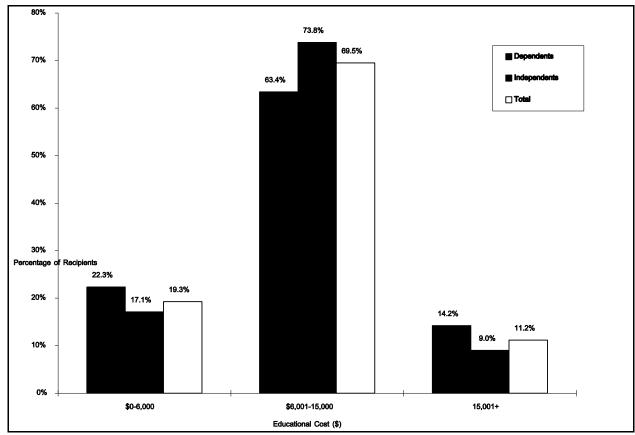


Figure 9: Distribution of Educational Costs by Dependency Status

#### Table 7-A - ALL RECIPIENTS

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY EXPECTED FAMILY CONTRIBUTION AND EDUCATIONAL COST AWARD YEAR 1995-96

EXPECTED			EDUC	CATIONAL C	ost			
FAMILY CONTRIBUTION	UNDER \$2,400	\$2,400 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 12,000	\$12,001 - 15,000	OVER \$15,000	TOTAL
AUTOMATIC 0	23,902	8,767	223,228	386,405	288,819	129,934	91,069	1,152,124
R%	2.07	0.76	19.38	33.54	25.07	11.28	7.90	100.00
C%	35.35	36.63	36.88	34.24	30.50	29.86	22.52	31.90
0	18,044	5,968	154,490	297,001	253,121	117,689	92,010	938,323
R%	1.92	0.64	16.46	31.65	26.98	12.54	9.81	100.00
C%	26.69	24.94	25.52	26.32	26.73	27.05	22.76	25.98
1 - 200	3,682	1,122	30,009	58,687	51,104	24,134	28,781	197,519
R%	1.86	0.57	15.19	29.71	25.87	12.22	14.57	100.00
C%	5.45	4.69	4.96	5.20	5.40	5.55	7.12	5.47
201 - 400	3,041	1,053	25,042	49,930	43,875	20,301	22,288	165,530
R%	1.84	0.64	15.13	30.16	26.51	12.26	13.46	100.00
C%	4.50	4.40	4.14	4.42	4.63	4.67	5.51	4.58
401 - 600	2,866	998	24,147	47,213	43,871	19,431	21,864	160,390
R%	1.79	0.62	15.06	29.44	27.35	12.11	13.63	100.00
C% <b>601 - 800</b>	4.24 <b>2,666</b>	4.17 <b>977</b>	3.99 <b>23,133</b>	4.18 <b>44,611</b>	4.63 <b>40,482</b>	4.47 <b>18,601</b>	5.41 <b>21,207</b>	4.44 <b>151,677</b>
801 - 800 R%	<b>2,000</b>	0.64	15.25	<b>44,611</b> 29.41	26.69	12.26	13.98	100.00
C%	3.94	4.08	3.82	3.95	4.28	4.27	5.24	4.20
801 - 1,000	2,516	969	22,423	43,468	38,810	18,064	20,946	147,196
R%	1.71	0.66	15.23	29.53	26.37	12.27	14.23	100.00
C%	3.72	4.05	3.70	3.85	4.10	4.15	5.18	4.08
1,001 - 1,200	2,334	949	21,536	42,148	37,744	17,557	20,805	143,073
R%	1.63	0.66	15.05	29.46	26.38	12.27	14.54	100.00
C%	3.45	3.97	3.56	3.73	3.99	4.03	5.15	3.96
1,201 - 1,400	2,237	894	20,571	39,481	36,177	16,638	20,029	136,027
, R%	1.64	0.66	15.12	29.02	26.60	12.23	14.72	100.00
C%	3.31	3.74	3.40	3.50	3.82	3.82	4.95	3.77
1,401 - 1,600	2,044	771	18,702	36,536	34,418	15,483	19,109	127,063
R%	1.61	0.61	14.72	28.75	27.09	12.19	15.04	100.00
C%	3.02	3.22	3.09	3.24	3.63	3.56	4.73	3.52
1,601 - 1,800	1,802	630	17,210	33,822	31,797	14,899	18,329	118,489
R%	1.52	0.53	14.52	28.54	26.84	12.57	15.47	100.00
C%	2.67	2.63	2.84	3.00	3.36	3.42	4.53	3.28
1,801 - 2,000	1,566	526	15,478	30,628	29,120	13,979	16,906	108,203
R%	1.45	0.49	14.30	28.31	26.91	12.92	15.62	100.00
C%	2.32	2.20	2.56	2.71	3.08	3.21	4.18	3.00
2,001 - 2,140	915	307	9,293	18,687	17,598	8,411	10,996	66,207
R%	1.38	0.46	14.04	28.23	26.58	12.70	16.61	100.00
C%	1.35	1.28	1.54	1.66	1.86	1.93	2.72	1.83
TOTAL	67,615	23,931	605,262	1,128,617	946,936	435,121	404,339	3,611,821
R%	1.87	0.66	16.76	31.25	26.22	12.05	11.19	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

#### Table 7-B - **DEPENDENT RECIPIENTS**

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY EXPECTED FAMILY CONTRIBUTION AND EDUCATIONAL COST AWARD YEAR 1995-96

EXPECTED .			EDUC	CATIONAL C	ost			
FAMILY CONTRIBUTION	UNDER \$2,400	\$2,400 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 12,000	\$12,001 - 15,000	OVER \$15,000	TOTAL
AUTOMATIC 0	10,038	3,242	111,887	158,556	84,366	37,929	38,058	444,076
R%	2.26	0.73	25.20	35.70	19.00	8.54	8.57	100.00
C%	37.10	37.15	37.45	32.67	26.73	25.50	17.86	29.66
0	4,197	1,467	51,087	83,801	43,832	21,655	26,086	232,125
R%	1.81	0.63	22.01	36.10	18.88	9.33	11.24	100.00
C%	15.51	16.81	17.10	17.27	13.89	14.56	12.24	15.50
1 - 200	2,011	508	18,463	33,134	25,133	12,454	20,283	111,986
R%	1.80	0.45	16.49	29.59	22.44	11.12	18.11	100.00
C%	7.43	5.82	6.18	6.83	7.96	8.37	9.52	7.48
201 - 400	1,375	388	13,444	24,205	17,291	8,496	13,619	78,818
R%	1.74	0.49	17.06	30.71	21.94	10.78	17.28	100.00
C%	5.08	4.45	4.50	4.99	5.48	5.71	6.39	5.26
401 - 600	1,291	390	13,201	23,119	17,231	8,277	13,540	77,049
R%	1.68	0.51	17.13	30.01	22.36	10.74	17.57	100.00
C% <b>601 - 800</b>	4.77	4.47 <b>379</b>	4.42	4.76	5.46	5.57	6.35	5.15
801 - 800 R%	<b>1,202</b> 1.56	0.49	<b>13,301</b> 17.23	<b>22,852</b> 29.60	<b>17,495</b> 22.66	<b>8,324</b> 10.78	<b>13,646</b> 17.68	<b>77,199</b> 100.00
C%	4.44	4.34	4.45	4.71	5.54	5.60	6.40	5.16
801 - 1,000	1,181	4.34 <b>394</b>	13,102	23,361	17,442	8,429	13,856	77,765
R%	1,101	0.51	16.85	30.04	22.43	10.84	17.82	100.00
C%	4.36	4.52	4.39	4.81	5.53	5.67	6.50	5.19
1,001 - 1,200	1,160	404	12,662	22,810	17,499	8,179	13,957	76,671
R%	1.51	0.53	16.51	29.75	22.82	10.67	18.20	100.00
C%	4.29	4.63	4.24	4.70	5.54	5.50	6.55	5.12
1,201 - 1,400	1,119	376	12,478	21,865	17,238	8,065	13,612	74,753
, R%	1.50	0.50	16.69	29.25	23.06	10.79	18.21	100.00
C%	4.14	4.31	4.18	4.51	5.46	5.42	6.39	4.99
1,401 - 1,600	1,071	380	11,589	21,077	16,631	7,522	13,152	71,422
R%	1.50	0.53	16.23	29.51	23.29	10.53	18.41	100.00
C%	3.96	4.35	3.88	4.34	5.27	5.06	6.17	4.77
1,601 - 1,800	979	319	10,916	19,773	16,014	7,547	12,867	68,415
R%	1.43	0.47	15.96	28.90	23.41	11.03	18.81	100.00
C%	3.62	3.66	3.65	4.07	5.07	5.07	6.04	4.57
1,801 - 2,000	888	294	10,163	18,744	15,496	7,226	12,214	65,025
R%	1.37	0.45	15.63	28.83	23.83	11.11	18.78	100.00
C%	3.28	3.37	3.40	3.86	4.91	4.86	5.73	4.34
2,001 - 2,140	546	185	6,448	11,970	9,984	4,613	8,185	41,931
R%	1.30	0.44	15.38	28.55	23.81	11.00	19.52	100.00
C%	2.02	2.12	2.16	2.47	3.16	3.10	3.84	2.80
TOTAL	27,058	8,726	298,741	485,267	315,652	148,716	213,075	1,497,235
R%	1.81	0.58	19.95	32.41	21.08	9.93	14.23	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

#### Table 7-C - INDEPENDENT RECIPIENTS

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY EXPECTED FAMILY CONTRIBUTION AND EDUCATIONAL COST AWARD YEAR 1995-96

	COST

EXPECTED -	EDUCATIONAL COST								
FAMILY CONTRIBUTION	UNDER \$2,400	\$2,400 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 12,000	\$12,001 - 15,000	OVER \$15,000	TOTAL	
AUTOMATIC 0	13,864	5,525	111,341	227,849	204,453	92,005	53,011	708,048	
R%	1.96	0.78	15.73	32.18	28.88	12.99	7.49	100.00	
C%	34.18	36.34	36.32	35.42	32.39	32.12	27.72	33.48	
0	13,847	4,501	103,403	213,200	209,289	96,034	65,924	706,198	
R%	1.96	0.64	14.64	30.19	29.64	13.60	9.34	100.00	
C%	34.14	29.60	33.73	33.14	33.15	33.53	34.47	33.40	
1 - 200	1,671	614	11,546	25,553	25,971	11,680	8,498	85,533	
R%	1.95	0.72	13.50	29.88	30.36	13.66	9.94	100.00	
C%	4.12	4.04	3.77	3.97	4.11	4.08	4.44	4.04	
201 - 400	1,666	665	11,598	25,725	26,584	11,805	8,669	86,712	
R%	1.92	0.77	13.38	29.67	30.66	13.61	10.00	100.00	
C%	4.11	4.37	3.78	4.00	4.21	4.12	4.53	4.10	
401 - 600	1,575	608	10,946	24,094	26,640	11,154	8,324	83,341	
R%	1.89	0.73	13.13	28.91	31.97	13.38	9.99	100.00	
C%	3.88	4.00	3.57	3.75	4.22	3.89	4.35	3.94	
601 - 800	1,464	598	9,832	21,759	22,987	10,277	7,561	74,478	
R%	1.97	0.80	13.20	29.22	30.86	13.80	10.15	100.00	
C%	3.61	3.93	3.21	3.38	3.64	3.59	3.95	3.52	
801 - 1,000	1,335	575	9,321	20,107	21,368	9,635	7,090	69,431	
R%	1.92	0.83	13.42	28.96	30.78	13.88	10.21	100.00	
C%	3.29	3.78	3.04	3.13	3.38	3.36	3.71	3.28	
1,001 - 1,200	1,174	545	8,874	19,338	20,245	9,378	6,848	66,402	
R%	1.77	0.82	13.36	29.12	30.49	14.12	10.31	100.00	
C%	2.89	3.58	2.90	3.01	3.21	3.27	3.58	3.14	
1,201 - 1,400	1,118	518	8,093	17,616	18,939	8,573	6,417	61,274	
R%	1.82	0.85	13.21	28.75	30.91	13.99	10.47	100.00	
C%	2.76	3.41	2.64	2.74	3.00	2.99	3.36	2.90	
1,401 - 1,600	973	391	7,113	15,459	17,787	7,961	5,957	55,641	
R%	1.75	0.70	12.78	27.78	31.97	14.31	10.71	100.00	
C%	2.40	2.57	2.32	2.40	2.82	2.78	3.11	2.63	
1,601 - 1,800	823	311	6,294	14,049	15,783	7,352	5,462	50,074	
R%	1.64	0.62	12.57	28.06	31.52	14.68	10.91	100.00	
C%	2.03	2.05	2.05	2.18	2.50	2.57	2.86	2.37	
1,801 - 2,000	678	232	5,315	11,884	13,624	6,753	4,692	43,178	
R%	1.57	0.54	12.31	27.52	31.55	15.64	10.87	100.00	
C%	1.67	1.53	1.73	1.85	2.16	2.36	2.45	2.04	
2,001 - 2,140	369	122	2,845	6,717	7,614	3,798	2,811	24,276	
R%	1.52	0.50	11.72	27.67	31.36	15.65	11.58	100.00	
C%	0.91	0.80	0.93	1.04	1.21	1.33	1.47	1.15	
TOTAL	40,557	15,205	306,521	643,350	631,284	286,405	191,264	2,114,586	
R%	1.92	0.72	14.50	30.42	29.85	13.54	9.04	100.00	
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	

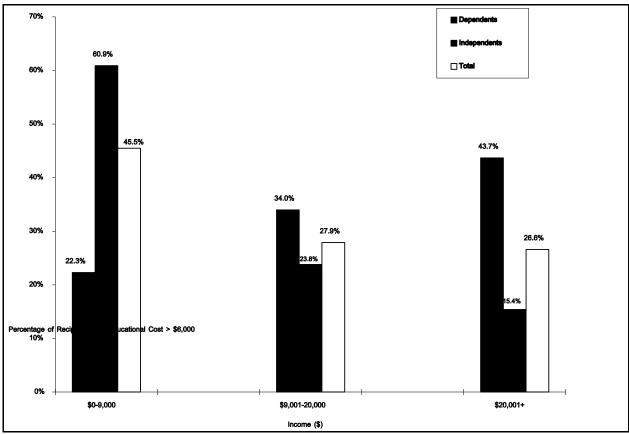
# Table 8: Distribution of Federal Pell Grant Recipients by Family Income and Educational Cost

Table 8A: *Total*Table 8B: *Dependent*Table 8C: *Independent* 

Tables 8A, 8B, and 8C show the distribution of Federal Pell Grant recipients by family income and educational cost.

Low- and High-Cost Schools Attract Students from all Income Ranges at Similar Rate. Table 8A indicates no clear relationship between income and educational cost, which is consistent with the data in Table 7A. Low-cost schools attract low income students at about the same rate as high income students. For example, 19.2 percent of all recipients with incomes of \$9,000 or less attend institutions where costs are \$6,000 or less. By comparison, 18.2 percent of recipients with incomes greater than \$20,000 have costs in this low range.

More Dependents in High-Income and Educational Cost Ranges. Tables 8B and 8C, together with Figure 11, show that dependents are more often in the high income and educational cost ranges than independents. For example, 43.7 percent of all dependents, compared to only 15.4 percent of all independents, have incomes of more than \$20,000 and educational costs in excess of \$6,000. Family income ranges of \$9,001 to \$20,000 for the same educational cost range are 34.0 percent of dependents, compared to 23.8 percent of independents. Those with family incomes of up to \$9,000 and educational costs of greater than \$6,000 account for 60.9 percent of independents and 22.3 percent of dependents.



**Figure 10:** Cost of Education Greater Than \$6,000 by Family Income

#### Table 8-A - ALL RECIPIENTS

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND EDUCATIONAL COST AWARD YEAR 1995-96

#### **EDUCATIONAL COST**

FAMILY INCOME	UNDER \$2,400	\$2,400 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 12,000	\$12,001 - 15,000	OVER \$15,000	TOTAL	
LESS THAN \$1,001	5,629	1,378	43,274	73,841	67,776	32,630	24,475	249,003	
R%	2.26	0.55	17.38	29.65	27.22	13.10	9.83	100.00	
C%	8.33	5.76	7.15	6.54	7.16	7.50	6.05	6.89	
\$1,001 - 3,000	5,404	1,945	53,035	95,423	82,700	38,091	27,307	303,905	
R%	1.78	0.64	17.45	31.40	27.21	12.53	8.99	100.00	
C%	7.99	8.13	8.76	8.45	8.73	8.75	6.75	8.41	
\$3,001 - 6,000	10,562	3,918	97,348	189,728	170,617	76,789	54,817	603,779	
R%	1.75	0.65	16.12	31.42	28.26	12.72	9.08	100.00	
C%	15.62	16.37	16.08	16.81	18.02	17.65	13.56	16.72	
\$6,001 - 9,000	9,724	3,055	79,609	153,256	135,325	59,160	44,038	484,167	
R%	2.01	0.63	16.44	31.65	27.95	12.22	9.10	100.00	
C%	14.38	12.77	13.15	13.58	14.29	13.60	10.89	13.41	
\$9,001 - 15,000	12,202	4,196	104,312	189,886	148,380	65,325	57,266	581,567	
R%	2.10	0.72	17.94	32.65	25.51	11.23	9.85	100.00	
C%	18.05	17.53	17.23	16.82	15.67	15.01	14.16	16.10	
\$15,001 - 20,000	8,541	3,191	76,741	141,572	108,402	50,352	51,162	439,961	
R%	1.94	0.73	17.44	32.18	24.64	11.44	11.63	100.00	
C%	12.63	13.33	12.68	12.54	11.45	11.57	12.65	12.18	
\$20,001 - 30,000	10,895	4,532	103,938	193,494	157,020	75,177	89,943	634,999	
R%	1.72	0.71	16.37	30.47	24.73	11.84	14.16	100.00	
C%	16.11	18.94	17.17	17.14	16.58	17.28	22.24	17.58	
\$30,001 - 40,000	3,793	1,471	37,723	72,663	60,442	29,695	41,609	247,396	
R%	1.53	0.59	15.25	29.37	24.43	12.00	16.82	100.00	
C%	5.61	6.15	6.23	6.44	6.38	6.82	10.29	6.85	
\$40,001 +	865	245	9,282	18,754	16,274	7,902	13,722	67,044	
R%	1.29	0.37	13.84	27.97	24.27	11.79	20.47	100.00	
C%	1.28	1.02	1.53	1.66	1.72	1.82	3.39	1.86	
TOTAL	67,615	23,931	605,262	1,128,617	946,936	435,121	404,339	3,611,821	
R%	1.87	0.66	16.76	31.25	26.22	12.05	11.19	100.00	
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	

#### Table 8-B - **DEPENDENT RECIPIENTS**

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND EDUCATIONAL COST AWARD YEAR 1995-96

#### **EDUCATIONAL COST**

FAMILY INCOME	UNDER \$2,400	\$2,400 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 12,000	\$12,001 - 15,000	OVER \$15,000	TOTAL
LESS THAN \$1,001	1,251	254	11,359	16,323	11,212	5,399	6,867	52,665
R%	2.38	0.48	21.57	30.99	21.29	10.25	13.04	100.00
C%	4.62	2.91	3.80	3.36	3.55	3.63	3.22	3.52
\$1,001 - 3,000	873	430	12,840	17,653	8,880	3,819	4,070	48,565
R%	1.80	0.89	26.44	36.35	18.28	7.86	8.38	100.00
C%	3.23	4.93	4.30	3.64	2.81	2.57	1.91	3.24
\$3,001 - 6,000	2,228	856	27,827	43,326	23,418	10,040	10,603	118,298
R%	1.88	0.72	23.52	36.62	19.80	8.49	8.96	100.00
C%	8.23	9.81	9.31	8.93	7.42	6.75	4.98	7.90
\$6,001 - 9,000	3,004	901	32,146	47,533	25,799	11,769	12,769	133,921
R%	2.24	0.67	24.00	35.49	19.26	8.79	9.53	100.00
C%	11.10	10.33	10.76	9.80	8.17	7.91	5.99	8.94
\$9,001 - 15,000	5,837	1,731	60,185	92,334	54,759	25,811	31,864	272,521
R%	2.14	0.64	22.08	33.88	20.09	9.47	11.69	100.00
C%	21.57	19.84	20.15	19.03	17.35	17.36	14.95	18.20
\$15,001 - 20,000	4,499	1,525	48,972	80,801	51,841	24,385	33,759	245,782
R%	1.83	0.62	19.92	32.88	21.09	9.92	13.74	100.00
C%	16.63	17.48	16.39	16.65	16.42	16.40	15.84	16.42
\$20,001 - 30,000	6,209	2,057	69,791	120,499	86,936	41,688	66,435	393,615
R%	1.58	0.52	17.73	30.61	22.09	10.59	16.88	100.00
C%	22.95	23.57	23.36	24.83	27.54	28.03	31.18	26.29
\$30,001 - 40,000	2,475	792	27,715	50,983	39,333	19,416	34,143	174,857
R%	1.42	0.45	15.85	29.16	22.49	11.10	19.53	100.00
C%	9.15	9.08	9.28	10.51	12.46	13.06	16.02	11.68
\$40,001 +	682	180	7,906	15,815	13,474	6,389	12,565	57,011
R%	1.20	0.32	13.87	27.74	23.63	11.21	22.04	100.00
C%	2.52	2.06	2.65	3.26	4.27	4.30	5.90	3.81
TOTAL	27,058	8,726	298,741	485,267	315,652	148,716	213,075	1,497,235
R%	1.81	0.58	19.95	32.41	21.08	9.93	14.23	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

#### Table 8-C - INDEPENDENT RECIPIENTS

## DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND EDUCATIONAL COST AWARD YEAR 1995-96

#### **EDUCATIONAL COST**

FAMILY INCOME	UNDER \$2,400	\$2,400 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 12,000	\$12,001 - 15,000	OVER \$15,000	TOTAL
LESS THAN \$1,001	4,378	1,124	31,915	57,518	56,564	27,231	17,608	196,338
R%	2.23	0.57	16.26	29.30	28.81	13.87	8.97	100.00
C%	10.79	7.39	10.41	8.94	8.96	9.51	9.21	9.28
\$1,001 - 3,000	4,531	1,515	40,195	77,770	73,820	34,272	23,237	255,340
R%	1.77	0.59	15.74	30.46	28.91	13.42	9.10	100.00
C%	11.17	9.96	13.11	12.09	11.69	11.97	12.15	12.08
\$3,001 - 6,000	8,334	3,062	69,521	146,402	147,199	66,749	44,214	485,481
R%	1.72	0.63	14.32	30.16	30.32	13.75	9.11	100.00
C%	20.55	20.14	22.68	22.76	23.32	23.31	23.12	22.96
\$6,001 - 9,000	6,720	2,154	47,463	105,723	109,526	47,391	31,269	350,246
R%	1.92	0.61	13.55	30.19	31.27	13.53	8.93	100.00
C%	16.57	14.17	15.48	16.43	17.35	16.55	16.35	16.56
\$9,001 - 15,000	6,365	2,465	44,127	97,552	93,621	39,514	25,402	309,046
R%	2.06	0.80	14.28	31.57	30.29	12.79	8.22	100.00
C%	15.69	16.21	14.40	15.16	14.83	13.80	13.28	14.61
\$15,001 - 20,000	4,042	1,666	27,769	60,771	56,561	25,967	17,403	194,179
R%	2.08	0.86	14.30	31.30	29.13	13.37	8.96	100.00
C%	9.97	10.96	9.06	9.45	8.96	9.07	9.10	9.18
\$20,001 - 30,000	4,686	2,475	34,147	72,995	70,084	33,489	23,508	241,384
R%	1.94	1.03	14.15	30.24	29.03	13.87	9.74	100.00
C%	11.55	16.28	11.14	11.35	11.10	11.69	12.29	11.42
\$30,001 - 40,000	1,318	679	10,008	21,680	21,109	10,279	7,466	72,539
R%	1.82	0.94	13.80	29.89	29.10	14.17	10.29	100.00
C%	3.25	4.47	3.27	3.37	3.34	3.59	3.90	3.43
\$40,001 +	183	65	1,376	2,939	2,800	1,513	1,157	10,033
R%	1.82	0.65	13.71	29.29	27.91	15.08	11.53	100.00
C%	0.45	0.43	0.45	0.46	0.44	0.53	0.60	0.47
TOTAL	40,557	15,205	306,521	643,350	631,284	286,405	191,264	2,114,586
R%	1.92	0.72	14.50	30.42	29.85	13.54	9.04	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

## Table 9: Distribution of Federal Pell Grant Recipients by Educational Cost and Grant Level

Table 9A: *Total*Table 9B: *Dependent*Table 9C: *Independent* 

Tables 9A, 9B, and 9C present the distribution of Federal Pell Grant recipients by educational cost and grant level for all recipients, dependents and independents, respectively.

Grant Levels Similar by Educational Cost. Figure 12 summarizes grant amounts by educational cost levels. Grant levels are consistent regardless of educational cost. For example, of the recipients with an educational cost between \$0 and \$6,000, 46.2 percent receive a grant of \$1,500 or more, while 29.6 percent receive grants of less than \$900.

In comparison, of recipients reporting educational costs between \$6,001 and \$15,000, 50.7 percent receive grants of \$1,500 or greater, while 25.6 percent receive grants of less than \$900. With respect to recipients with educational costs greater than \$15,000, 52.4 percent receive grants of \$1,500 or greater, and 24.7 percent receive grants of less than \$900.

dependents (77.7 percent).

Both dependent and independent recipients receive the maximum grant at about the same rate; 29.0 percent of independents receive the maximum grant, while 29.1 percent of dependents receive the maximum grant.

A comparison of Tables 9B and 9C shows there are slightly more independents (82.9 percent) with costs of education greater than \$6,000 than

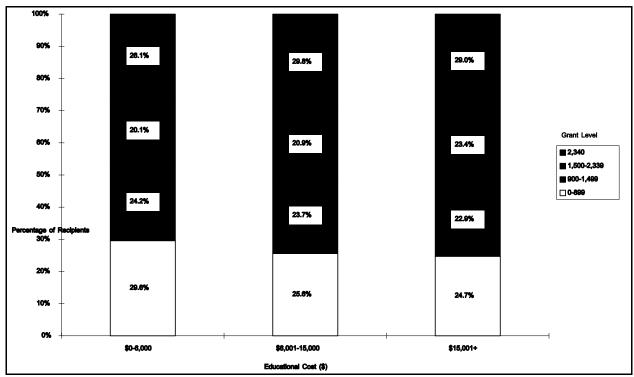


Figure 11: Percentage of Recipients by Educational Cost and Grant Level

TABLE 9-A

DISTRIBUTION OF PELL GRANT RECIPIENTS

BY COST OF EDUCATION AND GRANT LEVEL

ALL RECIPIENTS - AWARD YEAR 1995-96

#### **GRANT LEVEL**

COST OF EDUCATION	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$2,400	5,338	9,316	7,359	10,601	4,398	5,920	5,000	2,874	16,809	67,615
R%	7.89	13.78	10.88	15.68	6.50	8.76	7.39	4.25	24.86	100.00
C%	4.05	2.16	1.92	1.76	1.72	1.77	1.85	1.85	1.60	1.87
\$2,400 - 3,000	2,860	4,256	3,224	4,068	1,519	1,832	1,348	652	4,172	23,931
R%	11.95	17.78	13.47	17.00	6.35	7.66	5.63	2.72	17.43	100.00
C%	2.17	0.99	0.84	0.68	0.59	0.55	0.50	0.42	0.40	0.66
\$3,001 - 6,000	29,292	77,503	66,988	105,017	42,709	55,912	44,639	22,168	161,034	605,262
R%	4.84	12.80	11.07	17.35	7.06	9.24	7.38	3.66	26.61	100.00
C%	22.25	17.94	17.44	17.48	16.70	16.75	16.53	14.25	15.37	16.76
\$6,001 - 9,000	41,152	134,414	116,862	189,247	79,777	103,081	85,545	45,317	333,222	1,128,617
R%	3.65	11.91	10.35	16.77	7.07	9.13	7.58	4.02	29.52	100.00
C%	31.26	31.11	30.42	31.49	31.20	30.87	31.69	29.12	31.80	31.25
\$9,001 - 12,000	31,090	110,704	101,689	156,693	66,817	89,347	70,900	40,826	278,870	946,936
R%	3.28	11.69	10.74	16.55	7.06	9.44	7.49	4.31	29.45	100.00
C%	23.61	25.62	26.47	26.07	26.13	26.76	26.26	26.24	26.61	26.22
\$12,001 - 15,000	13,059	47,707	45,076	73,923	29,210	38,984	31,075	19,501	136,586	435,121
R%	3.00	10.96	10.36	16.99	6.71	8.96	7.14	4.48	31.39	100.00
C%	9.92	11.04	11.73	12.30	11.42	11.68	11.51	12.53	13.04	12.05
\$15,001 <b>+</b>	8,864	48,159	43,013	61,391	31,250	38,799	31,460	24,261	117,142	404,339
R%	2.19	11.91	10.64	15.18	7.73	9.60	7.78	6.00	28.97	100.00
C%	6.73	11.15	11.20	10.22	12.22	11.62	11.65	15.59	11.18	11.19
TOTAL	131,655	432,059	384,211	600,940	255,680	333,875	269,967	155,599	1,047,835	3,611,821
R%	3.65	11.96	10.64	16.64	7.08	9.24	7.47	4.31	29.01	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 9-B

DISTRIBUTION OF PELL GRANT RECIPIENTS

BY COST OF EDUCATION AND GRANT LEVEL

DEPENDENT RECIPIENTS - AWARD YEAR 1995-96

#### **GRANT LEVEL**

COST OF EDUCATION	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$2,400	1,457	3,362	2,821	3,758	1,962	2,450	2,427	1,606	7,215	27,058
R%	5.38	12.43	10.43	13.89	7.25	9.05	8.97	5.94	26.66	100.00
C%	3.66	1.87	1.84	1.73	1.66	1.82	1.94	1.71	1.66	1.81
\$2,400 - 3,000	636	1,331	1,166	1,538	692	688	553	336	1,786	8,726
R%	7.29	15.25	13.36	17.63	7.93	7.88	6.34	3.85	20.47	100.00
C%	1.60	0.74	0.76	0.71	0.58	0.51	0.44	0.36	0.41	0.58
\$3,001 - 6,000	11,015	35,210	31,519	47,489	22,611	27,609	25,109	14,257	83,922	298,741
R%	3.69	11.79	10.55	15.90	7.57	9.24	8.40	4.77	28.09	100.00
C%	27.67	19.62	20.60	21.83	19.10	20.47	20.04	15.20	19.29	19.95
\$6,001 - 9,000	13,117	53,300	46,302	72,385	35,631	41,204	39,959	27,025	156,344	485,267
R%	2.70	10.98	9.54	14.92	7.34	8.49	8.23	5.57	32.22	100.00
C%	32.95	29.71	30.27	33.27	30.10	30.54	31.89	28.81	35.94	32.41
\$9,001 - 12,000	7,591	39,578	33,042	44,940	25,578	29,043	25,947	21,651	88,282	315,652
R%	2.40	12.54	10.47	14.24	8.10	9.20	8.22	6.86	27.97	100.00
C%	19.07	22.06	21.60	20.66	21.61	21.53	20.71	23.08	20.29	21.08
\$12,001 - 15,000	3,054	17,897	14,943	20,245	11,978	13,309	12,181	10,710	44,399	148,716
R%	2.05	12.03	10.05	13.61	8.05	8.95	8.19	7.20	29.85	100.00
C%	7.67	9.97	9.77	9.31	10.12	9.87	9.72	11.42	10.21	9.93
\$15,001 <b>+</b>	2,941	28,749	23,176	27,189	19,936	20,603	19,130	18,230	53,121	213,075
R%	1.38	13.49	10.88	12.76	9.36	9.67	8.98	8.56	24.93	100.00
C%	7.39	16.02	15.15	12.50	16.84	15.27	15.27	19.43	12.21	14.23
TOTAL	39,811	179,427	152,969	217,544	118,388	134,906	125,306	93,815	435,069	1,497,235
R%	2.66	11.98	10.22	14.53	7.91	9.01	8.37	6.27	29.06	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 9-C
DISTRIBUTION OF PELL GRANT RECIPIENTS
BY COST OF EDUCATION AND GRANT LEVEL
INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

#### **GRANT LEVEL**

COST OF EDUCATION	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$2,400	3,881	5,954	4,538	6,843	2,436	3,470	2,573	1,268	9,594	40,557
R%	9.57	14.68	11.19	16.87	6.01	8.56	6.34	3.13	23.66	100.00
C%	4.23	2.36	1.96	1.78	1.77	1.74	1.78	2.05	1.57	1.92
\$2,400 - 3,000	2,224	2,925	2,058	2,530	827	1,144	795	316	2,386	15,205
R%	14.63	19.24	13.54	16.64	5.44	7.52	5.23	2.08	15.69	100.00
C%	2.42	1.16	0.89	0.66	0.60	0.57	0.55	0.51	0.39	0.72
\$3,001 - 6,000	18,277	42,293	35,469	57,528	20,098	28,303	19,530	7,911	77,112	306,521
R%	5.96	13.80	11.57	18.77	6.56	9.23	6.37	2.58	25.16	100.00
C%	19.90	16.74	15.34	15.00	14.64	14.22	13.50	12.80	12.58	14.50
\$6,001 - 9,000	28,035	81,114	70,560	116,862	44,146	61,877	45,586	18,292	176,878	643,350
R%	4.36	12.61	10.97	18.16	6.86	9.62	7.09	2.84	27.49	100.00
C%	30.52	32.11	30.51	30.48	32.15	31.10	31.51	29.61	28.87	30.42
\$9,001 - 12,000	23,499	71,126	68,647	111,753	41,239	60,304	44,953	19,175	190,588	631,284
R%	3.72	11.27	10.87	17.70	6.53	9.55	7.12	3.04	30.19	100.00
C%	25.59	28.15	29.69	29.15	30.04	30.31	31.07	31.04	31.10	29.85
\$12,001 - 15,000	10,005	29,810	30,133	53,678	17,232	25,675	18,894	8,791	92,187	286,405
R%	3.49	10.41	10.52	18.74	6.02	8.96	6.60	3.07	32.19	100.00
C%	10.89	11.80	13.03	14.00	12.55	12.90	13.06	14.23	15.04	13.54
\$15,001 <b>+</b>	5,923	19,410	19,837	34,202	11,314	18,196	12,330	6,031	64,021	191,264
R%	3.10	10.15	10.37	17.88	5.92	9.51	6.45	3.15	33.47	100.00
C%	6.45	7.68	8.58	8.92	8.24	9.15	8.52	9.76	10.45	9.04
TOTAL	91,844	252,632	231,242	383,396	137,292	198,969	144,661	61,784	612,766	2,114,586
R%	4.34	11.95	10.94	18.13	6.49	9.41	6.84	2.92	28.98	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

# Table 10: Distribution of Federal Pell Grant Recipients by Family Income and Net Asset Level

Table 10A: *Total*Table 10B: *Dependent*Table 10C: *Independent* 

Tables 10A, 10B, and 10C present the distribution of Federal Pell Grant recipients by family income and asset level for all recipients, dependents and independents, respectively.

Net assets is the sum of the market value, less unpaid debts, of real estate/investments, a business or a non-family farm, plus cash, savings, and checking accounts. In 1993-94, the net value of the principal residence and the net value of a family farm on which the family resides was eliminated from all EFC formulas.

The formulae for calculating the Expected Family Contribution provide for asset reserves that "protect" a portion of the student's or parents' assets when determining the contribution from assets.

Most Federal Pell Grant Recipients Report Few Net Assets. As Table 10A shows, Federal Pell Grant recipients report few assets. More than nineteen out of every twenty recipients (97.2 percent) have net assets of \$25,000 or less, with most (93.9 percent) reporting net assets of \$7,500 or less. About 56.2 percent of recipients report zero assets in 1995-96.

**Higher Income Recipients More Likely to Report Assets.** For the lower income groups (up to \$15,000), 98.5 percent report assets of \$25,000 or less, and 97 percent report assets of \$7,500 or less. For recipients at incomes above \$30,000, nine out of ten (93.8 percent) report assets of \$25,000 or less; 85.6 percent report assets of \$7,500 or less.

**Independents Have Substantially Lower Net Assets Than Dependents.** While 93.8 percent of dependents have net assets of \$25,000 or less;

nearly all (99.5 percent) independents have net assets of \$25,000 or less. Approximately 87.6 percent of dependents have net assets of \$7,500 or less, compared to 98.4 percent of independents. Figure 14 shows the distribution of net assets by dependency status. Average net assets are \$4,450 for dependents, \$545 for independents, and \$2,164 for all recipients (not shown).

**Federal Pell Grants Targeted to Low Income and Low Asset Groups.** In 1995-96, 31.4 percent of all Federal Pell Grant recipients report net assets of \$7,500 or less with incomes of \$6,000 or less. Many more independents (44.2 percent) than dependents (13.7 percent) are in this group.

As expected, few high-asset, high-income students receive Federal Pell Grants. Only 1.9 percent of recipients with incomes greater than \$15,000 (0.3 percent of independents and 4.3 percent of dependents) report assets greater than \$25,000.

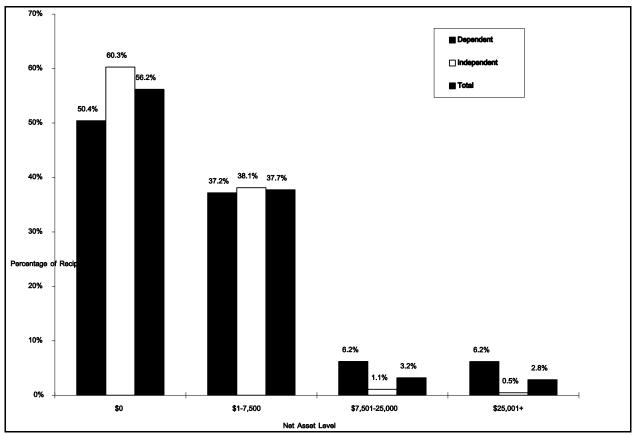


Figure 12: Distribution of Net Assets by Dependency Status

TABLE 10-A

DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND NET ASSET LEVEL

ALL RECIPIENTS - AWARD YEAR 1995-96

**NET ASSET LEVEL** \$7,501 -\$15,001 -\$25,001 -\$35,001 -\$50,001 -\$75,001 -**FAMILY INCOME** \$0 \$1 - 7,500 \$100,001 + **TOTAL** 100,000 15,000 25,000 75,000 35,000 50,000 172,356 1,573 909 961 967 653 1,657 **LESS THAN \$1,001** 67,644 2,283 249,003 R% 69.22 27.17 0.92 0.63 0.37 0.39 0.39 0.26 0.67 100.00 C% 8.49 4.96 3.29 3.37 3.21 3.78 4.20 5.87 11.16 6.89 216,295 1,000 604 495 303,905 \$1,001 - 3,000 82,448 1,656 527 259 621 R% 71.17 27.13 0.54 0.33 0.20 0.17 0.16 0.09 0.20 100.00 C% 10.66 6.05 2.39 2.14 2.13 2.08 2.15 2.33 4.18 8.41 \$3,001 - 6,000 418,896 176,264 1,819 1,035 776 464 603,779 2,867 842 816 R% 69.38 29.19 0.47 0.30 0.17 0.13 100.00 0.14 0.08 0.14 C% 20.64 3.89 16.72 12.93 4.13 3.65 3.32 3.37 4.17 5.50 \$6,001 - 9,000 309,190 164,312 3,477 2,132 1,303 1,136 1,067 572 978 484,167 R% 33.94 0.72 0.44 0.27 0.23 0.22 100.00 63.86 0.12 0.20 C% 15.23 12.05 4.56 4.60 4.63 6.59 13.41 5.01 4.47 5.14 \$9,001 - 15,000 338,498 210,594 10,097 6,617 4,035 3,722 3,540 1,835 2,629 581,567 R% 58.20 36.21 1.74 1.14 0.69 0.64 0.61 0.32 0.45 100.00 C% 16.68 15.45 14.55 14.16 14.25 14.66 15.36 16.49 17.71 16.10 \$15,001 - 20,000 217,746 183,767 11,622 7,988 4,965 4,521 4,405 2,109 2,838 439,961 R% 49.49 41.77 2.64 1.82 1.13 1.03 1.00 0.48 0.65 100.00 C% 10.73 13.48 16.75 17.10 17.53 17.80 19.11 18.95 19.11 12.18 257,744 21,956 15,107 9,298 8,247 7,267 3,477 3,734 634,999 \$20,001 - 30,000 308,169 R% 40.59 48.53 3.46 2.38 1.46 1.30 1.14 0.55 0.59 100.00 C% 12.70 22.61 31.64 32.33 32.83 32.48 31.53 31.25 25.15 17.58 81,035 7,875 3,435 \$30,001 - 40,000 132,181 11,633 4,590 4,050 1,335 1,262 247,396 R% 32.76 53.43 4.70 3.18 1.86 1.64 1.39 0.54 0.51 100.00 C% 3.99 9.70 16.85 16.21 14.90 6.85 16.76 15.95 12.00 8.50 \$40,001 + 17,991 37,835 3,805 2,612 1,581 1,096 424 67,044 1,388 312 R% 26.83 56.43 5.68 3.90 2.36 2.07 1.63 0.63 0.47 100.00 4.76 C% 0.89 2.78 5.48 5.59 5.58 5.47 3.81 2.10 1.86 TOTAL 2,029,751 1,363,214 69,396 46,723 28,320 25,394 23,048 11,128 14,847 3,611,821 R% 56.20 37.74 1.29 0.78 0.64 0.31 0.41 100.00 1.92 0.70 C% 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00

TABLE 10-B

DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND NET ASSET LEVEL

DEPENDENT RECIPIENTS - AWARD YEAR 1995-96

**NET ASSET LEVEL** \$7,501 -\$15,001 -\$25,001 -\$35,001 -\$50,001 -\$75,001 -**FAMILY INCOME** \$0 \$1 - 7,500 \$100,001 + **TOTAL** 100,000 15,000 25,000 35,000 75,000 50,000 711 839 857 588 **LESS THAN \$1,001** 34,869 10,736 1,345 1,148 1,572 52,665 R% 66.21 20.39 2.55 2.18 1.35 1.59 1.63 1.12 2.98 100.00 C% 4.62 1.93 2.49 2.95 2.90 3.70 4.06 5.67 11.18 3.52 36,647 8,362 632 453 428 237 48,565 \$1,001 - 3,000 801 428 577 R% 75.46 17.22 1.65 1.30 0.93 0.88 0.88 0.49 1.19 100.00 C% 4.86 1.50 1.48 1.63 1.85 1.89 2.03 2.28 4.10 3.24 \$3,001 - 6,000 91,377 21,041 1,132 776 653 649 415 118,298 1,517 738 R% 77.24 17.79 0.96 0.55 100.00 1.28 0.66 0.55 0.35 0.62 C% 12.11 3.78 2.81 2.91 3.16 2.88 3.07 4.00 5.25 7.90 \$6,001 - 9,000 93,869 31,973 1,551 1,033 935 944 531 904 133,921 2,181 R% 70.09 23.87 0.77 0.70 100.00 1.63 1.16 0.70 0.40 0.68 C% 12.44 5.74 3.99 4.21 4.47 6.43 4.04 4.12 5.12 8.94 \$9,001 - 15,000 157,581 87,386 7,875 5,521 3,475 3,294 3,209 1,692 2,488 272,521 R% 57.82 32.07 2.89 2.03 1.28 1.21 1.18 0.62 0.91 100.00 C% 20.88 15.69 14.60 14.20 14.16 14.53 15.20 16.31 17.69 18.20 \$15,001 - 20,000 116,811 95,506 9,413 6,863 4,349 4,079 4,068 1,978 2,715 245,782 47.53 R% 38.86 3.83 2.79 1.77 1.66 1.66 0.80 1.10 100.00 C% 15.48 17.15 17.45 17.65 17.72 17.99 19.27 19.06 19.30 16.42 152,744 181,118 17,758 12,839 8,204 7,445 6,678 3,262 3,567 393,615 \$20,001 - 30,000 R% 38.81 46.01 4.51 3.26 2.08 1.89 1.70 0.83 0.91 100.00 С% 20.24 32.53 32.91 33.02 33.42 32.83 31.64 31.44 25.36 26.29 55,875 3,222 \$30,001 - 40,000 89,050 9,628 6,818 4,092 3,701 1,271 1,200 174,857 R% 31.95 50.93 5.51 3.90 2.34 2.12 1.84 0.73 0.69 100.00 С% 7.40 15.99 17.53 16.67 15.27 17.84 16.32 12.25 8.53 11.68 3,438 1,301 \$40,001 + 15,052 31,630 2,380 1,453 1,051 402 304 57,011 R% 26.40 55.48 6.03 4.17 2.55 2.28 1.84 0.71 0.53 100.00 C% 1.99 5.68 6.37 6.12 5.92 5.74 4.98 3.87 2.16 3.81 TOTAL 754,825 556,802 53,956 38,884 24,546 22,675 21,106 10,376 14,065 1,497,235 R% 50.41 37.19 3.60 2.60 1.41 0.69 0.94 100.00 1.64 1.51 C% 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00

TABLE 10-C **DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND NET ASSET LEVEL**<u>INDEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

**NET ASSET LEVEL** \$7,501 -\$15,001 -\$25,001 -\$35,001 -\$50,001 -\$75,001 -\$0 \$1 - 7,500 \$100.001 + **TOTAL FAMILY INCOME** 100.000 15,000 25,000 35,000 50,000 75,000 **LESS THAN \$1,001** 137,487 56,908 938 425 198 122 110 65 85 196,338 R% 70.03 28.98 0.48 0.22 0.10 0.06 0.06 0.03 0.04 100.00 C% 10.78 7.06 5.42 5.25 4.49 5.66 10.87 9.28 6.08 8.64 179,648 74,086 855 368 151 99 67 22 255,340 \$1,001 - 3,000 44 R% 70.36 29.01 0.33 0.14 0.06 0.04 0.03 0.01 0.02 100.00 C% 14.09 9.19 5.54 4.69 4.00 3.64 3.45 2.93 5.63 12.08 \$3,001 - 6,000 327,519 155,223 1,350 687 259 189 127 49 78 485,481 R% 67.46 31.97 0.28 0.14 0.05 0.04 0.03 0.01 0.02 100.00 C% 25.69 19.25 8.74 8.76 6.86 6.95 6.54 6.52 9.97 22.96 \$6,001 - 9,000 215,321 132,339 1,296 581 270 201 123 41 74 350,246 R% 0.04 100.00 61.48 37.78 0.37 0.17 0.08 0.06 0.01 0.02 C% 16.89 16.41 8.39 7.41 7.15 7.39 6.33 5.45 9.46 16.56 \$9,001 - 15,000 180,917 123,208 2,222 1,096 560 428 331 143 141 309,046 R% 58.54 0.35 0.05 100.00 39.87 0.72 0.18 0.14 0.11 0.05 C% 14.19 15.28 14.39 13.98 14.84 15.74 17.04 19.02 18.03 14.61 \$15,001 - 20,000 100,935 88,261 2,209 1,125 616 442 337 131 123 194,179 R% 51.98 0.58 0.17 100.00 45.45 1.14 0.32 0.23 0.07 0.06 C% 7.92 10.94 14.31 14.35 16.32 16.26 17.35 17.42 15.73 9.18 105,000 2,268 802 589 215 167 241,384 \$20,001 - 30,000 127,051 4,198 1,094 R% 43.50 52.63 0.94 0.24 0.09 100.00 1.74 0.45 0.33 0.07 C% 8.24 28.93 28.99 30.33 28.59 11.42 15.76 27.19 29.50 21.36 213 \$30,001 - 40,000 25,160 43,131 2,005 1,057 498 349 64 62 72,539 R% 34.68 59.46 2.76 1.46 0.69 0.48 0.29 0.09 0.09 100.00 С% 1.97 5.35 12.99 13.48 13.20 12.84 10.97 7.93 3.43 8.51 \$40,001 + 2,939 6,205 367 232 128 87 45 22 8 10,033 R% 29.29 61.85 3.66 2.31 1.28 0.87 0.45 0.22 0.08 100.00 1.02 C% 0.23 0.77 2.38 2.96 3.39 3.20 2.32 2.93 0.47 **TOTAL** 3,774 1,274,926 806,412 15,440 7,839 2,719 1,942 752 782 2,114,586 R% 0.73 0.37 0.13 0.09 60.29 38.14 0.18 0.04 0.04 100.00 C% 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00

## Table 11: Distribution of Federal Pell Grant Recipients by Age and Family Income

Table 11A: *Total*Table 11B: *Dependent*Table 11C: *Independent* 

Tables 11A, 11B, and 11C show the distribution of Federal Pell Grant recipients by age and family income. Table 11A displays the distribution for all recipients, while Tables 11B and 11C provide the same data for dependents and independents, respectively.

Students 24 years and older are automatically considered independent for Federal Pell Grant award purposes. However, students who are less than 24 years old may also be independent if they meet certain criteria. Dependency status overrides may also be granted at the discretion of the financial aid administrator.

**Higher Family Incomes**. There tends to be an inverse relationship between age and family income. As illustrated in Figure 15, recipients in the younger age groups, most of whom are dependent and report their parent's income, had relatively higher family incomes. For example, 56.9 percent of the 24 and over group report income less than \$9,000, as compared to 36.1 percent of the younger group.

Similarly, 32.6 percent of the 23 years and younger group report family income greater than \$20,000, whereas only 18.4 percent of the older recipients report incomes in this range.

Younger, Mostly Dependent Recipients Have

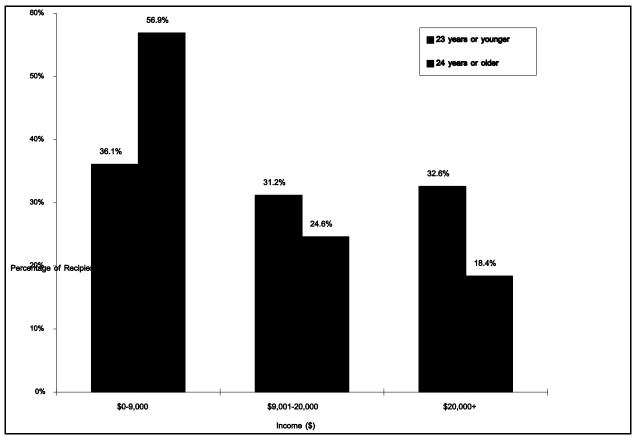


Figure 13: Recipients by Age and Family Income

TABLE 11-A **DISTRIBUTION OF PELLGRANT RECIPIENTS BY AGE AND FAMILY INCOME** *ALL RECIPIENTS* - AWARD YEAR 1995-96

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AGE	!	LESS THAN \$1,001	\$1,001 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 15,000		\$20,001 - 30,000		\$40,001+	TOTAL
UNDER	17	257	220	454	383	724	441	558	235	93	3,365
	R%	7.6	6.5	13.5	11.4	21.5	13.1	16.6	7.0	2.8	100.0
	С%		0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
17	R%	<b>1,952</b> 8.0	<b>1,769</b> 7.3	<b>2,781</b> 11.4	<b>2,426</b> 10.0	<b>4,316</b> 17.7	<b>3,402</b> 14.0	<b>4,874</b> 20.0	<b>2,117</b> 8.7	<b>689</b> 2.8	<b>24,326</b> 100.0
	C%		7.3 0.6	0.5	0.5	0.7	0.8	0.8	0.9	1.0	0.7
18		15,057	16,359	29,208	27,411	55,293	53,271	93,432	46,122	15,359	351,512
	R%		4.7	8.3 4.8	7.8	15.7	15.2	26.6	13.1	4.4	100.0
19	C%	6.0 <b>21,405</b>	5.4 <b>26,714</b>	46,925	5.7 <b>39,082</b>	9.5 <b>70,799</b>	12.1 <b>64,162</b>	14.7 <b>105,026</b>	18.6 <b>47,816</b>	22.9 <b>15,015</b>	9.7 <b>436,944</b>
.0	R%	•	6.1	10.7	8.9	16.2	14.7	24.0	10.9	3.4	100.0
	С%		8.8	7.8	8.1	12.2	14.6	16.5	19.3	22.4	12.1
20	Do/	20,416	<b>26,867</b> 7.0	51,862	42,269	66,575	53,231	80,691	33,849	<b>10,623</b> 2.7	386,383
	R% C%		7.0 8.8	13.4 8.6	10.9 8.7	17.2 11.4	13.8 12.1	20.9 12.7	8.8 13.7	2.7 15.8	100.0 10.7
21		18,267	24,266	50,142	41,554	60,782	44,335	63,569	25,160	8,349	336,424
	R%		7.2	14.9	12.4	18.1	13.2	18.9	7.5	2.5	100.0
22	С%	7.3 <b>15,064</b>	8.0 <b>20,272</b>	8.3 <b>44,148</b>	8.6 <b>36,495</b>	10.5 <b>50,551</b>	10.1 <b>33,008</b>	10.0 <b>41,905</b>	10.2 <b>14,637</b>	12.5 <b>4,846</b>	9.3 <b>260,926</b>
22	R%	•	7.8	16.9	14.0	19.4	12.7	16.1	5.6	1.9	100.0
	С%	6.0	6.7	7.3	7.5	8.7	7.5	6.6	5.9	7.2	7.2
23		12,039	16,986	37,954	31,030	39,523	23,854	27,084	7,790	2,120	198,380
	R% C%		8.6 5.6	19.1 6.3	15.6 6.4	19.9 6.8	12.0 5.4	13.7 4.3	3.9 3.1	1.1 3.2	100.0 5.5
24	- , .	27,754	33,170	58,983	42,189	22,337	12,066	12,026	2,078	58	210,661
	R%		15.7	28.0	20.0	10.6	5.7	5.7	1.0	0.0	100.0
25	С%	11.1 <b>19,649</b>	10.9	9.8 4 <b>5 633</b>	8.7	3.8	2.7	1.9	0.8	0.1	5.8
25	R%	•	<b>23,751</b> 13.9	<b>45,623</b> 26.7	<b>32,623</b> 19.1	<b>20,064</b> 11.8	<b>12,617</b> 7.4	<b>13,530</b> 7.9	<b>2,678</b> 1.6	<b>118</b> 0.1	<b>170,653</b> 100.0
	C%		7.8	7.6	6.7	3.4	2.9	2.1	1.1	0.2	4.7
26		13,787	16,632	32,653	24,080	16,718	11,138	13,096	2,992	127	131,223
	R% C%		12.7 5.5	24.9 5.4	18.4 5.0	12.7 2.9	8.5 2.5	10.0 2.1	2.3 1.2	0.1 0.2	100.0 3.6
27	0 70	10,227	12,273	24,489	18,097	14,379	10,247	12,705	3,218	169	105,804
	R%		11.6	23.1	17.1	13.6	9.7	12.0	3.0	0.2	100.0
20	C%		4.0 0.570	4.1	3.7	2.5	2.3	2.0	1.3	0.3	2.9
28	R%	<b>7,707</b> 8.6	<b>9,578</b> 10.7	<b>19,528</b> 21.9	<b>14,722</b> 16.5	<b>12,903</b> 14.5	<b>9,133</b> 10.2	<b>12,149</b> 13.6	<b>3,352</b> 3.8	<b>214</b> 0.2	<b>89,286</b> 100.0
	C%		3.2	3.2	3.0	2.2	2.1	1.9	1.4	0.3	2.5
29		6,232	7,754	16,219	12,729	11,710	8,626	11,794	3,413	237	78,714
	R% C%		9.9 2.6	20.6 2.7	16.2 2.6	14.9 2.0	11.0 2.0	15.0 1.9	4.3 1.4	0.3 0.4	100.0 2.2
30	0 70	5,522	6,815	14,371	11,291	11,322	8,281	11,581	3,617	284	73,084
	R%	7.6	9.3	19.7	15.4	15.5	11.3	15.8	4.9	0.4	100.0
04.40	C%		2.2	2.4	2.3	1.9	1.9	1.8	1.5	0.4	2.0
31-40	ر R%	<b>34,372</b> 6.5	<b>40,362</b> 7.6	<b>89,473</b> 16.9	<b>75,989</b> 14.4	<b>87,573</b> 16.6	<b>66,237</b> 12.5	<b>94,621</b> 17.9	<b>34,654</b> 6.6	<b>4,728</b> 0.9	<b>528,009</b> 100.0
	C%		13.3	14.8	15.7	15.1	15.1	14.9	14.0	7.1	14.6
OVER 4	40	19,210	20,038	38,800	31,661	35,830	25,812	36,209	13,609	4,000	225,169
	R% C%		8.9	17.2	14.1	15.9	11.5	16.1	6.0	1.8	100.0
UNKNO		86	6.6 <b>79</b>	6.4 <b>166</b>	6.5 <b>136</b>	6.2 <b>168</b>	5.9 <b>100</b>	5.7 <b>149</b>	5.5 <b>59</b>	6.0 <b>15</b>	6.2 <b>958</b>
5.11.110	R%		8.2	17.3	14.2	17.5	10.4	15.6	6.2	1.6	100.0
	C%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTA		249,003	303,905	603,779	484,167	581,567	439,961	634,999	247,396	67,044	3,611,821
	R% C%		8.4 100.0	16.7 100.0	13.4 100.0	16.1 100.0	12.2 100.0	17.6 100.0	6.8 100.0	1.9 100.0	100.0 100.0

TABLE 11-B **DISTRIBUTION OF PELLGRANT RECIPIENTS BY AGE AND FAMILY INCOME**<u>DEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

<b>FAMILY</b>	INCOME

AGE		LESS THAN \$1,001	\$1,001 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 15,000	\$15,001 - 20,000	\$20,001 - 30,000	\$30,001 - 40,000	\$40,001+	TOTAL
UNDER	17	99	124	362	358	703	436	557	233	93	2,965
	R%		4.2	12.2	12.1	23.7	14.7	18.8	7.9	3.1	100.0
	C%		0.3	0.3	0.3	0.3	0.2	0.1	0.1	0.2	0.2
17		702	778	2,022	2,231	4,191	3,369	4,862	2,116	688	20,959
	R% C%		3.7 1.6	9.6 1.7	10.6 1.7	20.0 1.5	16.1 1.4	23.2 1.2	10.1 1.2	3.3 1.2	100.0 1.4
18	C /6	7,210	7,973	20,731	24,798	53,926	52,903	93,248	46,109	15,354	322,252
	R%		2.5	6.4	7.7	16.7	16.4	28.9	14.3	4.8	100.0
	C%		16.4	17.5	18.5	19.8	21.5	23.7	26.4	26.9	21.5
19		10,887	11,275	26,739	31,036	65,992	62,738	104,264	47,773	15,009	375,713
	R%		3.0	7.1	8.3	17.6	16.7	27.8	12.7	4.0	100.0
	C%	20.7	23.2	22.6	23.2	24.2	25.5	26.5	27.3	26.3	25.1
20		11,062	10,105	24,045	27,375	55,695	49,847	78,563	33,703	10,619	301,014
	R% C%		3.4 20.8	8.0 20.3	9.1 20.4	18.5 20.4	16.6 20.3	26.1 20.0	11.2 19.3	3.5 18.6	100.0 20.1
21	070	9,881	8,241	19,664	21,999	43,816	38,283	59,127	24,719	8,332	234,062
	R%	•	3.5	8.4	9.4	18.7	16.4	25.3	10.6	3.6	100.0
	C%		17.0	16.6	16.4	16.1	15.6	15.0	14.1	14.6	15.6
22		7,432	5,932	14,546	15,775	30,108	24,573	35,146	13,778	4,821	152,111
	R%	4.9	3.9	9.6	10.4	19.8	16.2	23.1	9.1	3.2	100.0
	C%	14.1	12.2	12.3	11.8	11.0	10.0	8.9	7.9	8.5	10.2
23		5,364	4,120	10,131	10,293	17,996	13,574	17,742	6,378	2,081	87,679
	R% C%		4.7 8.5	11.6 8.6	11.7 7.7	20.5 6.6	15.5 5.5	20.2 4.5	7.3 3.6	2.4 3.7	100.0 5.9
24	C /6	0.2	0.5 <b>0</b>	<b>0</b> .0	0	0.0	0.5	0	0	3.7 <b>0</b>	0.9
24	R%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	C%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25		0	0	0	0	0	0	0	0	0	0
	R%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	C%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
26		0	0	0	0	0	0	0	0	0	0
	R% C%		0.0	0.0	0.0	0.0	0.0	0.0 0.0	0.0	0.0	0.0
27	C%	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>
21	R%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	C%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
28		0	0	0	0	0	0	0	0	0	0
	R%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	C%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
29		0	0	0	0	0	0	0	0	0	0
	R%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
30	C%	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>	0.0 <b>0</b>
30	R%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	C%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
31-40		0	0	0	0	0	0	0	0	0	0
	R%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	C%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
OVER 4		0	0	0	0	0	0	0	0	0	0
	R%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
HNKNO	C%	0.0	0.0 <b>17</b>	0.0	0.0 <b>56</b>	0.0 <b>94</b>	0.0 <b>50</b>	0.0 <b>106</b>	0.0 <b>48</b>	0.0	0.0 <b>480</b>
UNKNOV	NN R%	<b>28</b> 5.8	<b>17</b> 3.5	<b>58</b> 12.1	<b>56</b> 11.7	19.6	<b>59</b> 12.3	<b>106</b> 22.1	10.0	<b>14</b> 2.9	<b>480</b> 100.0
	C%		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL		52,665	48,565	118,298	133,921	272,521	245,782	393,615	174,857	57,011	1,497,235
<b></b>	- R%		3.2	7.9	8.9	18.2	16.4	26.3	11.7	3.8	100.0
	C%		100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0

TABLE 11-C

DISTRIBUTION OF PELLGRANT RECIPIENTS BY AGE AND FAMILY INCOME

INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

		FAMILY INCOME												
AGE		LESS THAN \$1,001	\$1,001 - 3,000	\$3,001 - 6,000	\$6,001 - 9,000	\$9,001 - 15,000	\$15,001 - 20,000	\$20,001 - 30,000	\$30,001 - 40,000	\$40,001+	TOTAL			
UNDER	17	158	96	92	25	21	5	1	2	0	400			
	R%	39.5	24.0	23.0	6.3	5.3	1.3	0.3	0.5	0.0	100.0			
	C%	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0			
17		1,250	991	759	195	125	33	12	1	1	3,367			
	R% C%	37.1 0.6	29.4 0.4	22.5 0.2	5.8 0.1	3.7 0.0	1.0 0.0	0.4 0.0	0.0 0.0	0.0 0.0	100.0			
18	C%	7,847	8,386	8,477	<b>2,613</b>	1,367	368	184	13	5.0	0.2 <b>29,260</b>			
	R%	26.8	28.7	29.0	8.9	4.7	1.3	0.6	0.0	0.0	100.0			
	C%	4.0	3.3	1.7	0.7	0.4	0.2	0.1	0.0	0.0	1.4			
19		10,518	15,439	20,186	8,046	4,807	1,424	762	43	6	61,231			
	R%	17.2	25.2	33.0	13.1	7.9	2.3	1.2	0.1	0.0	100.0			
	C%	5.4	6.0	4.2	2.3	1.6	0.7	0.3	0.1	0.1	2.9			
20		9,354	16,762	27,817	14,894	10,880	3,384	2,128	146	4	85,369			
	R%	11.0	19.6	32.6	17.4	12.7	4.0	2.5	0.2	0.0	100.0			
04	C%	4.8	6.6	5.7	4.3	3.5	1.7	0.9	0.2	0.0	4.0			
21	Do/	8,386	16,025	30,478	19,555	16,966	6,052	4,442	441	17	102,362			
	R% C%	8.2 4.3	15.7 6.3	29.8 6.3	19.1 5.6	16.6 5.5	5.9 3.1	4.3 1.8	0.4 0.6	0.0 0.2	100.0 4.8			
22	070	7,632	14,340	29,602	20,720	20,443	8,435	6,759	859	25	108,815			
	R%	7.0	13.2	27.2	19.0	18.8	7.8	6.2	0.8	0.0	100.0			
	C%	3.9	5.6	6.1	5.9	6.6	4.3	2.8	1.2	0.2	5.1			
23		6,675	12,866	27,823	20,737	21,527	10,280	9,342	1,412	39	110,701			
	R%	6.0	11.6	25.1	18.7	19.4	9.3	8.4	1.3	0.0	100.0			
	C%	3.4	5.0	5.7	5.9	7.0	5.3	3.9	1.9	0.4	5.2			
24		27,754	33,170	58,983	42,189	22,337	12,066	12,026	2,078	58	210,661			
	R%	13.2	15.7	28.0	20.0	10.6	5.7	5.7	1.0	0.0	100.0			
25	С%	14.1	13.0	12.1	12.0	7.2	6.2	5.0	2.9 <b>2,678</b>	0.6	10.0			
23	R%	<b>19,649</b> 11.5	<b>23,751</b> 13.9	<b>45,623</b> 26.7	<b>32,623</b> 19.1	<b>20,064</b> 11.8	<b>12,617</b> 7.4	<b>13,530</b> 7.9	<b>2,676</b>	<b>118</b> 0.1	<b>170,653</b> 100.0			
	C%	10.0	9.3	9.4	9.3	6.5	6.5	5.6	3.7	1.2	8.1			
26		13,787	16,632	32,653	24,080	16,718	11,138	13,096	2,992	127	131,223			
	R%	10.5	12.7	24.9	18.4	12.7	8.5	10.0	2.3	0.1	100.0			
	C%	7.0	6.5	6.7	6.9	5.4	5.7	5.4	4.1	1.3	6.2			
27		10,227	12,273	24,489	18,097	14,379	10,247	12,705	3,218	169	105,804			
	R%	9.7	11.6	23.1	17.1	13.6	9.7	12.0	3.0	0.2	100.0			
	C%	5.2	4.8	5.0	5.2	4.7	5.3	5.3	4.4	1.7	5.0			
28	Do/	7,707	9,578	19,528	14,722	12,903	9,133	12,149	3,352	214	89,286			
	R% C%	8.6 3.9	10.7 3.8	21.9 4.0	16.5 4.2	14.5 4.2	10.2 4.7	13.6 5.0	3.8 4.6	0.2 2.1	100.0 4.2			
29	0 70	6,232	7,754	16,219	12,729	11,710	8,626	11,794	3,413	237	78,714			
	R%	7.9	9.9	20.6	16.2	14.9	11.0	15.0	4.3	0.3	100.0			
	C%	3.2	3.0	3.3	3.6	3.8	4.4	4.9	4.7	2.4	3.7			
30		5,522	6,815	14,371	11,291	11,322	8,281	11,581	3,617	284	73,084			
	R%		9.3	19.7	15.4	15.5	11.3	15.8	4.9	0.4	100.0			
	C%	2.8	2.7	3.0	3.2	3.7	4.3	4.8	5.0	2.8	3.5			
31-40		34,372	40,362	89,473	75,989	87,573	66,237	94,621	34,654	4,728	528,009			
	R% C%	6.5 17.5	7.6 15.8	16.9	14.4 21.7	16.6	12.5	17.9 39.2	6.6	0.9 47.1	100.0			
OVER -		19,210	20,038	18.4 <b>38,800</b>	31,661	28.3 <b>35,830</b>	34.1 <b>25,812</b>	36, <b>209</b>	47.8 <b>13,609</b>	47.1 <b>4,000</b>	25.0 <b>225,169</b>			
OVER	40 R%		<b>20,036</b> 8.9	<b>30,000</b> 17.2	31,001 14.1	15.9	11.5	3 <b>6,209</b> 16.1	6.0	<b>4,000</b> 1.8	100.0			
	C%		7.8	8.0	9.0	11.6	13.3	15.0	18.8	39.9	10.6			
UNKNO		58	62	108	80	74	41	43	11	1	478			
	R%		13.0	22.6	16.7	15.5	8.6	9.0	2.3	0.2	100.0			
	C%	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0			

72,539

3.4

100.0

10,033

0.5

100.0

2,114,586

100.0

100.0

TOTAL

R% C% 196,338

9.3

100.0

255,340

12.1

100.0

485,481

23.0

100.0

350,246

16.6

100.0

309,046

14.6

100.0

194,179

9.2

100.0

241,384

11.4

100.0

## Section 3: Miscellaneous Student Characteristics

# Table 12: Distribution of Federal Pell Grant Recipients by Family Income and Dependent Student Income

Table 12 presents a distribution of dependent Federal Pell Grant recipients by family income and dependent student income. The dependent student income equals the sum of the student's 1994 adjusted gross income (earned income for non-tax filers) and non-taxable income (including non-educational Social Security benefits, AFDC, and child support). Dependent recipients who did not report any 1994 income--about 59 percent of all dependents--are not included in this table.

**Most Dependent Student Income Are Under \$4,000.** Of those dependents included in Table 12, few report a large amount of income. Only 24.1 percent report income between \$4,001 and \$7,500 in the 1995-96 application; just over 4.3 percent report income of more than \$7,500. A majority (56.3 percent) of dependent recipients report incomes between \$1,001 and \$4,000.

**Dependent Student Income Related to Family Income.** A comparison of Table 12 with other End-of-Year Report tables that examine the distribution of dependent recipients by family income (Table 2B) indicate that dependent recipients whose parents report relatively large incomes are more likely to report student income than dependents whose parents report little income. For example, 63.7 percent of dependent recipients whose parents reported income greater than \$20,000 reported student income in 1994. In contrast, only 52.3 percent of dependents with family incomes of \$9,000 or less report any student income.

TABLE 12

DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND DEPENDENT STUDENT EARNINGS

AWARD YEAR 1995-96

**DEPENDENT STUDENT EARNINGS** \$501 -\$1,001 -\$1,001 -\$2,001 -\$3,201 -\$4,001 -\$5,000 -\$7,501 -**FAMILY INCOME** \$1 - 500 \$10.000 +TOTAL 1,000 1,500 3,200 4,000 7,500 10,000 2,000 5,000 **LESS THAN \$1,001** 2,216 2,517 2,344 2,405 5,298 3,493 5,324 2,327 2,112 31,224 3,188 R% 7.10 8.06 7.51 7.70 16.97 10.21 11.19 17.05 7.45 6.76 100.00 C% 4.18 3.12 2.70 2.64 2.57 2.83 3.27 5.03 10.56 13.25 3.55 1,646 2,291 2,076 2,458 2,524 1,532 24,294 \$1,001 - 3,000 2,142 4,350 4,089 1,186 R% 6.78 9.43 8.82 8.55 17.91 10.12 10.39 16.83 6.31 4.88 100.00 C% 3.10 2.84 2.47 2.28 2.11 2.18 2.37 3.86 6.95 7.44 2.76 \$3,001 - 6,000 3,308 4,885 4,851 4,719 10,530 6,275 10,717 3,274 59,923 7,063 4,301 R% 7.88 17.57 7.18 100.00 5.52 8.15 8.10 10.47 11.79 17.88 5.46 C% 6.80 6.24 6.05 5.60 5.18 5.12 5.56 6.62 10.12 19.52 20.54 \$6.001 - 9.000 3,793 5,882 5,640 5,519 12,699 7,733 8,151 12,203 4,519 3,235 69,374 R% 5.47 17.59 6.51 100.00 8.48 8.13 7.96 18.31 11.15 11.75 4.66 C% 7.15 6.51 6.06 6.86 7.64 11.52 20.51 20.29 7.28 6.17 7.88 \$9,001 - 15,000 8,281 12,607 12,923 12,879 30,124 18,117 19,640 25,419 6,366 4,399 150,755 R% 5.49 8.36 8.57 8.54 19.98 12.02 13.03 16.86 4.22 2.92 100.00 C% 15.62 15.61 14.91 14.13 14.64 16.06 18.40 24.00 28.90 27.59 17.12 \$15,001 - 20,000 7,870 12,492 13,470 14,205 33,199 19,980 21,261 21,534 1,565 933 146,509 R% 5.37 8.53 9.19 9.70 22.66 13.64 14.51 14.70 1.07 0.64 100.00 C% 14.84 15.47 15.54 15.59 16.13 17.72 19.92 20.33 7.10 5.85 16.63 14,922 23,393 25,829 28,006 65,585 36,340 32,559 21,624 1,090 596 249,944 \$20,001 - 30,000 R% 5.97 9.36 10.33 11.20 26.24 14.54 13.03 8.65 0.44 0.24 100.00 C% 28.15 28.97 29.80 30.73 31.87 32.22 30.51 20.42 4.95 3.74 28.38 32,733 4,230 241 \$30,001 - 40,000 7,977 12,268 14,230 15,383 14,876 9,986 144 112,068 R% 7.12 10.95 12.70 13.73 29.21 13.27 8.91 3.77 0.22 0.13 100.00 C% 15.05 16.88 15.91 13.19 9.36 1.09 0.90 12.72 15.19 16.42 3.99 \$40,001 + 3,005 4,417 5,238 5,950 11,273 3,808 2,036 776 89 64 36,656 R% 8.20 12.05 14.29 16.23 30.75 10.39 5.55 2.12 0.24 0.17 100.00 C% 5.67 5.47 6.04 6.53 5.48 3.38 1.91 0.73 0.40 0.40 4.16 TOTAL 53,018 80,752 86,667 91,142 205,791 112,775 106,713 105,916 22,030 15,943 880,747 R% 6.02 9.17 9.84 10.35 23.37 12.80 12.12 12.03 2.50 1.81 100.00 C% 100.00 100.00 100.00 100.00 100.00 100.00 100.00 100.00 0.00 100.00 100.00

# Table 13: Distribution of Title IV Applicants by Pell Grant Eligibility Status and Income Range

Table 13 presents a distribution of Title IV applicants by Federal Pell Grant eligibility status and income range. Unlike other tables in the Endof-Year Report, Table 13 is based on data collected by the Management Information System (MIS) of the Central Processing System (CPS). It contains information on the total Title IV applicant pool rather than total recipients. The family income categories used in Table 13 are derived from the CPS MIS reports and consequently differ from other tables in this report.

More Independent Applicants Qualify For Grants Than Dependents. Approximately 3 of every 5 applicants (60.3 percent) are eligible to receive a Federal Pell Grant. Table 13 further supports the conclusion that independents qualified for grants at a higher rate than dependents. In 1995-96, 90.8 percent of independent applicants with dependents and 56.6 percent of independents without dependents are eligible to receive a grant, compared to 45.3 percent of dependent applicants.

The difference in eligibility rates for independents and dependents is directly related to the difference in incomes for the two groups of applicants. Independent applicants with dependents clearly report lower incomes on the whole, with 49.2 percent reporting income of \$10,000 or less.

in comparison to 22.2 percent of independent applicants with dependents. As shown in earlier tables, Federal Pell Grants are directed towards the lowest income students. Therefore, independent applicants, who traditionally report lower incomes, are more likely to qualify for a grant. The differences in income by dependency status are shown in Figure 15.

Low-Income Applicants Qualify At Very High Rate. Low income applicants, dependent and independent alike, qualify for grants with a much higher frequency than higher income counterparts. Nearly all (95.9 percent) applicants reporting \$10,000 or less income are eligible to receive a Federal Pell Grant. The small percentage of low-income applicants that do not qualify most likely reported sizable assets. Only 31.6 percent of those applicants reporting income greater than \$20,000 are eligible to receive a grant.

About 27.3 percent of dependents report income in these lower ranges. In contrast, 41.6 percent of dependent applicants report income over \$20,000

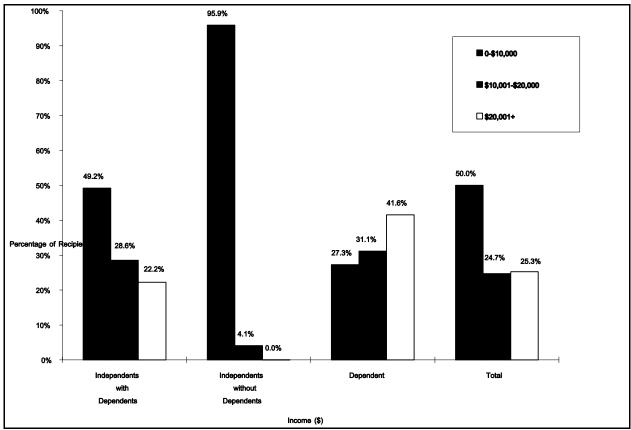


Figure 14: Distribution of Title IV Applicants by Family Income

## TABLE 13 DISTRIBUTION OF TITLE IV AND PELL GRANT APPLICANTS BY ELIGIBILITY STATUS AND INCOME RANGE AWARD PERIOD 1995-96

ALL VALID APPLICANTS					INCOME F	RANGES					
	\$0-	\$4,001-	\$7,501-	\$10,001-	\$12,001-	\$15,001-	\$20,001-	\$25,001-	\$30,001-	25 004	TOTAL
TOTAL PELL ELIGIBLE	4,000	7,500	10,000	12,000	15,000	20,000	25,000	30,000	35,000	35,001+	
APPLICANTS	1,041,697	920,378	431,885	255,783	365,242	561,819	471,275	331,204	205,862	201,093	4,786,238
PERCENT OF TOTAL											
PELL ELIGIBLE APPLICANTS	21.8%	19.2%	9.0%	5.3%	7.6%	11.7%	9.8%	6.9%	4.3%	4.2%	100.0%
TOTAL TITLE IV APPLICANTS	1,049,709	928,164	518,281	352,785	503,858	755,847	628,608	484,218	396,721	2,317,145	7,935,336
PERCENT OF PELL ELIGIBLE APPLICANTS IN											
INCOME RANGE	99.2%	99.2%	83.3%	72.5%	72.5%	74.3%	75.0%	68.4%	51.9%	8.7%	60.3%
DEPENDENT											
	\$0-	\$4,001-	\$7,501-	\$10,001-	\$12,001-	\$15,001-	\$20,001-	\$25,001-	\$30,001-		TOTAL
	4,000	7,500	10,000	12,000	15,000	20,000	25,000	30,000	35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS	173,222	188,788	138,734	107,101	168,893	295,988	272,039	203,330	133,485	154,722	1,836,302
	0,222	.00,.00	. 55,. 5 .	,	.00,000	200,000	2.2,000	200,000	.00,.00	.0.,	.,000,002
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS	9.4%	10.3%	7.6%	5.8%	9.2%	16.1%	14.8%	11.1%	7.3%	8.4%	100.0%
TOTAL TITLE IV APPLICANTS	180,326	194,661	144,973	113,407	186,197	336,900	333,434	292,898	267,346	2,007,083	4,057,225
PERCENT OF PELL ELIGIBLE APPLICANTS IN											
INCOME RANGE	96.1%	97.0%	95.7%	94.4%	90.7%	87.9%	81.6%	69.4%	49.9%	7.7%	45.3%
INDEPENDENT WITHOUT DEPEND	ENTS OTHER	THAN A SP	OUSE								
	\$0-	\$4,001-	\$7,501-	\$10,001-	\$12,001-	\$15,001-	\$20,001-	\$25,001-	\$30,001-		TOTAL
	4,000	7,500	10,000	12,000	15,000	20,000	25,000	30,000	35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS	491,470	325,844	88,748	18,951	15,733	3,671	140	62	46	40	944,705
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS	52.0%	34.5%	9.4%	2.0%	1.7%	0.4%	0.0%	0.0%	0.0%	0.0%	100.0%
TOTAL TITLE IV APPLICANTS	492,362	327,745	168,893	109,624	137,000	156,662	95,603	59,260	37,810	85,044	1,670,003
PERCENT OF PELL ELIGIBLE APPLICANTS IN											
INCOME RANGE	99.8%	99.4%	52.5%	17.3%	11.5%	2.3%	0.1%	0.1%	0.1%	0.0%	56.6%
INDEPENDENT WITH DEPENDENTS	S OTHER THA	N A SPOUS	E		INCOME F	DANGES					
	\$0-	\$4,001-	\$7,501-	\$10,001-	\$12,001-	\$15,001-	\$20,001-	\$25,001-	\$30,001-		TOTAL
	4,000	7,500	10,000	12,000	15,000	20,000	25,000	30,000	35,000	35,001+	
TOTAL PELL ELIGIBLE APPLICANTS	377,005	405,746	204,403	129,731	180,616	262,160	199,096	127,812	72,331	46,331	2,005,231
PERCENT OF TOTAL PELL ELIGIBLE APPLICANTS	18.8%	20.2%	10.2%	6.5%	9.0%	13.1%	9.9%	6.4%	3.6%	2.3%	100.0%
TOTAL TITLE IV APPLICANTS	377,021	405,758	204,415	129,754	180,661	262,285	199,571	132,060	91,565	225,018	2,208,108
PERCENT OF PELL ELIGIBLE APPLICANTS IN											
INCOME RANGE	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.8%	96.8%	79.0%	20.6%	90.8%

\*\*NOTE: REJECTED APPLICANTS ARE NOT INCLUDED

# Table 14: Federal Pell Grant Recipient Enrollment Status by Type and Control of Institution

Table 14 shows the distribution of Federal Pell Grant recipients by enrollment status and type and control of institution. For recipients whose progress is measured in credit hours, enrollment status is expressed as full-time, three-quarter-time, half-time and less than half-time. Recipients enrolled in clock hour programs are also depicted.

Recipients are Most Likely to Enroll Full-Time. About 67.6 percent of Federal Pell Grant recipients are enrolled full-time this year. Half-time recipients account for 5.6 percent of enrollment rate; three-quarter-time recipients account for 2.9 percent; and less than half-time recipients represent less than 1 percent of the enrollment rate.

Part-Time Enrollees Attend Programs of As shown in Figure 16, Shorter Length. enrollment status varies greatly by program For example, the majority of full-time students attend 4-year institutions (58.6 percent). As enrollment status declines from full-time, there is a decreasing likelihood of students attending 4year schools (28.1 percent of three-quarter enrollees, 21.2 percent of half-time enrollees, and 20.6 percent of less than half-time recipients), and an increasing likelihood of students attending less than 4-year programs (28.0 percent of full-timers, 56.6 percent of three-quarter-time enrollees, 43.3 percent of half-time enrollees, and 78.9 percent of less than half-time recipients). Because 4-year schools enrolling the largest numbers of students are public, most full-time enrollees (65.1 percent) attend public institutions; full-time students enroll in private and proprietary institutions at lower percent and 13.4 rates (21.5)percent, respectively). Three-quarter-time students tend to enroll most at public institutions (72.0 percent). Only 12.8 percent of three-quarter time students enroll in private institutions; 15.3 percent attend proprietary institutions. The majority of halftimers are enrolled in public institutions (53.8 percent). About one third of this group (35.5

percent) attend proprietary institutions; only 10.6 percent enroll in private institutions. Recipients enrolled less than half-time are predominantly at public institutions (92.9 percent); 6.6 percent are at private schools, and less than 1 percent at proprietary institutions.

Part-Time Students Mostly Independent. Enrollment status varies substantially by dependency status. Among full-time recipients, 49.3 percent are dependent and 50.7 percent are independent. Part-time recipients are much more likely to be independent: 78.0 percent of those enrolled three-quarter time; 83.3 percent of those enrolled half-time; and 86.3 percent of those enrolled less than half-time are independent.

Independent Students are Prominent At Clock-Hour Institutions. The discussion so far has focused on Federal Pell Grant recipients attending schools that measure progress using credit hours. Table 14 shows that 23.2 percent of 1995-96 recipients were enrolled in programs using the clock hour measure of progress. Nearly three-quarters of these recipients (72.1 percent) were independent.

Public institutions accounted for the highest percentage of clock-hour recipients (84.7 percent), followed by private institutions (10.3 percent) and proprietary institutions (5.0 percent).

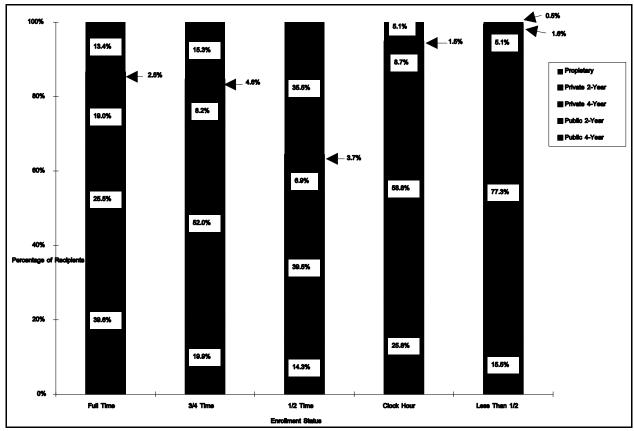


Figure 15: Distribution of Enrollment Status by Type and Control of Institution

TABLE 14

FEDERAL PELL GRANT RECIPIENT ENROLLMENT STATUS
BY TYPE AND CONTROL OF INSTITUTION
AWARD PERIOD 1995-96
ALL RECIPIENTS

	FULLTIME			THE	REE QUARTER	TIME	HALF TIME		
TYPE OF INSTITUTION:	TOTAL	DEPENDENT	INDEPENDENT	TOTAL	DEPENDENT	INDEPENDENT	TOTAL	DEPENDENT	INDEPENDENT
TOTAL PUBLIC INSTITUTIONS	1,590,321	794,154	796,167	75,796	17,773	58,023	109,059	15,798	93,261
FOUR YEAR	967,300	554,819	412,481	21,061	5,091	15,970	28,980	4,140	24,840
TWO YEAR	623,021	239,335	383,686	54,735	12,682	42,053	80,079	11,658	68,421
TOTAL PRIVATE, NON-PROFIT	526,084	322,060	204,024	13,410	2,582	10,828	21,619	3,604	18,015
FOUR YEAR	465,418	297,705	167,713	8,611	1,810	6,801	13,891	1,553	12,338
TWO YEAR	60,666	24,355	36,311	4,799	772	4,027	7,728	2,051	5,677
TOTAL PRIVATE, PROFIT-MAKING	326,479	87,340	239,139	16,069	2,799	13,270	71,976	14,245	57,731
TOTAL	2,442,884	1,203,554	1,239,330	105,275	23,154	82,121	202,654	33,647	169,007
	LE	SS THAN HALF	TIME		CLOCK HOUR			TOTAL	
TYPE OF INSTITUTION:	LE TOTAL		TIME INDEPENDENT	TOTAL		INDEPENDENT	TOTAL		INDEPENDENT
TYPE OF INSTITUTION: TOTAL PUBLIC INSTITUTIONS				<b>TOTAL</b> 709,954			<b>TOTAL</b> 2,506,028		INDEPENDENT 1,475,288
	TOTAL	DEPENDENT	INDEPENDENT		DEPENDENT	INDEPENDENT	-	DEPENDENT	
TOTAL PUBLIC INSTITUTIONS	TOTAL 20,898	<b>DEPENDENT</b> 2,889	INDEPENDENT 18,009	709,954	<b>DEPENDENT</b> 200,126	<b>INDEPENDENT</b> 509,828	2,506,028	<b>DEPENDENT</b> 1,030,740	1,475,288
TOTAL PUBLIC INSTITUTIONS FOUR YEAR	TOTAL 20,898 3,496	<b>DEPENDENT</b> 2,889 551	18,009 2,945	709,954 216,491	200,126 72,823	509,828 143,668	2,506,028 1,237,328	1,030,740 637,424	1,475,288 599,904
TOTAL PUBLIC INSTITUTIONS FOUR YEAR TWO YEAR	TOTAL 20,898 3,496 17,402	2,889 551 2,338	18,009 2,945 15,064	709,954 216,491 493,463	200,126 72,823 127,303	509,828 143,668 366,160	2,506,028 1,237,328 1,268,700	1,030,740 637,424 393,316	1,475,288 599,904 875,384
TOTAL PUBLIC INSTITUTIONS FOUR YEAR TWO YEAR TOTAL PRIVATE, NON-PROFIT	20,898 3,496 17,402 1,489	2,889 551 2,338 179	18,009 2,945 15,064 1,310	709,954 216,491 493,463 86,163	200,126 72,823 127,303 26,381	509,828 143,668 366,160 59,782	2,506,028 1,237,328 1,268,700 648,765	1,030,740 637,424 393,316 354,806	1,475,288 599,904 875,384 293,959
TOTAL PUBLIC INSTITUTIONS FOUR YEAR TWO YEAR TOTAL PRIVATE, NON-PROFIT FOUR YEAR	20,898 3,496 17,402 1,489 1,140	2,889 551 2,338 179 156	18,009 2,945 15,064 1,310 984	709,954 216,491 493,463 86,163 73,344	200,126 72,823 127,303 26,381 24,131	509,828 143,668 366,160 59,782 49,213	2,506,028 1,237,328 1,268,700 648,765 562,404	1,030,740 637,424 393,316 354,806 325,355	1,475,288 599,904 875,384 293,959 237,049

# Table 15: Summary Statistics for Federal Pell Grant Professional Judgement Filers

Table 15 provides summary statistics for the 0.08 percent of applicants who received professional judgement adjustments from their financial aid administrator. Professional judgements refer to the authority given to financial aid administrators to adjust an applicant's EFC or cost of education based on extenuating personal circumstances.

Most Professional Judgement Adjustments are Made on Dependents. Figure 18 shows that of those submitting valid professional judgement applications, significantly more are dependents than independents (74.6 percent versus 25.4 percent). And a consistently higher number of applicants with professional judgement adjustments who receive Federal Pell Grants are dependent (69.8 percent) than independent (30.2 percent).

Independents receive only 29.8 percent of the total expenditures for this group of applicants. This is consistent with the fact that a considerably higher number of dependent students receive professional judgement adjustments.

Students receiving professional judgement comprise 0.12 percent of recipients, 0.06 percent of independents, and 0.19 percent of dependents (not in this table). Expenditures of \$5 million for professional judgment recipients are 0.09 percent of all Federal Pell Grant expenditures. The average grant for all students receiving a professional judgement adjustment is \$1,208.

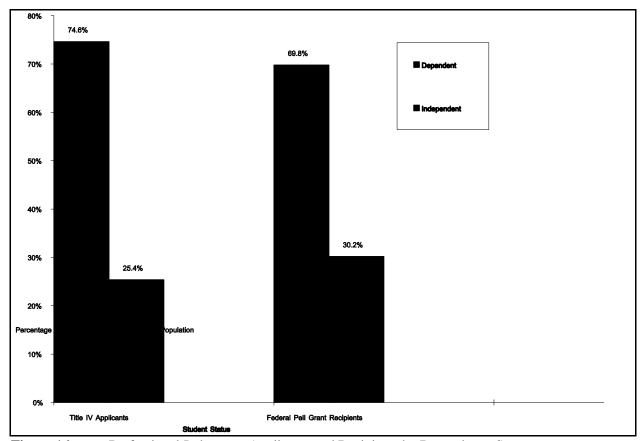


Figure 16: Professional Judgement Applicants and Recipients by Dependency Status

TABLE 15

SUMMARY STATISTICS FOR FEDERAL PELL GRANT APPLICANTS

PROFESSIONAL JUDGEMENT FILERS

AWARD PERIOD 1995-96

	DEPENDENT	INDEPENDENT	TOTAL
NUMBER OF TITLE IV APPLICANTS	5,193	2,119	7,312
NUMBER OF TITLE IV APPLICANTS SUBMITTING VALID APPLICATIONS	5,182	1,762	6,944
NUMBER AND PERCENT OF FEDERAL	3,026	1,359	4,385
PELL GRANT ELIGIBLE APPLICANTS	58.3%	64.1%	60.0%
NUMBER AND PERCENT OF FEDERAL	2,156	403	2,559
PELL GRANT INELIGIBLE APPLICANTS	41.5%	19.0%	35.0%
NUMBER AND PERCENT OFAPPLICATIONS RETURNED FOR	4	0	4
INSUFFICIENT DATA AND NEVER RE- SUBMITTED FOR PROCESSING	0.1%	0.0%	0.1%
NUMBER OF FEDERAL PELL GRANT RECIPIENTS	2,906	1,257	4,163
TOTAL EXPENDITURES	\$3,534,292	\$1,497,546	\$5,031,838
AVERAGE GRANT	\$1,216	\$1,191	\$1,209

#### Table 16:

#### Distribution of Verified Federal Pell Grant Recipients by Family Income and Grant Level

Table 16 presents family income and grant levels for recipients selected for verification, 33.2 percent of the recipient population.

A comparison of Table 16 with other tables indicates that recipients reporting lower incomes are less likely to be selected for verification than those with higher income. Of recipients reporting income of \$9,000 or less only 10.3 percent are selected for verification. By comparison, 60.9 percent of recipients reporting family income greater than \$20,000 are selected.

The distribution of grants among those selected for verification indicates that selected recipients are awarded slightly smaller grants. For example, 29.0 percent of the general recipient population receive the maximum grant of \$2,340 (not in this table). By comparison, only 19.9 percent of recipients selected for verification receive the maximum grant. About one third (30.9 percent) of selected applicants receive grants less than \$900; 26.2 percent of all recipients receive grants in this range (not in this table).

TABLE 16

DISTRIBUTION OF VERIFIED FEDERAL PELL GRANT RECIPIENTS

BY FAMILY INCOME AND GRANT LEVEL

ALL VERIFIED RECIPIENTS - AWARD YEAR 1995-96

#### **GRANT LEVEL**

FAMILY INCOME	\$1 - 299	\$300 - 599	\$600 - 899	\$900 - 1,199	\$1,200 - 1,499	\$1,500 - 1,799	\$1,800 - 2,099	\$2,100 - 2,339	\$2,340	TOTAL
LESS THAN \$1,001	272	914	1,035	2,833	778	1,514	1,284	1,025	8,971	18,626
R%	1.46	4.91	5.56	15.21	4.18	8.13	6.89	5.50	48.16	100.00
C%	0.57	0.52	0.71	1.54	0.72	1.26	1.21	1.44	3.77	1.56
\$1,001 - 3,000	306	1,107	1,311	3,774	832	1,902	1,429	878	11,942	23,481
R%	1.30	4.71	5.58	16.07	3.54	8.10	6.09	3.74	50.86	100.00
C%	0.64	0.63	0.90	2.05	0.77	1.58	1.35	1.23	5.02	1.96
\$3,001 - 6,000	830	3,326	4,556	8,577	5,236	6,429	5,605	3,378	15,786	53,723
R%	1.54	6.19	8.48	15.97	9.75	11.97	10.43	6.29	29.38	100.00
C%	1.75	1.89	3.13	4.65	4.82	5.36	5.30	4.74	6.64	4.49
\$6,001 - 9,000	5,611	14,912	10,086	10,224	3,197	4,408	3,332	2,247	19,788	73,805
R%	7.60	20.20	13.67	13.85	4.33	5.97	4.51	3.04	26.81	100.00
C%	11.82	8.46	6.92	5.54	2.95	3.67	3.15	3.15	8.32	6.16
\$9,001 - 15,000	7,090	22,153	18,875	34,064	11,860	17,778	14,893	11,934	83,123	221,770
R%	3.20	9.99	8.51	15.36	5.35	8.02	6.72	5.38	37.48	100.00
C%	14.94	12.57	12.96	18.46	10.93	14.81	14.08	16.75	34.95	18.52
\$15,001 - 20,000	5,785	19,898	19,069	34,425	16,831	23,503	27,553	23,627	57,551	228,242
R%	2.53	8.72	8.35	15.08	7.37	10.30	12.07	10.35	25.21	100.00
C%	12.19	11.29	13.09	18.65	15.51	19.58	26.05	33.16	24.20	19.06
\$20,001 - 30,000	15,220	58,579	56,978	63,491	50,714	52,369	45,171	25,748	37,598	405,868
R%	3.75	14.43	14.04	15.64	12.50	12.90	11.13	6.34	9.26	100.00
C%	32.07	33.23	39.11	34.40	46.73	43.63	42.70	36.13	15.81	33.90
\$30,001 - 40,000	9,067	37,442	25,181	21,610	16,090	10,811	6,006	2,207	2,694	131,108
R%	6.92	28.56	19.21	16.48	12.27	8.25	4.58	1.68	2.05	100.00
C%	19.11	21.24	17.28	11.71	14.83	9.01	5.68	3.10	1.13	10.95
\$40,001 +	3,275	17,929	8,598	5,543	2,981	1,326	506	212	394	40,764
R%	8.03	43.98	21.09	13.60	7.31	3.25	1.24	0.52	0.97	100.00
C%	6.90	10.17	5.90	3.00	2.75	1.10	0.48	0.30	0.17	3.40
TOTAL	47,456	176,260	145,689	184,541	108,519	120,040	105,779	71,256	237,847	1,197,387
R%	3.96	14.72	12.17	15.41	9.06	10.03	8.83	5.95	19.86	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

## Section 4:

Students by Application Source

#### Table 17:

# Summary Statistics by Application Source

Table 17 presents summary statistics by application source for all Title IV applicants. These statistics include information on official applicants, valid applicants (applicants less applications returned for insufficient data and never re-submitted), and applicants selected for verification, as well as data on Federal Pell Grant recipients by application source.

In 1995-96, a student applied for Title IV student aid in one of several ways. Application forms were sent to one of the three Multiple Data Entry (MDE) processors: American College Testing (ACT) Program; College Scholarship Service (CSS); or the Pennsylvania Higher Education Assistance Agency (PHEAA). A paper application could also be submitted to the Free Application for Federal Student Aid (FAFSA) processor. Finally, the student's school could transmit the application directly to the Central Processing System (CPS) using an electronic application or electronic renewal application process.

**FAFSA Used Most Often.** Looking at the percentage of official applicants using each form, the FAFSA processor is the most used at 48.7 percent, followed by the ACT (21.7 percent), electronic application (12.7 percent), CSS (12.0 percent), PHEAA (3.9 percent), and renewal application (1.1 percent).

Electronic Applicants Qualify at the Highest Rate. Figure 18 shows that the proportion of official applicants who qualify for grants varies considerably according to the application source. Students submitting electronic applications from their institutions qualify at the highest rate (69.5 percent), followed by students submitting electronic renewal applications (69.2 percent), FAFSAs (53.1 percent), ACT forms (50.1 percent), PHEAA forms (42.2 percent) and CSS forms (38.1 percent).

Qualified FAFSA Applicants Least Likely to Become Recipients. Only 73.4 percent of qualified students using the FAFSA become recipients, probably because many of them choose not to enroll in school. Just more than three-quarters of qualified applicants using electronic application (75.6 percent), ACT (76.2 percent), PHEAA (79.0 percent) and CSS (81.2 percent) receive grants. Due to the nature of the screening process at the school, eligible renewal applicants (90.7 percent) are most likely to receive grants.

The largest average grant went to students using renewal applications (\$1,714), probably because these applicants generally have lower incomes and many attend higher cost schools. The average grant of recipients using most other processors range from \$1,460 (electronic applications) to \$1,593 (CSS).

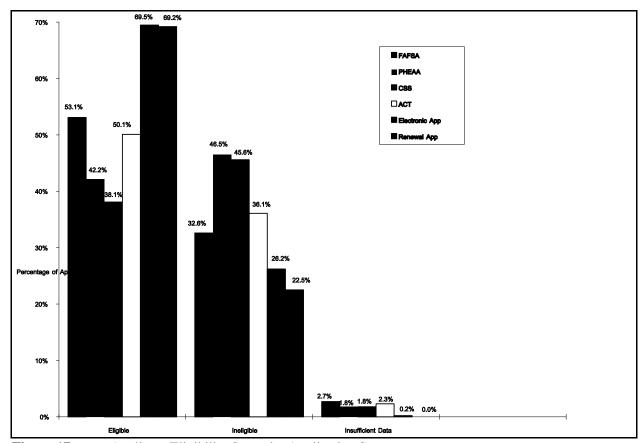


Figure 17: Applicant Eligibility Status by Application Source

TABLE 17
SUMMARY STATISTICS BY APPLICATION SOURCE
AWARD PERIOD 1995-96
ALL APPLICANTS

			ALLEGAL	ION SOUNCE			
	FAFSA	PHEAA	CSS	ACT	ELECTRONIC APP	RENEWAL APP	TOTAL
NUMBER OF TITLE IV APPLICANTS	4,440,820	355,886	1,089,997	1,973,843	1,159,845	97,362	9,117,753
NUMBER OF TITLE IV PELL GRANT APPLICANTS SUBMITTING VALID APPLICATIONS	3,806,511	315,741	912,309	1,702,578	1,108,943	89,254	7,935,336
NUMBER AND PERCENT OF FEDERAL	2,357,787	150,296	415,563	989,668	805,568	67,356	4,786,238
PELL GRANT ELIGIBLE APPLICANTS	53.09%	42.23%	38.13%	50.14%	69.45%	69.18%	52.49%
NUMBER AND PERCENT OF FEDERAL	1,448,724	165,445	496,746	712,910	303,375	21,898	3,149,098
PELL GRANT INELIGIBLE APPLICANTS	32.62%	46.49%	45.57%	36.12%	26.16%	22.49%	34.54%
NUMBER AND PERCENT OF APPLICATIONS RETURNED FOR	122,100	6,530	20,107	45,931	2,481	16	197,165
INSUFFICIENT DATA AND NEVER RE- SUBMITTED FOR PROCESSING	2.75%	1.83%	1.84%	2.33%	0.21%	0.02%	2.16%
NUMBER OF ELIGIBLE APPLICANTS SELECTED FOR VERIFICATION	956,859	65,247	227,013	423,532	179,627	22,069	1,874,347
NUMBER OF FEDERAL PELL GRANT RECIPIENTS	1,731,416	118,737	337,441	754,227	608,924	61,076	3,611,821
TOTAL EXPENDITURES	\$2,608,747,240	\$180,393,818	\$537,432,414	\$1,151,600,909	\$888,873,509	\$104,659,820	\$5,471,707,710
AVERAGE GRANT	\$1,507	\$1,519	\$1,593	\$1,527	\$1,460	\$1,714	\$1,515

#### Table 18:

#### Distribution of Federal Pell Grant Recipients by Family Income and Application Source

Table 18A: *Total*Table 18B: *Dependents*Table 18C: *Independents* 

Tables 18A, 18B, and 18C display the distribution of Federal Pell Grant recipients by family income for each application source. These tables present this information for total recipients, dependents, and independents, respectively.

Most Recipients Use Federal Form -- Paper or Electronic -- to Apply. Two-thirds of all recipients use one of the Federal forms -- either paper or electronic. The paper FAFSA is used by 47.9 percent, while the electronic application and electronic renewal application is used by 16.9 percent and 1.7 percent, respectively. About one-fifth of all recipients use ACT (20.9 percent), followed by CSS (9.3 percent), and PHEAA (3.3 percent).

**Both Independent and Dependent Recipients** are More Likely to Use the FAFSA. As illustrated in Figure 19, 46.4 percent of dependent recipients, and 49.0 percent of independent recipients use the FAFSA when applying for Federal Pell Grants. In contrast, only 2.0 percent of dependents, and 1.5 percent of independents use the renewal application. Figure 20 also demonstrates that recipients use other forms at different rates. For example, more independents than dependents used the electronic application: electronic filers accounted for 20.0 percent of of all independent recipients and 9.4 percent of dependent recipients use the electronic application. The reverse is true for other forms: more dependents than independents completed an application through CSS and PHEAA. Forty-five percent of ACT filers were dependent. CSS filers accounted for 14.5 percent of all dependents and 5.7 percent of all dependents; PHEAA filers comprised 4.1 percent of dependents and 2.7 percent of independents, and ACT filers represented 22.5 percent of dependents and 19.7 percent of independents

**Federal Form Recipients Have Lower Incomes.** The Federal forms -- both paper and electronic -- serve a lower income population than the three MDE forms. As noted, the majority of Federal form filers are independent, while the majority of MDE filers are dependent.

- The majority of electronic application (53.3 percent) recipients have family incomes of \$9,000 or less. Slightly fewer electronic renewal (47.0 percent) and FAFSA (45.2 percent) recipients are in this low income range. Only 18.3 percent of electronic application, 22.2 percent of renewal application, and 26.4 percent of FAFSA recipients report family incomes of \$20,000 or more.
- Fewer recipients among the other application sources are in the lowest income groups; 43.8 percent of ACT recipients, 33.8 percent of CSS recipients, and 42.2 percent of PHEAA recipients are from families with incomes of

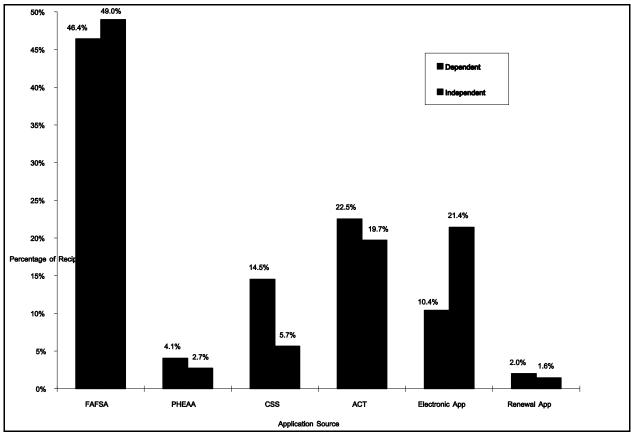


Figure 18: Distribution of Recipients' Application Source by Dependency Status

MDEs are in the highest income groups; 27.7 percent of ACT recipients, 35.0 percent of CSS recipients, and 33.1 percent of PHEAA recipients are from families with incomes of \$20,000 or more.

TABLE 18-A

# DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND APPLICATION SOURCE ALL RECIPIENTS - AWARD YEAR 1995-96

					ELECTRONIC	RENEWAL	TOTAL
FAMILY INCOME	FAFSA	PHEAA	css	ACT	APP	APP	
LESS THAN \$1,001	122,960	8,251	20,365	55,022	40,430	1,975	249,003
R%	49.38	3.31	8.18	22.10	16.24	0.79	100.00
C%	7.10	6.95	6.04	7.30	6.64	3.23	6.89
\$1,001 - 3,000	137,373	8,426	19,362	57,723	74,080	6,941	303,905
R%	45.20	2.77	6.37	18.99	24.38	2.28	100.00
C%	7.93	7.10	5.74	7.65	12.17	11.36	8.41
\$3,001 - 6,000	287,335	20,236	45,133	116,646	123,583	10,846	603,779
R%	47.59	3.35	7.48	19.32	20.47	1.80	100.00
C%	16.60	17.04	13.38	15.47	20.30	17.76	16.72
\$6,001 - 9,000	235,024	13,184	39,467	100,976	86,577	8,939	484,167
R%	48.54	2.72	8.15	20.86	17.88	1.85	100.00
C%	13.57	11.10	11.70	13.39	14.22	14.64	13.41
\$9,001 - 15,000	278,071	15,531	51,577	121,551	103,588	11,249	581,567
R%	47.81	2.67	8.87	20.90	17.81	1.93	100.00
C%	16.06	13.08	15.28	16.12	17.01	18.42	16.10
\$15,001 - 20,000	213,011	13,754	43,532	93,107	68,991	7,566	439,961
R%	48.42	3.13	9.89	21.16	15.68	1.72	100.00
C%	12.30	11.58	12.90	12.34	11.33	12.39	12.18
\$20,001 - 30,000	308,341	24,762	73,759	137,902	80,889	9,346	634,999
R%	48.56	3.90	11.62	21.72	12.74	1.47	100.00
C%	17.81	20.85	21.86	18.28	13.28	15.30	17.58
\$30,001 - 40,000	117,914	11,372	33,301	55,682	25,800	3,327	247,396
R%	47.66	4.60	13.46	22.51	10.43	1.34	100.00
C%	6.81	9.58	9.87	7.38	4.24	5.45	6.85
\$40,001 +	31,387	3,221	10,945	15,618	4,986	887	67,044
R%	46.82	4.80	16.33	23.30	7.44	1.32	100.00
C%	1.81	2.71	3.24	2.07	0.82	1.45	1.86
TOTAL	1,731,416	118,737	337,441	754,227	608,924	61,076	3,611,821
R%	47.94	3.29	9.34	20.88	16.86	1.69	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 18-B

# DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND APPLICATION SOURCE <u>DEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

					ELECTRONIC	RENEWAL	TOTAL
FAMILY INCOME	FAFSA	PHEAA	css	ACT	APP	APP	
LESS THAN \$1,001	25,943	1,820	6,912	12,768	4,869	353	52,665
R%	49.26	3.46	13.12	24.24	9.25	0.67	100.00
C%	3.73	3.00	3.17	3.79	3.13	1.16	3.52
\$1,001 - 3,000	22,309	1,361	4,872	9,532	8,958	1,533	48,565
R%	45.94	2.80	10.03	19.63	18.45	3.16	100.00
C%	3.21	2.24	2.24	2.83	5.75	5.05	3.24
\$3,001 - 6,000	53,998	3,999	14,290	22,860	19,388	3,763	118,298
R%	45.65	3.38	12.08	19.32	16.39	3.18	100.00
C%	7.77	6.58	6.56	6.78	12.45	12.39	7.90
\$6,001 - 9,000	61,736	3,981	18,038	28,102	18,217	3,847	133,921
R%	46.10	2.97	13.47	20.98	13.60	2.87	100.00
C%	8.88	6.55	8.29	8.33	11.70	12.67	8.94
\$9,001 - 15,000	126,090	8,821	36,985	61,368	32,844	6,413	272,521
R%	46.27	3.24	13.57	22.52	12.05	2.35	100.00
C%	18.13	14.52	16.99	18.19	21.09	21.12	18.20
\$15,001 - 20,000	115,609	9,455	34,904	56,124	24,693	4,997	245,782
R%	47.04	3.85	14.20	22.83	10.05	2.03	100.00
C%	16.63	15.56	16.03	16.64	15.85	16.46	16.42
\$20,001 - 30,000	183,395	18,966	61,808	91,457	31,746	6,243	393,615
R%	46.59	4.82	15.70	23.24	8.07	1.59	100.00
C%	26.37	31.22	28.39	27.11	20.38	20.56	26.29
\$30,001 - 40,000	80,105	9,388	29,610	41,442	11,853	2,459	174,857
R%	45.81	5.37	16.93	23.70	6.78	1.41	100.00
C%	11.52	15.45	13.60	12.29	7.61	8.10	11.68
\$40,001 +	26,172	2,966	10,283	13,656	3,178	756	57,011
R%	45.91	5.20	18.04	23.95	5.57	1.33	100.00
C%	3.76	4.88	4.72	4.05	2.04	2.49	3.81
TOTAL	695,357	60,757	217,702	337,309	155,746	30,364	1,497,235
R%	46.44	4.06	14.54	22.53	10.40	2.03	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00

TABLE 18-C

# DISTRIBUTION OF PELL GRANT RECIPIENTS BY FAMILY INCOME AND APPLICATION SOURCE INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

				I	ELECTRONIC	RENEWAL	TOTAL
FAMILY INCOME	FAFSA	PHEAA	css	ACT	APP	APP	
LESS THAN \$1,001	97,017	6,431	13,453	42,254	35,561	1,622	196,338
R%	49.41	3.28	6.85	21.52	18.11	0.83	100.00
C%	9.36	11.09	11.24	10.13	7.85	5.28	9.28
\$1,001 - 3,000	115,064	7,065	14,490	48,191	65,122	5,408	255,340
R%	45.06	2.77	5.67	18.87	25.50	2.12	100.00
C%	11.11	12.19	12.10	11.56	14.37	17.61	12.08
\$3,001 - 6,000	233,337	16,237	30,843	93,786	104,195	7,083	485,481
R%	48.06	3.34	6.35	19.32	21.46	1.46	100.00
C%	22.52	28.00	25.76	22.50	22.99	23.06	22.96
\$6,001 - 9,000	173,288	9,203	21,429	72,874	68,360	5,092	350,246
R%	49.48	2.63	6.12	20.81	19.52	1.45	100.00
C%	16.73	15.87	17.90	17.48	15.08	16.58	16.56
\$9,001 - 15,000	151,981	6,710	14,592	60,183	70,744	4,836	309,046
R%	49.18	2.17	4.72	19.47	22.89	1.56	100.00
C%	14.67	11.57	12.19	14.44	15.61	15.75	14.61
\$15,001 - 20,000	97,402	4,299	8,628	36,983	44,298	2,569	194,179
R%	50.16	2.21	4.44	19.05	22.81	1.32	100.00
C%	9.40	7.41	7.21	8.87	9.77	8.36	9.18
\$20,001 - 30,000	124,946	5,796	11,951	46,445	49,143	3,103	241,384
R%	51.76	2.40	4.95	19.24	20.36	1.29	100.00
C%	12.06	10.00	9.98	11.14	10.84	10.10	11.42
\$30,001 - 40,000	37,809	1,984	3,691	14,240	13,947	868	72,539
R%	52.12	2.74	5.09	19.63	19.23	1.20	100.00
C%	3.65	3.42	3.08	3.42	3.08	2.83	3.43
\$40,001 +	5,215	255	662	1,962	1,808	131	10,033
R%	51.98	2.54	6.60	19.56	18.02	1.31	100.00
C%	0.50	0.44	0.55	0.47	0.40	0.43	0.47
TOTAL	1,036,059	57,980	119,739	416,918	453,178	30,712	2,114,586
R%	49.00	2.74	5.66	19.72	21.43	1.45	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00	100.00

### Table 19:

#### Summary Statistics by Type and Control of Institution

Table 19 displays summary statistics by type and control of institution for the 1995-96 award year.

Most Schools Are Proprietary; Most Recipients Attend Public Schools. In 1995-96, there were 6,067 participating institutions. Participation in the Federal Pell Grant program varies considerably by the control of school (whether it is public, private, non-profit, or proprietary), and by the length of its educational program. Figure 20 depicts graphically the distribution of institutions by control.

- About 4 out of 10 schools (38.8 percent) are proprietary. On average, these schools are small, as the number of recipients and expenditures indicate. The average number of recipients per proprietary school is 194 (for all schools, the average is 595). Proprietary schools account for only 12.7 percent of the Federal Pell Grant recipients and 12.5 percent of total program expenditures.
- Public institutions are the next largest group, accounting for 3 out of 10 schools in the program (34.0 percent). These schools tend to be much larger than the proprietary institutions, accounting for 69.4 percent of all recipients and 68.7 percent of total program expenditures. The majority of public schools offer 2 year programs. These schools, which tend to be community colleges offering an associate degree, are smaller on average than their counterparts that offer programs lasting 4 years or more. An average of 1,045 recipients attend 2-year public schools (compared with an average of 1,650 at 4-year schools and an average of 2,542 at schools with programs over 4 years).

Private, non-profit schools are the fewest in number, accounting for one in four institutions participating in the program (27.2 percent). By comparison to their public counterparts, these schools are, on average, small in terms of the number of recipients. The average number per private school is 393. Recipients attending these schools accounted for 18.0 percent of the total. Most private non-profit schools offer programs of at least 4 years in duration. Four year schools account for 45.8 percent of the total, and schools with programs of at least 5 years in length account for 25.3 percent. These schools tend to be larger than their 2 year counterparts, with an average of 421 recipients at 4 year schools and an average of 587 at schools offering programs of 5 years or more.

Receive Larger Grants on Average. The average Federal Pell Grant in 1995-96 was \$1,515. The size of grants varied by control of institution. Grants at private non-profit schools averaged \$1,586 in 1995-96. Grants at public and proprietary schools averaged \$1,499.

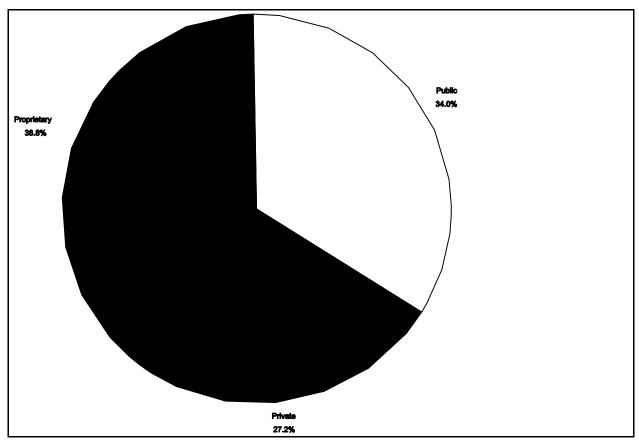


Figure 19: Institutions Participating in the Federal Pell Grant Program by Control of Institution

TABLE 19

SUMMARY STATISTICS BY TYPE & CONTROL OF INSTITUTION

AWARD PERIOD 1995-96

TYPE & CONTROL OF INSTITUION	NUMBER OF INSTITUTIONS	TOTAL EXPENDITURES	NUMBER OF RECIPIENTS	AVERAGE GRANT
ALL INSTITUTIONS	6,067	\$5,471,707,710	3,611,821	\$1,515
5 YEARS OR MORE	800	\$1,914,786,636	1,203,715	\$1,591
4 YEARS-LESS THAN 5 YEARS	965	\$998,062,609	629,205	\$1,586
3 YEARS-LESS THAN 4 YEARS	153	\$53,901,590	35,926	\$1,500
2 YEARS-LESS THAN 3 YEARS	1,837	\$1,964,767,668	1,382,436	\$1,421
1 YEAR-LESS THAN 2 YEARS	1,443	\$335,470,169	229,203	\$1,464
6 MONTHS-LESS THAN 1 YEAR	869	\$204,719,038	131,336	\$1,559
TOTAL PUBLIC	2,060	\$3,757,333,847	2,505,928	\$1,499
5 YEARS OR MORE	375	\$1,512,770,256	953,388	\$1,587
4 YEARS-LESS THAN 5 YEARS	172	\$455,430,309	283,840	\$1,605
3 YEARS-LESS THAN 4 YEARS	12	\$12,735,199	8,191	\$1,555
2 YEARS-LESS THAN 3 YEARS	1,155	\$1,709,401,637	1,207,042	\$1,416
1 YEAR-LESS THAN 2 YEARS	284	\$58,096,776	47,319	\$1,228
6 MONTHS-LESS THAN 1 YEAR	62	\$8,899,670	6,148	\$1,448
TOTAL PRIVATE, NON-PROFIT	1,650	\$1,029,106,516	648,765	\$1,586
5 YEARS OR MORE	417	\$393,419,298	244,635	\$1,608
4 YEARS-LESS THAN 5 YEARS	755	\$503,939,708	317,769	\$1,586
3 YEARS-LESS THAN 4 YEARS	86	\$19,156,803	12,347	\$1,552
2 YEARS-LESS THAN 3 YEARS	292	\$61,841,056	43,106	\$1,435
1 YEAR-LESS THAN 2 YEARS	79	\$36,028,994	22,419	\$1,607
6 MONTHS-LESS THAN 1 YEAR	21	\$14,720,657	8,489	\$1,734
TOTAL PRIVATE, PROFIT-MAKING	2,357	\$685,267,347	457,128	\$1,499
5 YEARS OR MORE	8	\$8,597,082	5,692	\$1,510
4 YEARS-LESS THAN 5 YEARS	38	\$38,692,592	27,596	\$1,402
3 YEARS-LESS THAN 4 YEARS	55	\$22,009,588	15,388	\$1,430
2 YEARS-LESS THAN 3 YEARS	390	\$193,524,975	132,288	\$1,463
1 YEAR-LESS THAN 2 YEARS	1,080	\$241,344,399	159,465	\$1,513
6 MONTHS-LESS THAN 1 YEAR	786	\$181,098,711	116,699	\$1,552

#### Table 20:

Federal Pell Grant Expenditures, Recipients, and Average Grant by Type and Control of Institution

Table 20 provides information on Federal Pell Grant expenditures, recipients, and average grant by type and control of institution for total, dependent and independent recipients.

Six Out of Every 10 Federal Pell Grant Dollars Go To Independents. Independents represent 58.5 percent of the total recipient population, and received 57.5 percent of the \$5.47 billion disbursed in the Federal Pell Grant Program in 1995-96. The majority of expenditures (68.7 percent) are directed to recipients at public institutions. At private non-profit schools, independents account for a slight minority (45.3 percent) of the population and expenditures (44.4 percent). At proprietary institutions, where they account for a vast majority of the recipient population (75.6 percent), independents receive 75.5 percent of Federal Pell Grant expenditures.

Independents with Dependents Receive Similar Grants As Dependents. Independents with dependents receive similar grants on average as dependents. The average grant to this group of independents is \$1,527, while the average to dependents is \$1,554. Independents without dependents receive smaller grants on average (\$1,403). Figure 21 illustrates average grants by type and control of institution and dependency status.

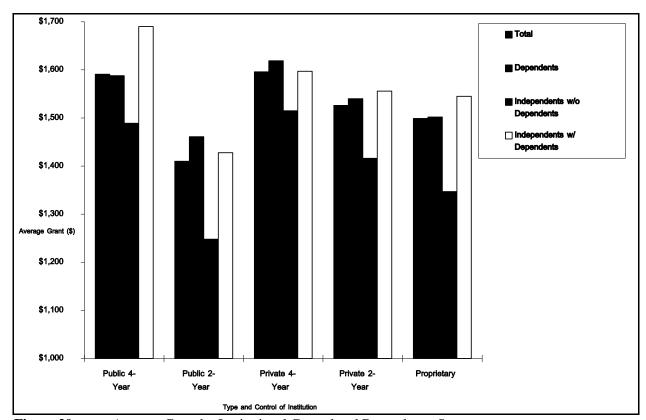


Figure 20: Average Grant by Institutional Control and Dependency Status

TABLE 20
FEDERAL PELL GRANT EXPENDITURES, RECIPIENTS, AND AVERAGE GRANT BY TYPE AND CONTROL OF INSTITUTION AWARD PERIOD 1995-96

#### **ALL INSTITUTIONS**

TYPE OF INSTITUTION	PU	BLIC INSTITUTIONS	3	PRI	VATE, NON-PROFIT		PRIVATE, PROFIT-MAKING	TOTAL
	TOTAL	4-YEAR	2-YEAR	TOTAL	4-YEAR	2-YEAR	PROFIT-WAKING	
TOTAL EXPENDITURES								
TOTAL	\$3,757,333,057	\$1,968,199,775	\$1,789,133,282	\$1,029,106,516	\$897,359,006	\$131,747,510	\$685,267,347	\$5,471,706,920
DEPENDENTS	\$1,586,785,518	\$1,012,009,813	\$574,775,705	\$572,063,349	\$526,706,906	\$45,356,443	\$167,748,440	\$2,326,597,307
INDEPENDENTS W/O DEPENDENTS	\$672,649,941	\$424,358,777	\$248,291,164	\$168,341,269	\$146,516,346	\$21,824,923	\$110,407,147	\$951,398,357
INDEPENDENTS W/ DEPENDENTS	\$1,497,897,598	\$531,831,185	\$966,066,413	\$288,701,898	\$224,135,754	\$64,566,144	\$407,111,760	\$2,193,711,256
TOTAL RECIPIENTS								
TOTAL	2,505,927	1,237,227	1,268,700	648,765	562,404	86,361	457,128	3,611,820
DEPENDENTS	1,030,740	637,424	393,316	354,806	325,355	29,451	111,689	1,497,235
INDEPENDENTS W/O DEPENDENTS	484,008	285,023	198,985	112,087	96,679	15,408	81,985	678,080
INDEPENDENTS W/ DEPENDENTS	991,179	314,780	676,399	181,872	140,370	41,502	263,454	1,436,505
AVERAGE GRANT								
TOTAL	\$1,499	\$1,591	\$1,410	\$1,586	\$1,596	\$1,526	\$1,499	\$1,515
DEPENDENTS	\$1,539	\$1,588	\$1,461	\$1,612	\$1,619	\$1,540	\$1,502	\$1,554
INDEPENDENTS W/O DEPENDENTS	\$1,390	\$1,489	\$1,248	\$1,502	\$1,515	\$1,416	\$1,347	\$1,403
INDEPENDENTS W/ DEPENDENTS	\$1,511	\$1,690	\$1,428	\$1,587	\$1,597	\$1,556	\$1,545	\$1,527

#### Table 21:

Distribution of
Federal Pell Grant
Recipients and
Average Grant by
Grant Level and Type
and Control of
Institution

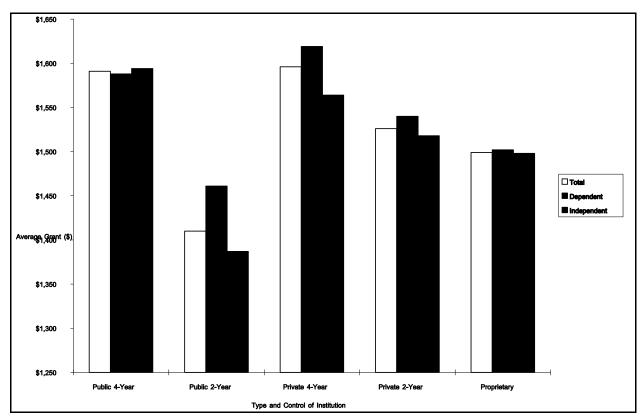
Table 21A: *Total*Table 21B: *Dependent*Table 21C: *Independent* 

Tables 21A, 21B, and 21C present the distribution of recipients by grant level and type and control of institution. Grant levels are presented in \$300 ranges; the average grant is also shown.

#### Largest Grants at Private Four Year Schools.

Table 21A and Figure 22 indicate that students at 4 year private non-profit institutions receive, on average, the largest grants (\$1,596). The smallest grants on average go to students attending 2-year public institutions (\$1,410). Recipients at proprietary schools receive the next smallest grant on average (\$1,499), followed by recipients at 2-year private non-profit schools (\$1,526) and those at 4-year public institutions (\$1,591).

The distribution of maximum grants also varies by type of institution. Recipients at public 4-year institutions are most likely to receive the maximum award (32.7 percent), followed by those attending private non-profit 4-year schools (32.5 percent), proprietary schools (30.4 percent), and private non-profit 2-year schools (29.3 percent). Recipients attending public 2-year institutions are least likely to receive the maximum grant (23.3 percent).



**Figure 21:** Average Grant by Type and Control of Institution and Dependency Status

TABLE 21-A

DISTRIBUTION OF PELL GRANT RECIPIENTS AND AVERAGE GRANT
BY GRANT LEVEL AND TYPE & CONTROL OF INSTITUTION

ALL RECIPIENTS - AWARD YEAR 1995-96

		TYP	E OF INSTITUTION	ON		
GRANT LEVEL	PUBLI	С	PRIVA	ΓE	PROPRIETARY	TOTAL
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	
\$1 - 299	30,886	71,627	12,033	2,861	14,248	131,655
R%	23.46	54.41	9.14	2.17	10.82	100.00
C%	2.50	5.65	2.14	3.31	3.12	3.65
AVE-GRANT	\$224	\$218	\$225	\$218	\$208	\$219
\$300 - 599	141,615	176,722	63,568	9,027	41,127	432,059
R%	32.78	40.90	14.71	2.09	9.52	100.00
C%	11.45	13.93	11.30	10.45	9.00	11.96
AVE-GRANT	\$476	\$497	\$475	\$482	\$470	\$484
<b>\$600 - 899</b>	117,968	148,395	53,046	9,429	55,373	384,211
R%	30.70	38.62	13.81	2.45	14.41	100.00
C%	9.53	11.70	9.43	10.92	12.11	10.64
AVE-GRANT	\$786	\$795	\$789	\$781	\$770	\$788
\$900 - 1,199	177,070	218,649	84,321	15,289	105,611	600,940
R%	29.47	36.38	14.03	2.54	17.57	100.00
C%	14.31	17.23	14.99	17.70	23.10	16.64
AVE-GRANT	\$1,117	\$1,127	\$1,120	\$1,123	\$1,132	\$1,124
\$1,200 - 1,499	91,051	94,894	41,715	5,931	22,089	255,680
R%	35.61	37.11	16.32	2.32	8.64	100.00
C%	7.36	7.48	7.42	6.87	4.83	7.08
AVE-GRANT	\$1,391	\$1,397	\$1,393	\$1,389	\$1,367	\$1,392
\$1,500 - 1,799	107,971	126,129	48,405	8,348	43,022	333,875
R%	32.34	37.78	14.50	2.50	12.89	100.00
C%	8.73	9.94	8.61	9.67	9.41	9.24
AVE-GRANT	\$1,686	\$1,692	\$1,692	\$1,657	\$1,620	\$1,680
\$1,800 - 2,099	99,444	94,692	45,885	6,803	23,143	269,967
R%	36.84	35.08	17.00	2.52	8.57	100.00
C%	8.04	7.46	8.16	7.88	5.06	7.47
AVE-GRANT	\$1,996	\$2,005	\$1,998	\$1,972	\$1,963	\$1,996
\$2,100 - 2,339	66,447	41,518	30,794	3,331	13,509	155,599
R%	42.70	26.68	19.79	2.14	8.68	100.00
C%	5.37	3.27	5.48	3.86	2.96	4.31
AVE-GRANT	\$1,958	\$1,967	\$1,966	\$1,928	\$1,960	\$1,954
\$2,340	404,776	296,074	182,637	25,342	139,006	1,047,835
R%	38.63	28.26	17.43	2.42	13.27	100.00
C%	32.72	23.34	32.47	29.34	30.41	29.01
AVE-GRANT	\$2,340	\$2,340	\$2,340	\$2,340	\$2,340	\$2,340
TOTAL	1,237,228	1,268,700	562,404	86,361	457,128	3,611,821
R%	34.25	35.13	15.57	2.39	12.66	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00
AVE-GRANT	\$1,591	\$1,410	\$1,596	\$1,526	\$1,499	\$1,515

TABLE 21-B

DISTRIBUTION OF PELL GRANT RECIPIENTS AND AVERAGE GRANT
BY GRANT LEVEL AND TYPE & CONTROL OF INSTITUTION

<u>DEPENDENT RECIPIENTS</u> - AWARD YEAR 1995-96

		TYI	PE OF INSTITUTION	ON		
GRANT LEVEL	PUBLI	С	PRIVA	TE	PROPRIETARY	TOTAL
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	
\$1 - 299	12,454	18,430	4,244	755	3,928	39,811
R%	31.28	46.29	10.66	1.90	9.87	100.00
C%	1.95	4.69	1.30	2.56	3.52	2.66
AVE-GRANT	\$225	\$217	\$227	\$221	\$209	\$220
\$300 - 599	77,245	50,142	37,536	3,299	11,205	179,427
R%	43.05	27.95	20.92	1.84	6.24	100.00
C%	12.12	12.75	11.54	11.20	10.03	11.98
AVE-GRANT	\$468	\$483	\$468	\$470	\$467	\$472
\$600 - 899	62,144	43,840	30,149	3,189	13,647	152,969
R%	40.63	28.66	19.71	2.08	8.92	100.00
C%	9.75	11.15	9.27	10.83	12.22	10.22
AVE-GRANT	\$785	\$791	\$788	\$780	\$771	\$786
\$900 - 1,199	83,052	64,644	42,902	4,523	22,423	217,544
R%	38.18	29.72	19.72	2.08	10.31	100.00
C%	13.03	16.44	13.19	15.36	20.08	14.53
AVE-GRANT	\$1,108	\$1,121	\$1,112	\$1,116	\$1,128	\$1,115
\$1,200 - 1,499	52,259	30,622	26,773	2,587	6,147	118,388
R%	44.14	25.87	22.61	2.19	5.19	100.00
C%	8.20	7.79	8.23	8.78	5.50	7.91
AVE-GRANT	\$1,389	\$1,391	\$1,390	\$1,389	\$1,374	\$1,389
\$1,500 - 1,799	55,929	38,074	28,341	2,643	9,919	134,906
R%	41.46	28.22	21.01	1.96	7.35	100.00
C%	8.77	9.68	8.71	8.97	8.88	9.01
AVE-GRANT	\$1,685	\$1,692	\$1,691	\$1,666	\$1,628	\$1,683
\$1,800 - 2,099	54,929	33,248	28,733	2,423	5,973	125,306
R%	43.84	26.53	22.93	1.93	4.77	100.00
C%	8.62	8.45	8.83	8.23	5.35	8.37
AVE-GRANT	\$1,994	\$2,004	\$1,996	\$1,978	\$1,974	\$1,996
\$2,100 - 2,339	45,706	17,875	23,870	1,818	4,546	93,815
R%	48.72	19.05	25.44	1.94	4.85	100.00
C%	7.17	4.54	7.34	6.17	4.07	6.27
AVE-GRANT	\$2,246	\$2,241	\$2,247	\$2,246	\$2,231	\$2,245
\$2,340	193,706	96,441	102,807	8,214	33,901	435,069
R%	44.52	22.17	23.63	1.89	7.79	100.00
C%	30.39	24.52	31.60	27.89	30.35	29.06
AVE-GRANT	\$2,340	\$2,340	\$2,340	\$2,340	\$2,340	\$2,340
TOTAL	637,424	393,316	325,355	29,451	111,689	1,497,235
R%	42.57	26.27	21.73	1.97	7.46	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00
AVE-GRANT	\$1,588	\$1,461	\$1,619	\$1,540	\$1,502	\$1,554

TABLE 21-C

DISTRIBUTION OF PELL GRANT RECIPIENTS AND AVERAGE GRANT
BY GRANT LEVEL AND TYPE & CONTROL OF INSTITUTION

INDEPENDENT RECIPIENTS - AWARD YEAR 1995-96

GRANT LEVEL	PUBLIC		PRIVA <sup>-</sup>	TE	PROPRIETARY	TOTAL
	4 YEAR	2 YEAR	4 YEAR	2 YEAR	TOTAL	
<b>A</b> 4 <b>A</b> 00						
\$1 - 299	18,432	53,197	7,789	2,106	10,320	91,844
R%	20.07	57.92	8.48	2.29	11.24	100.00
C%	3.07	6.08	3.29	3.70	2.99	4.34
AVE-GRANT \$300 - 599	\$224	\$218	\$224	\$217 5.739	\$208	\$219 252,632
<b>\$300 - 599</b> R%	<b>64,370</b> 25.48	<b>126,580</b> 50.10	<b>26,032</b> 10.30	<b>5,728</b> 2.27	<b>29,922</b> 11.84	100.00
C%	10.73	14.46	10.30	10.07	8.66	11.95
AVE-GRANT	\$484	\$503	\$484	\$488	\$471	\$492
\$600 - 899	55,824	104,555	22,897	6,240	41,726	231,242
<b>жооо - оээ</b> R%	24.14	45.21	9.90	2.70	18.04	100.00
C%	9.31	11.94	9.66	10.96	12.08	10.94
AVE-GRANT	\$787	\$797	\$791	\$ <b>781</b>	\$769	\$789
\$900 - 1,199	94,018	154,005	41,419	10,766	83,188	383,396
Ψ <b>300</b> - 1,133 R%	24.52	40.17	10.80	2.81	21.70	100.00
C%	15.67	17.59	17.47	18.92	24.08	18.13
AVE-GRANT	\$1,125	\$1,129	\$1,129	\$1,126	\$1,133	\$1,129
\$1,200 - 1,499	38,792	64,272	14,942	3,344	15,942	137,292
R%	28.26	46.81	10.88	2.44	11.61	100.00
C%	6.47	7.34	6.30	5.88	4.61	6.49
AVE-GRANT	\$1,394	\$1,400	\$1,397	\$1,388	\$1,364	\$1,394
\$1,500 - 1,799	52,042	88,055	20,064	5,705	33,103	198,969
R%	26.16	44.26	10.08	2.87	16.64	100.00
C%	8.68	10.06	8.46	10.02	9.58	9.41
AVE-GRANT	\$1,688	\$1,692	\$1,694	\$1,653	\$1,617	\$1,677
\$1,800 - 2,099	44,515	61,444	17,152	4,380	17,170	144,661
R%	30.77	42.47	11.86	3.03	11.87	100.00
C%	7.42	7.02	7.24	7.70	4.97	6.84
AVE-GRANT	\$2,000	\$2,005	\$2,000	\$1,968	\$1,960	\$1,996
\$2,100 - 2,339	20,741	23,643	6,924	1,513	8,963	61,784
R%	33.57	38.27	11.21	2.45	14.51	100.00
C%	3.46	2.70	2.92	2.66	2.59	2.92
AVE-GRANT	\$2,229	\$2,223	\$2,229	\$2,212	\$2,219	\$2,225
\$2,340	211,070	199,633	79,830	17,128	105,105	612,766
R%	34.45	32.58	13.03	2.80	17.15	100.00
C%	35.19	22.81	33.68	30.10	30.43	28.98
AVE-GRANT	\$2,340	\$2,340	\$2,340	\$2,340	\$2,340	\$2,340
TOTAL	599,804	875,384	237,049	56,910	345,439	2,114,586
R%	28.37	41.40	11.21	2.69	16.34	100.00
C%	100.00	100.00	100.00	100.00	100.00	100.00
AVE-GRANT	\$1,594	\$1,387	\$1,564	\$1,518	\$1,498	\$1,487

Section 6: Title IV
Applicants and
Federal Pell Grant
Recipients by State

### Table 22

#### Distribution of Federal Pell Grant Recipients by State and Control of Institution

Table 22 presents the distribution of Federal Pell Grant recipients by state and control of institution.

The number of Federal Pell Grant recipients enrolled in postsecondary institutions varies greatly from state to state. In general, the size of a state's population is directly correlated to the number of Federal Pell Grant recipients enrolled in institutions within that state. For example, New York and California together have 737,000 recipients (20.4 percent of the total) attending schools within their boundaries. In contrast, one jurisdiction and six states have fewer than 10,200 recipients each, and account for only 1.4 percent of total recipients: District of Columbia, Vermont, Nevada, Wyoming, Hawaii, Delaware, and Alaska.

Disproportionate Pell Enrollments Within States by Control of Institution. An examination of general enrollment patterns from Table 22 shows that 69.4 percent of all recipients attend public institutions, 18.0 percent attend private non-profit institutions, and 12.7 percent attend proprietary institutions. However, there is considerable variations among the states in the control of institution attended by Federal Pell Grant recipients.

- In eleven states, more than four fifths of the Federal Pell Grant recipients are enrolled in public institutions. These states, with the percentages of Federal Pell Grant recipients in public institutions, are: New Mexico (90.9 percent), Wyoming (87.8 percent), Mississippi (86.8 percent), Montana (85.9 percent), Alaska (84.6 percent), Alabama (84.0 percent), Louisiana (82.9 percent), Arkansas (82.5 percent), Oklahoma (82.0 percent), Washington (80.4 percent), and Oregon (80.3 percent).
- In two jurisdictions and three states, a disproportionately high number of Federal Pell recipients are enrolled in private non-profit institutions. These are: the District of Columbia (58.0 percent), Puerto Rico (52.6 percent), Massachusetts (40.9 percent), Rhode Island (41.1 percent), and Vermont (37.9 percent).
- In three states and two jurisdictions, higher than average percentages of students attend proprietary institutions. These are: Arizona (28.4 percent), District of Columbia (26.4 percent) Connecticut (24.3 percent), Nevada (23.8 percent), and Puerto Rico (22.7 percent),

## DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS BY STATE AND CONTROL OF INSTITUTION AWARD PERIOD 1995-96

	PUBLIC		PRIVATE		PROPRIETARY		TOTAL	
<del>-</del>	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL
	RECIPIENTS	EXPENDITURES	RECIPIENTS	EXPENDITURES	RECIPIENTS	EXPENDITURES	RECIPIENTS	EXPENDITURES
ALABAMA	58,329	\$83,849,429	7,669	\$12,829,940	3,435	\$5,060,438	69,433	\$101,739,807
ALASKA	3,444	5,534,042	164	260,295	451	522,825	4,059	6,317,162
ARIZONA	47,836	70,893,841	2,617	4,026,276	19,964	28,056,623	70,417	102,976,740
ARKANSAS	28,913	44,634,796	4,726	7,415,564	1,408	2,163,608	35,047	54,213,968
CALIFORNIA	304,683	489,282,385	41,407	66,194,466	60,312	98,337,739	406,402	653,814,590
COLORADO	37,759	55,557,998	2,303	3,290,129	7,269	10,046,141	47,331	68,894,268
CONNECTICUT	13,333	18,358,494	5,166	7,947,330	5,952	7,693,303	24,451	33,999,127
DELAWARE	4,651	6,349,069	787	1,107,393	599	843,621	6,037	8,300,083
DISTRICT OF COLUMBIA	1,585	2,338,778	5,891	9,364,408	2,680	3,509,049	10,156	15,212,235
FLORIDA	125,098	186,770,509	19,875	30,904,626	32,215	49,636,951	177,188	267,312,086
GEORGIA	68,697	87,922,182	14,563	22,969,607	9,508	14,089,843	92,768	124,981,632
HAWAII	6,074	9,053,096	1,474	2,211,951	520	781,378	8,068	12,046,425
IDAHO	13,834	21,433,288	4,494	6,935,557	909	1,386,427	19,237	29,755,272
ILLINOIS	91,056	129,997,222	28,119	41,969,467	14,005	21,383,279	133,180	193,349,968
INDIANA	47,135	66,837,253	11,108	16,027,763	8,787	12,413,579	67,030	95,278,595
IOWA	30,463	43,202,158	12,168	17,334,475	2,494	3,516,778	45,125	64,053,411
KANSAS	31,085	44,742,226	7,239	10,179,196	1,949	2,803,294	40,273	57,724,716
KENTUCKY	43,906	67,351,819	7,879	12,674,780	6,657	9,846,835	58,442	89,873,434
LOUISIANA	63,629	102,611,685	6,235	10,177,849	6,925	10,660,291	76,789	123,449,825
MAINE	9,884	14,687,212	2,187	3,302,299	1,844	2,449,211	13,915	20,438,722
MARYLAND	39,493	56,162,035	5,287	7,478,700	5,922	8,370,974	50,702	72,011,709
MASSACHUSETTS	38,337	56,426,804	29,795	45,114,454	4,801	6,741,886	72,933	108,283,144
MICHIGAN	80,091	110,780,034	25,154	34,530,725	9,716	13,913,430	114,961	159,224,189
MINNESOTA	48,484	66,970,541	9,957	14,010,916	4,230	5,423,817	62,671	86,405,274
MISSISSIPPI	42,331	68,643,750	4,409	7,130,793	2,028	3,120,787	48,768	78,895,330
MISSOURI	43,112	61,478,175	18,029	26,660,452	8,717	12,935,912	69,858	101,074,539
MONTANA	13,586	21,075,715	1,415	2,315,803	815	1,233,305	15,816	24,624,823
NEBRASKA	19,518	26,145,239	4,411	6,289,696	2,004	2,832,130	25,933	35,267,065
NEVADA	5,928	8,199,525	99	153,696	1,884	2,960,953	7,911	11,314,174
NEW HAMPSHIRE	6,459	9,111,816	3,471	4,850,662	2,331	3,187,509	12,261	17,149,987
NEW JERSEY	49,339	76,539,742	8,638	14,098,316	12,573	17,101,564	70,550	107,739,622
NEW MEXICO	27,239	41,580,876	1,061	1,571,500	1,663	2,485,827	29,963	45,638,203
NEW YORK	192,961	310,026,427	92,096	153,290,077	46,375	70,194,446	331,432	533,510,950
NORTH CAROLINA	61,044	87,412,009	14,241	22,730,693	2,326	3,278,381	77,611	113,421,083
NORTH DAKOTA	10,716	15,861,042	2,049	3,292,450	766	1,076,161	13,531	20,229,653
OHIO	96,189	137,942,418	20,657	30,599,203	16,203	22,434,811	133,049	190,976,432
OKLAHOMA	48,199	73,324,329	5,410	8,333,166	5,199	7,706,965	58,808	89,364,460
OREGON	28,604	41,936,846	4,095	6,108,905	2,901	4,063,753	35,600	52,109,504
PENNSYLVANIA	79,192	117,774,906	35,791	54,956,218	27,754	40,869,460	142,737	213,600,584
PUERTO RICO	39,933	77,359,257	84,715	158,501,194	36,509	60,872,032	161,157	296,732,483
RHODE ISLAND	7,404	10,329,689	6,776	9,815,589	2,308	3,247,804	16,488	23,393,082
SOUTH CAROLINA	36,680	50,125,386	8,662	14,273,945	3,670	5,010,407	49,012	69,409,738
SOUTH DAKOTA	10,696	15,771,014	1,802	2,688,681	1,559	2,141,205	14,057	20,600,900
TENNESSEE	45,457	68,088,960	12,016	18,834,166	6,610	9,125,779	64,083	96,048,905
TEXAS	193,787	286,989,187	21,548	33,368,278	31,633	47,795,201	246,968	368,152,666
UTAH	27,305	38,047,400	9,520	13,453,043	2,745	3,742,657	39,570	55,243,100
VERMONT	5,050	6,716,131	3,211	4,799,960	208	334,267	8,469	11,850,358
VIRGINIA	49,922	73,352,128	9,273	14,042,170	13,089	18,987,453	72,284	106,381,751
WASHINGTON	52,626	80,278,022	5,946	9,072,651	6,524	9,627,586	65,096	98,978,259
WEST VIRGINIA	19,306	31,009,769	3,736	6,082,473	3,404	5,405,266	26,446	42,497,508
WISCONSIN	43,506	61,836,203	9,078	12,961,474	1,866	2,562,928	54,450	77,360,605
WYOMING	6,548	9,795,593	9,078	12,961,474	912	1,281,478	7,460	11,077,071
ALL OTHERS	5,492	8,835,397	346	573,096	0	1,201,470	5,838	9,408,493
TOTAL	2,505,928	\$3,757,333,847		\$1,029,106,516	457,128	\$685,267,347	3,611,821	\$5,471,707,710

#### Table 23:

Distribution of
Federal Pell Grant
Recipients by
Recipient's State of
Legal Residence and
Control of Institution

Table 23 presents the distribution of Federal Pell Grant recipients by the recipient's state of legal residence and control of institution attended in 1995-96.

Eight States and One Jurisdiction Account for More Than Half of Expenditures. In general, the larger a state's population, the larger the number of Federal Pell Grant recipients residing in that state. Eight large states and one jurisdiction account for 52.3 percent of Federal Pell Grant recipients, with more than 100,000 each. In descending order these are: California, New York, Texas, Florida, Puerto Rico, Illinois, Pennsylvania, Ohio, and Michigan. The same states account for 53.8 percent of total expenditures.

In contrast, one jurisdiction and six states had fewer than 10,000 recipients: in descending order, Hawaii, Nevada, Vermont, Wyoming, Delaware, District of Columbia, and Alaska. The students residing in these states represent 1.4 percent of all recipients and 1.3 percent of all expenditures.

## DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS BY RECIPIENTS' STATE OF LEGAL RESIDENCE AND CONTROL OF INSTITUTION AWARD PERIOD 1995-96

	PUBLIC		PRIVATE		PROPRIETARY		TOTAL	
_	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL	TOTAL
	RECIPIENTS	EXPENDITURES	RECIPIENTS	EXPENDITURES	RECIPIENTS	EXPENDITURES	RECIPIENTS	EXPENDITURES
ALABAMA	52,726	\$75,251,308	6,182	\$10,105,338	3,329	\$4,861,364	62,237	\$90,218,010
ALASKA	3,967	6,205,985	617	875,279	635	781,791	5,219	7,863,055
ARIZONA	45,090	66,902,148	4,555	6,869,999	9,196	13,420,110	58,841	87,192,257
ARKANSAS	28,318	43,399,162	4,443	6,945,536	1,607	2,337,644	34,368	52,682,342
CALIFORNIA	309,221	496,526,931	46,454	74,757,356	65,743	106,011,315	421,418	677,295,602
COLORADO	36,062	53,150,420	3,846	5,579,971	7,095	9,876,570	47,003	68,606,961
CONNECTICUT	13,919	19,236,697	6,086	8,961,370	5,533	7,308,608	25,538	35,506,675
DELAWARE	4,022	5,363,624	1,007	1,447,646	643	853,031	5,672	7,664,301
DISTRICT OF COLUMBIA	2,330	3,590,733	1,524	2,513,056	1,646	2,440,657	5,500	8,544,446
FLORIDA	127,522	190,079,433	21,811	34,344,040	32,873	50,389,266	182,206	274,812,739
GEORGIA	69,287	89,227,098	12,707	19,781,236	8,462	12,707,990	90,456	121,716,324
HAWAII	6,081	9,089,629	1,829	2,787,890	907	1,307,137	8,817	13,184,656
IDAHO	13,974	21,502,766	3,777	5,658,559	1,119	1,652,090	18,870	28,813,415
ILLINOIS	94,976	136,542,965	30,772	46,380,641	16,776	25,328,976	142,524	208,252,582
INDIANA	46,485	65,833,080	10,151	14,685,661	6,861	9,823,140	63,497	90,341,881
IOWA	30,307	42,661,763	9,952	14,011,885	2,609	3,676,744	42,868	60,350,392
KANSAS	29,862	42,842,258	5,541	7,739,744	2,447	3,430,051	37,850	54,012,053
KENTUCKY	42,210	64,729,878	7,257	11,577,673	5,865	8,579,828	55,332	84,887,379
LOUISIANA	61,108	97,921,951	6,310	10,213,606	8,270	12,757,230	75,688	120,892,787
MAINE	10,309	15,228,838	4,005	5,950,476	2,333	3,110,824	16,647	24,290,138
MARYLAND	36,274	52,122,380	7,786	11,417,112	7,056	9,685,852	51,116	73,225,344
MASSACHUSETTS	38,518	56,663,319	23,798	35,305,492	6,383	8,736,220	68,699	100,705,031
MICHIGAN	80,819	111,940,942	27,201	37,744,754	10,620	14,993,806	118,640	164,679,502
MINNESOTA	48,128	66,461,247	9,472	13,288,470	4,442	5,656,879	62,042	85,406,596
MISSISSIPPI	41,280	66,880,914	4,561	7,418,288	2,744	4,154,681	48,585	78,453,883
MISSOURI	43,429	61,870,555	14,736	21,815,142	7,727	11,442,048	65,892	95,127,745
MONTANA	13,731	21,294,644	2,368	3,741,776	1,170	1,706,789	17,269	26,743,209
NEBRASKA	19,110	25,569,253	4,137	5,920,337	1,978	2,765,191	25,225	34,254,781
NEVADA	5,682	7,771,942	697	1,043,830	2,362	3,640,929	8,741	12,456,701
NEW HAMPSHIRE	5,971	8,408,265	3,413	4,737,149	1,850	2,526,280	11,234	15,671,694
NEW JERSEY	52,082	80,592,992	15,414	24,536,239	12,891	17,587,388	80,387	122,716,619
NEW MEXICO	26,747	40,990,385	1,639	2,540,556	3,051	4,662,826	31,437	48,193,767
NEW YORK	197,371	316,512,471	92,840	154,363,456	45,861	69,283,809	336,072	540,159,736
NORTH CAROLINA	58,849	84,326,722	10,498	16,480,897	3,882	5,359,148	73,229	106,166,767
NORTH DAKOTA	9,744	14,410,100	1,892	2,954,352	659	903,795	12,295	18,268,247
OHIO	96,481	138,345,203	20,978	31,008,535	16,760	23,364,293	134,219	192,718,031
OKLAHOMA	46,807	71,086,874	5,228	8,045,298	5,221	7,807,326	57,256	86,939,498
OREGON	28,024	40,982,980	5,333	7,833,369	3,226	4,556,838	36,583	53,373,187
PENNSYLVANIA	80,316	118,956,918	33,356	50,958,007	27,325	40,450,491	140,997	210,365,416
PUERTO RICO	41,599	80,377,009	84,976	158,879,139	36,483	60,829,977	163,058	300,086,125
RHODE ISLAND	7,280	10,164,155	3,132	4,510,896	1,932	2,758,415	12,344	17,433,466
SOUTH CAROLINA	35,719	48,997,337	9,362	15,434,796	3,989	5,529,236	49,070	69,961,369
SOUTH DAKOTA	10,080	14,863,797	1,896	2,841,533	1,035	1,509,754	13,011	19,215,084
TENNESSEE	44,276	65,964,123	8,519	13,154,268	5,796	8,223,109	58,591	87,341,500
TEXAS	192,824	286,208,747	23,913	36,925,193	33,444	50,236,106	250,181	373,370,046
UTAH	25,903	36,009,412	5,726	8,162,543	2,925	3,948,505	34,554	48,120,460
VERMONT	4,870	6,346,648	2,814	4,134,204	266	380,446	7,950	10,861,298
VIRGINIA	48,768	71,513,333	8,774	13,405,958	9,176	12,621,498	66,718	97,540,789
WASHINGTON	52,195	79,644,866	6,920	10,521,913	6,067	9,075,132	65,182	99,241,911
WEST VIRGINIA	17,741	28,294,775	3,823	6,157,621	3,232	5,039,213	24,796	39,491,609
WISCONSIN	43,672	61,834,066	9,453	13,358,982	2,361	3,220,266	55,486	78,413,314
WYOMING	6,460	9,649,789	522	760,667	359	534,199	7,341	10,944,655
ALL OTHERS	17,402	26,991,017	4,762	8,518,782	906	1,422,536	23,070	36,932,335
TOTAL	2,505,928	\$3,757,333,847	648,765	\$1,029,106,516	457,128	\$685,267,347	3,611,821	\$5,471,707,710

Section 7:
Characteristics by
Formula Type

#### Table 24:

Distribution of Federal Pell Grant Recipients by Type and Control of Institution and Formula Type: Award Period 1994-95 and 1995-96

Table 24 presents the distribution of recipients by type and control of institution and formula type by award year. Six different formulae are used to determine Federal Pell Grant eligibility and are applied according to the applicant's dependency status and qualification for the Simplified Needs Test (SNT). Under the SNT, assets are excluded from the need analysis if the family income is less than \$50,000 and the family did not file a 1040 federal tax return.

Formulae 1, 2, and 3 are for students not meeting the SNT. Formula 1 is used for dependent applicants; Formula 2 is used for independent applicants who are single or married without other dependents; and Formula 3 is used for independent applicants with dependents other than a spouse. Formulae 4, 5, and 6 apply to the same dependency categories, respectively, but are used for applicants meeting the SNT.

# Formula 3 Recipients Decline Significantly. The number of recipients in four formula categories declined between 1994-95 and 1995-96: formulae 1,2,3, and 5. Independents with dependents experienced the greatest decrease (8.9%) in numbers. For this group, the decline at 2-year private, profit-making schools was greatest (19.0%).

Formula 4 Filers Increase Slightly. Dependent recipients that qualified for the Simplified Needs Test increased sightly in 1995-96. These recipients come from families reporting extremely low incomes and few assets. The increase was greatest for this group at 2 year institutions (6.1 percent). Formula 4 filers at 4 year institutions increased by 3.9 percent.

Independent recipients with dependents who qualified for the SNT (Formula 6) also increased in number. Increased attendence at 4 year schools accounted for the overall increase (4.7 percent). The number of Formula 6 filers at 2 year schools actually declined between years (0.2 percent).

## DISTRIBUTION OF FEDERAL PELL GRANT RECIPIENTS BY TYPE AND CONTROL OF INSTITUTION AND FORMULA TYPE AWARD PERIOD 1994-95 AND 1995-96

	AWARD YEAR	DEPENDENT	INDEPENDENT WITHOUT DEPENDENTS	INDEPENDENT WITH DEPENDENTS	SIMPLIFIED DEPENDENT	SIMPLIFIED INDEPENDENT WITHOUT DEPENDENTS	SIMPLIFIED INDEPENDENT WITH DEPENDENTS
PUBLIC INSTITUT	IONS						
	1995-96	355,333	43,492	108,443	282,092	241,531	206,337
FOUR YEAR	1994-95	365,773	45,677	112,833	269,454	241,105	197,403
	% Diff	(2.85)	(4.78)	(3.89)	4.69	0.18	4.53
	1995-96	183,486	31,653	210,274	209,828	167,334	466,125
TWO YEAR	1994-95	190,717	35,674	233,563	193,265	178,415	461,436
	% Diff	0.06	(0.13)	(0.09)	0.14	(0.06)	0.01
PRIVATE, NON-PR	ROFIT						
	1995-96	183,821	14,648	49,072	141,534	82,031	91,298
FOUR YEAR	1994-95	189,968	15,346	49,482	138,700	88,897	87,924
	% Diff	(3.24)	(4.55)	(0.83)	2.04	(7.72)	3.84
	1995-96	15,186	1,919	11,757	14,265	13,489	29,745
TWO YEAR	1994-95	15,689	2,279	13,213	15,806	17,482	30,280
	% Diff	(3.21)	(15.80)	(11.02)	(9.75)	(22.84)	(1.77)
PRIVATE, PROFIT	-MAKING						
	1995-96	4,564	1,246	6,753	5,094	5,755	9,876
FOUR YEAR	1994-95	4,691	1,264	6,324	4,610	5,626	8,416
	% Diff	(2.71)	(1.42)	6.78	10.50	2.29	17.35
	1995-96	38,427	9,683	61,460	63,604	65,301	185,365
TWO YEAR	1994-95	43,196	11,409	75,838	62,016	70,302	190,894
	% Diff	(11.04)	(15.13)	(18.96)	2.56	(7.11)	(2.90)
TOTAL							
	1995-96	543,718	59,386	164,268	428,720	329,317	307,511
FOUR YEAR	1994-95	560,432	62,287	168,639	412,764	335,628	293,743
	% Diff	(2.98)	(4.66)	(2.59)	3.87	(1.88)	4.69
	1995-96	237,099	43,255	283,491	287,697	246,124	681,235
TWO YEAR	1994-95	249,602	49,362	322,614	271,087	266,199	682,610
	% Diff	(5.01)	(12.37)	(12.13)	6.13	(7.54)	(0.20)
GRAND TOTAL	1995-96	780,817	102,641	447,759	716,417	575,441	988,746
	1994-95	810,034	111,649	491,253	683,851	601,827	976,353
	% Diff	(3.61)	(8.07)	(8.85)	4.76	(4.38)	1.27

#### Glossary

Automatic Zero EFC: If the parents of an independent applicant, or an independent applicant with dependents, reports adjusted gross income of \$12,000 or less, and files a 1040A, 1040EZ, or is a non-tax filer, then the applicant receives a zero EFC, regardless of other aspects of the family's financial circumstances.

Average Grant: The sum of all grant awards divided by the number of Federal Pell Grant recipients.

Award Period: The period of time from July 1 of one year to June 30 of the next year. The award period covered in this 1995-96 End-of-Year Report is July 1, 1995 to June 30, 1996.

C%: Column Percent. The number of responses in each cell within a column as a percentage of the total number of responses in the column.

**Control of Institution:** Refers to whether an educational institution is public, private, non-profit, or proprietary.

**Dependent Recipient:** An individual receiving a Federal Pell Grant who is dependent on his or her parent for financial support. To be considered dependent in 1995-96, the student ....

- Must be under 24 years of age, and
- Must not be a veteran of active service in the U.S. Armed Forces, and
- Cannot be an orphan or ward of the court, and
- Cannot have legal dependents, and
- Cannot be married or a graduate or professional student.

Educational Cost: The cost of attending an institution offering postsecondary education

coursework for a full academic year. In 1995-96, educational costs considered for Federal Pell Grant award purposes include tuition and fees, and an allowance established by the institution, for the cost of books, supplies, transportation, and miscellaneous expenses. There is also a room and board allowance, set by the institution, of at least \$1,500 for students without dependents living at home with their parents, and at least \$2,500 for all other students enrolled at least half time. Certain additional allowances, such as provisions for child care and costs of special services or equipment required by handicapped students, are also permitted.

1. .

EFC: Expected Family Contribution. Number given to the applicant, based upon the applicant's financial strength as indicated by factors such as family income, net assets, and household size, which is combined with the applicant's educational cost and enrollment status (full time, three-quarter time, or half time) to determine the applicant's grant level.

Electronic Application: Introduced in 1990-91, the U.S. Department of Education's process for submitting applications for Title IV Federal Aid electronically directly to the Central Processing System.

Eligible Applicant: Individual who has submitted an official application for a Federal Pell Grant and has been determined eligible to receive a grant because of sufficient financial need. An eligible applicant in 1995-96 had a EFC of 2,140 or less.

**Expenditures:** Funds awarded to Federal Pell Grant recipients for an award period.

Family Income: One of the primary factors considered in determining eligibility for a Federal Pell Grant. In this report, family income is considered to be the sum of a family's adjusted gross income (or earned income for non-tax filers), and non-taxable income

(including non-educational Social Security benefits, AFDC, and child support).

Free Application for Federal Student Aid (FAFSA): The Department of Education form that may be used to apply for a Federal Pell Grant as well as other forms of Federal aid.

Formula Type: Six different formulae are used to determine Pell Grant eligibility and are applied according to the applicants dependency status and qualification for the Simplified Needs Test (SNT).

- Formula 1 is used for dependent applicants not meeting the SNT.
- Formula 2 is used for independent applicants without dependents other than a spouse not meeting the SNT.
- Formula 3 is used for independent applicants with dependents other than a spouse not meeting the SNT.
- Formula 4 is used for dependent applicants who qualify for the SNT.
- Formula 5 is used for independent applicants without dependents other than a spouse who qualify for the SNT.
- Formula 6 is used for independent applicants with dependents other than a spouse who qualify for the SNT.

Independent Recipient: An individual receiving a Federal Pell Grant who is not dependent on his or her parent for financial support. To be considered independent in 1995-96, the student ....

- Must be 24 years old or older, or
- Must be a veteran of active service in the U.S. Armed Forces, or

- Must be an orphan or ward of the court, or
- Have legal dependents, or
- Must be a graduate, professional or married student, or
- The FAA makes a documented determination of independence by reason of unusual circumstances.

Ineligible Applicant: Individual who has submitted an official application for a Federal Pell Grant but has been determined ineligible to receive a grant because of insufficient financial need. A ineligible applicant in 1995-96 had an EFC greater than 2,140.

MDE: Multiple Data Entry. Process by which an individual in 1995-96 could apply for a Federal Pell Grant or other kinds of student aid using any one of the following processors of application forms in addition to the U.S. Department of Education's Free Application for Federal Student Aid:

- The College Scholarship Service
- The American College Testing Program
- The Pennsylvania Higher Educational Assistance Agency

N: Number. The number of applicants, recipients, or other values found in each table cell.

Net Asset Level: Estimated monetary value of an applicant's (or applicant's family if the applicant is a dependent) assets, minus the applicant's liabilities. Factors considered in estimating net asset level include the value of investments, business, non-family farm, and all debts against those assets, plus cash and bank accounts. Home and family farm are not included in determining Net Asset Level. **Professional Judgement**: The FAA's ability to change a student's dependency status (dependent to independent only), adjust the components of the Cost of Attendance and/or components of the EFC (income or asset levels).

R%: Row Percent. The number of responses in each cell within a row as a percentage of the total number of responses in the row.

Renewal Application: Introduced in 1992-93, the renewal application allows schools to draw down records for returning students from the prior year, print a renewal application for the student, and key enter and transmit the data electronically to the Central Processing System.

**SAR:** Student Aid Report. A report provided to an applicant showing the applicant's EFC. The applicant must submit an SAR to the institution he or she plans to attend in order to receive an award.

Simplified Needs Test: Eligibility calculation based on a reduced set of family and financial indicators, comprised of: family size, the number of family members enrolled in college at least half-time, adjusted gross income (or earnings, in the case of non-tax filers), Federal income taxes paid, and untaxed income and benefits. To qualify for the Simplified Needs Test (SNT) a student (or student and parents, for a dependent) must have filed a IRS Form 1040A or 1040EZ (not a 1040) or be a non-tax filer, and must have a combined adjusted gross income (or earnings) of less than \$50,000.

**Title IV:** The section of the Higher Education Act of 1965 that pertains to federal student financial aid programs. Title IV applicant data may be used to determine eligibility for programs other than the Pell Grant program.

Type and Control of Institution: Institutions are classified according to the length of programs and type and control of the institution

4-year public or private, non-profit, includes colleges offering baccalaureate and/or graduate programs.

- 2-year public or private, non-profit usually denotes a community college of vocational/technical school
- Proprietary refers to private, profitmaking schools offering primarily programs of 2 years or less in duration. It also includes a small number of schools with programs greater than 2 (but less than 4) years in length.

Valid Application: An application with sufficient data to calculate an EFC. A graduate is not considered a valid applicants for Pell Grant purposes.

Verification: The process by which applicants for Federal student aid are selected and required to present to the institution's financial aid administrator those forms (such as a Federal income tax return and W-2 statements) which confirm the accuracy of the information they reported on their applications.